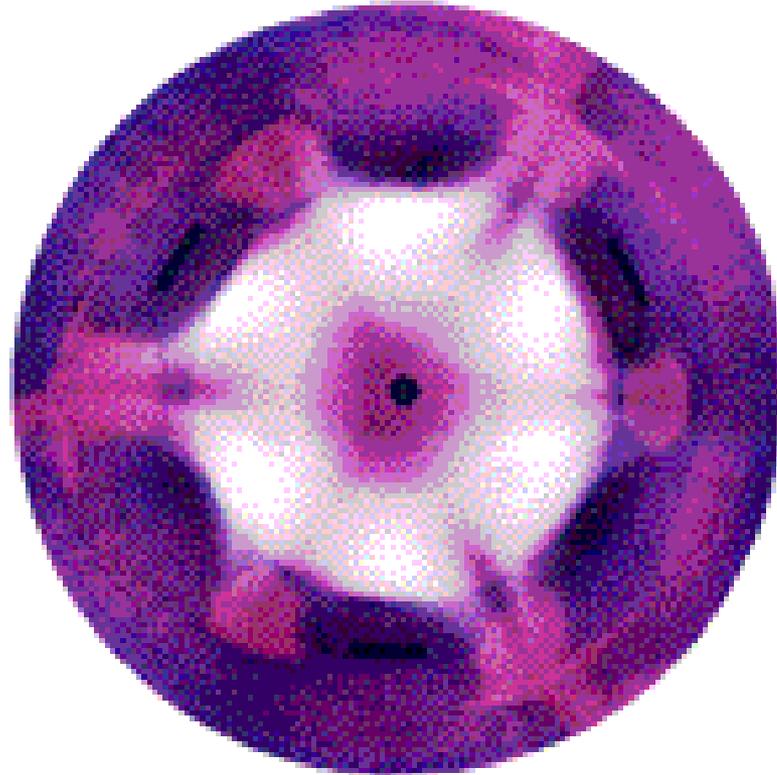


MW Discharges

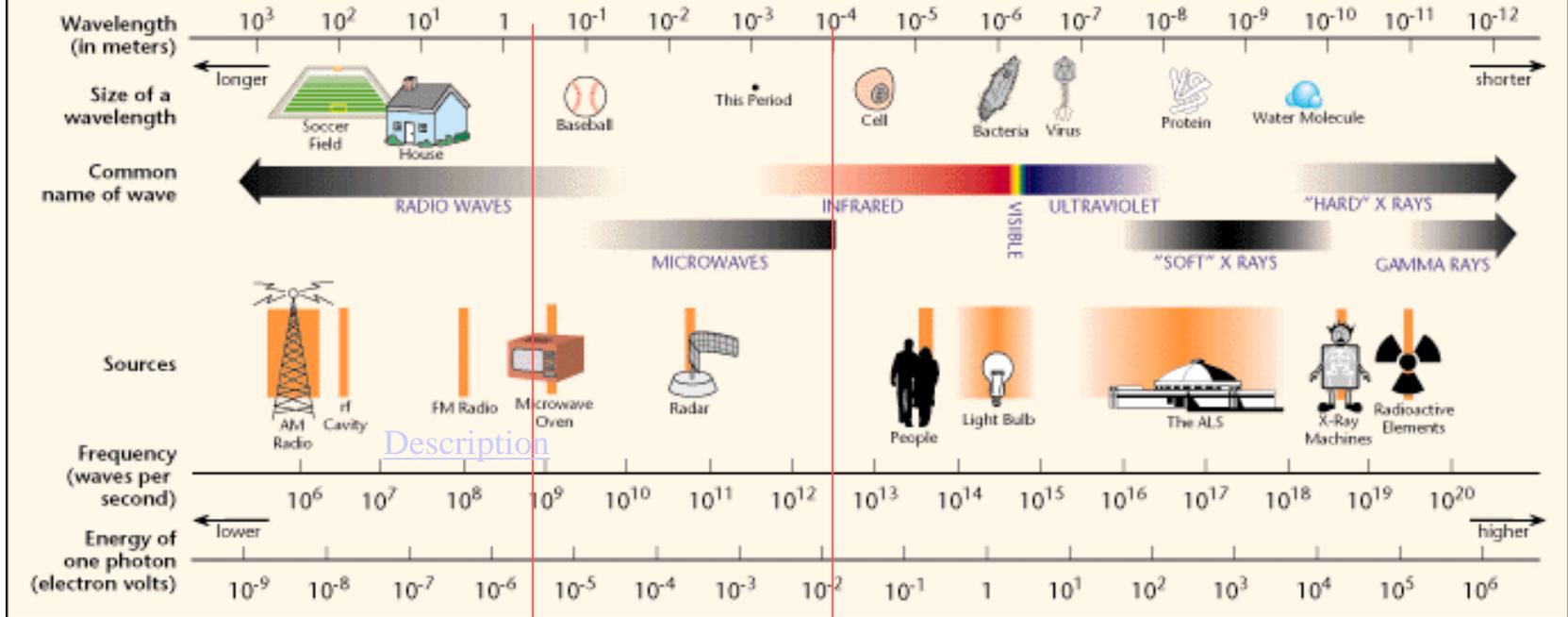


EE 403/503

Introduction to Plasma Processing

November 9, 2011

THE ELECTROMAGNETIC SPECTRUM



0.915* GHz 2.45* GHz 300 GHz
33 cm 12.24 cm 1 mm

Advantages

- **Higher electron kinetic temperatures and lower pressures**
- **Higher fraction of ionization and dissociation than DC and low frequency discharges**
- **Lower voltages across the sheath -> Less sputtering of the wall**
- **No electrodes -> less contamination**
- **More stable over a wide range of background gas pressures relative to DC & RF**

Applications

ECR: for Microelectronics Plasma Processing

Fusion; for initial, steady state and high density plasmas

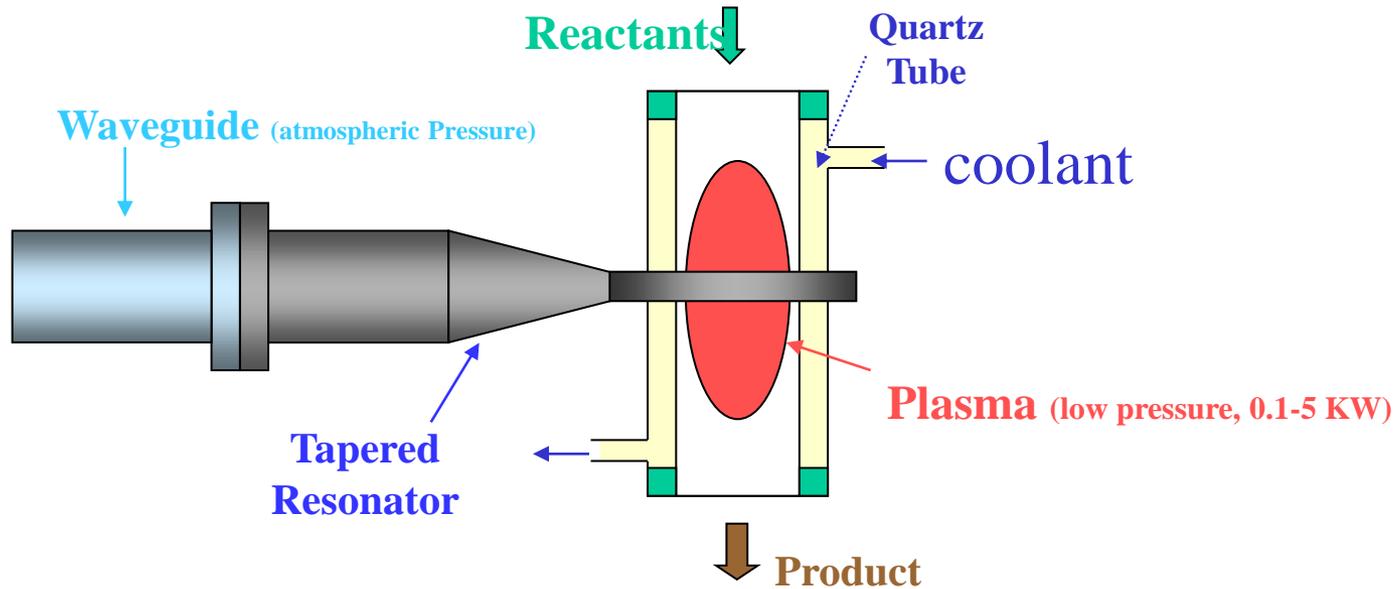
Sources: for Photons, ions, free radicals, excited atoms and dissociated neutral species

Laser: to pump laser medium

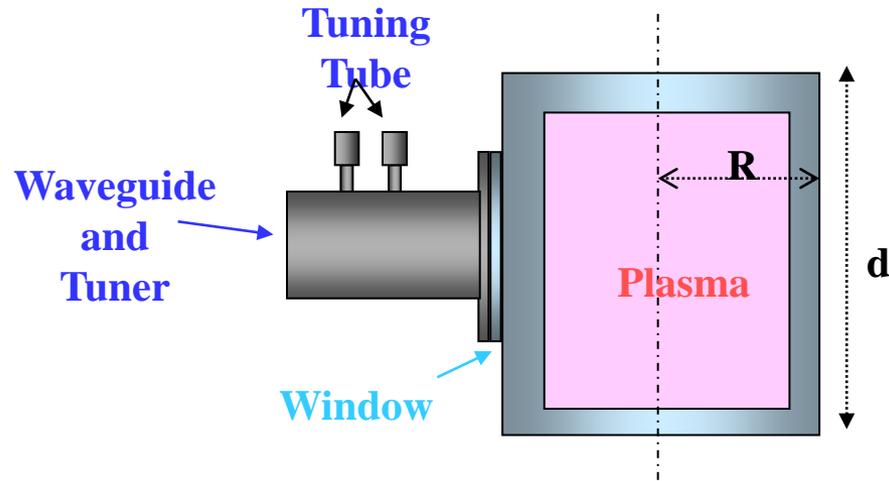
Continuous flow plasmas: Chemical reactors

Continuous Flow Non-resonant Microwave Plasma

(High electric field of radiation aligned with the axis of the tube)



Resonant or multimode cavity reactor

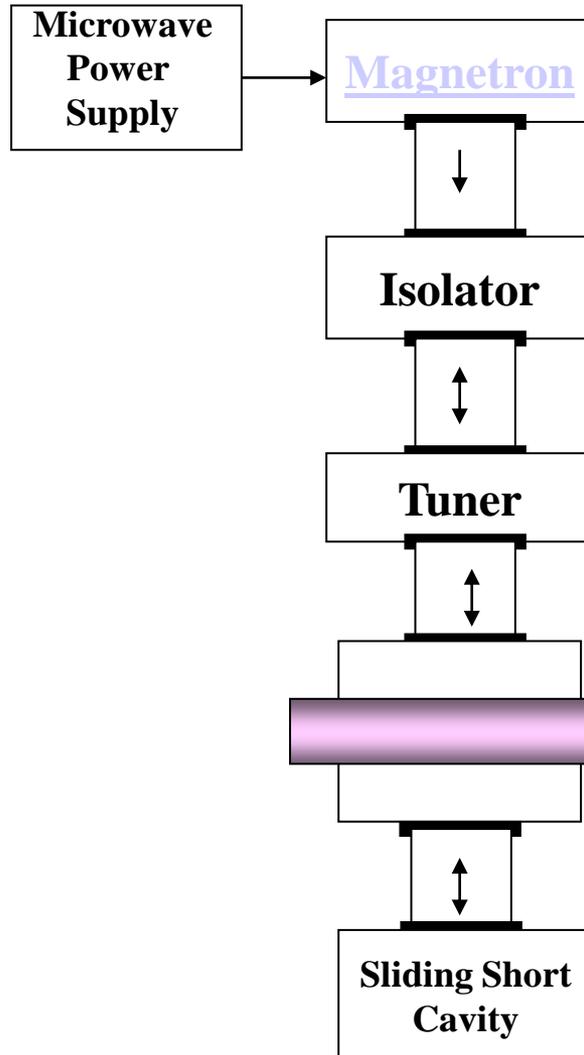


Resonant Cavity: $R=\lambda_0$; $d=\lambda_0$

Multimode Cavity: $R \gg \lambda_0$; $d \gg \lambda_0$

(Remember: 2.45 GHz \rightarrow 12.24 cm)

2.45 GHz, 0.4-10 KW



Isolates the magnetron from the variable plasma load. It functions like one way valve for microwave power.

Minimizes the reflected power, while maximizing the forward power absorbed by the load

Gas Quartz Tube separates the working gas pressure from the one within the waveguide

A variable load

Magnetron

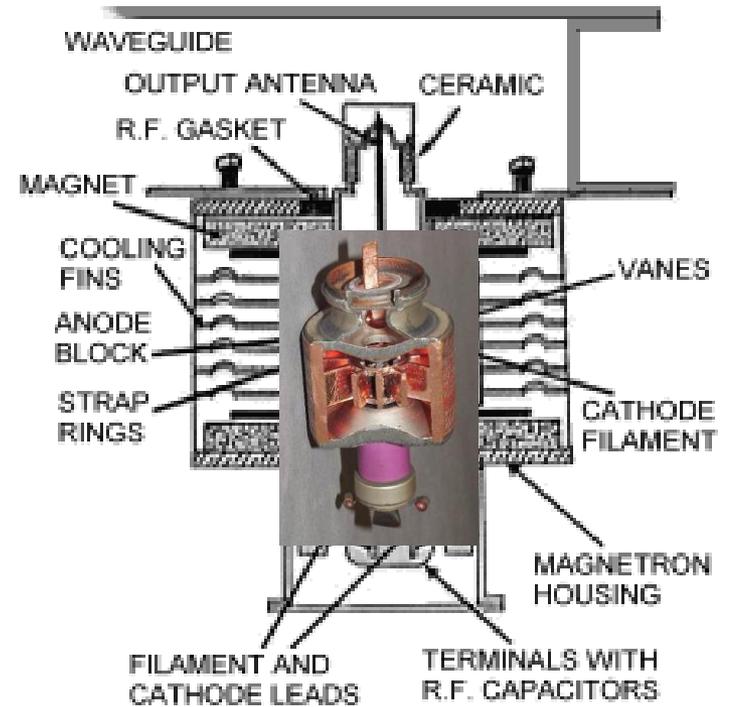
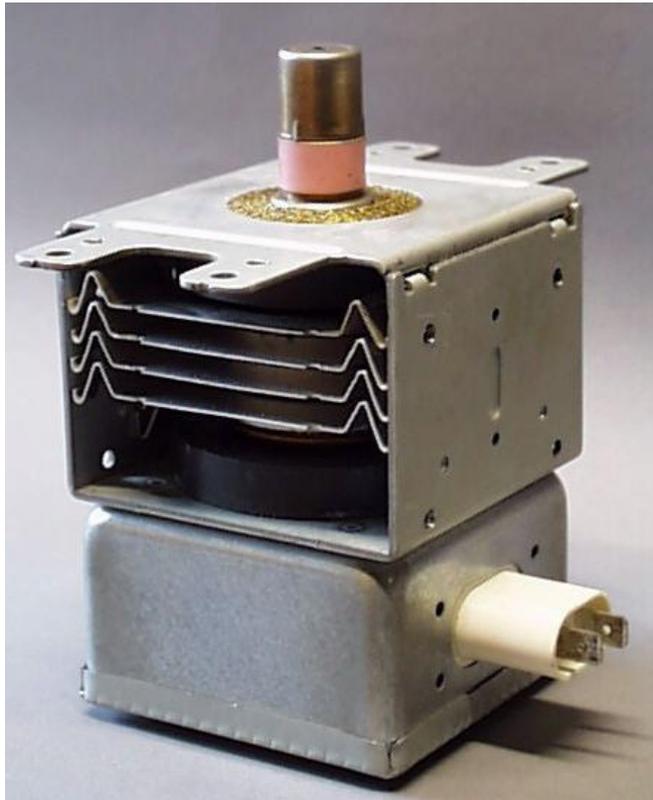
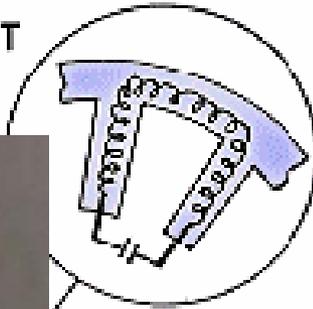


Figure 1 Sectional view of a typical magnetron
(Courtesy of Michael S. Wagner)

EQUIVALENT CIRCUIT OF ONE RESONANT CAVITY



EQUIVALENT CIRCUIT OF ONE RESONANT CAVITY

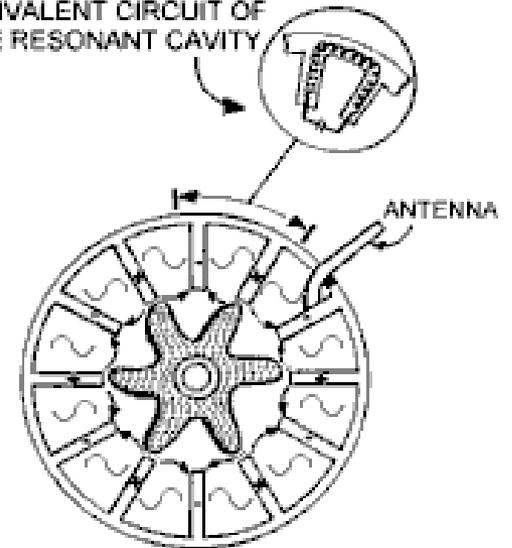
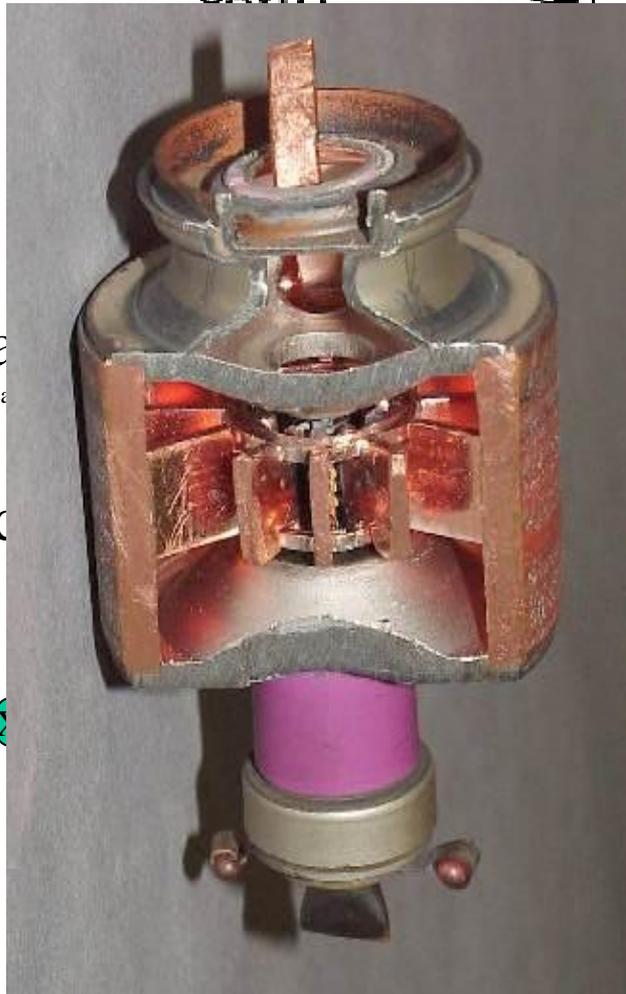


Fig. 4 Electrons form a rotating pattern
(Courtesy of Michael S. Wagner)

Ca
(hea

Anoc

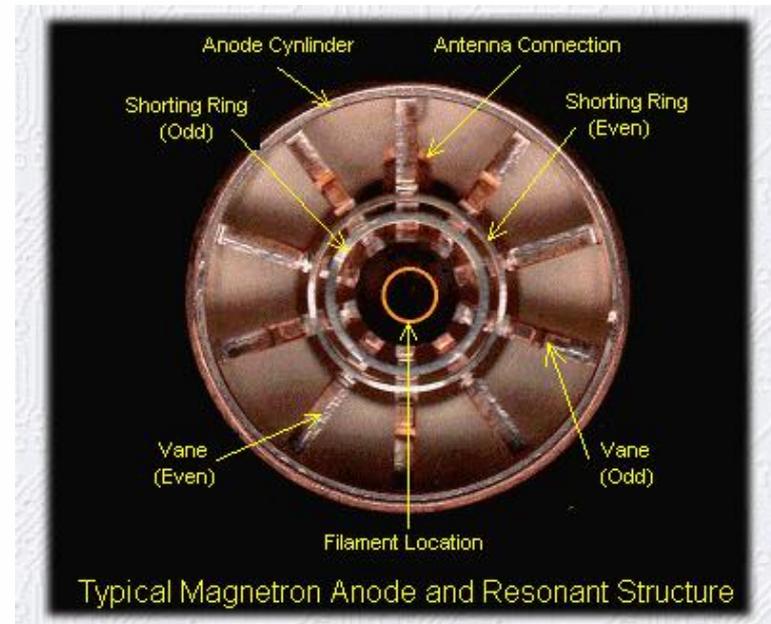
B



SPACE-CHARGE WHEEL

Figure 7-5 Electrons form a rotating pattern.
(Wagner / Gallawa)

ANTENN.



Typical Magnetron Anode and Resonant Structure

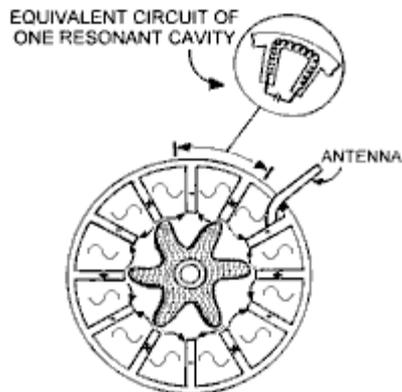
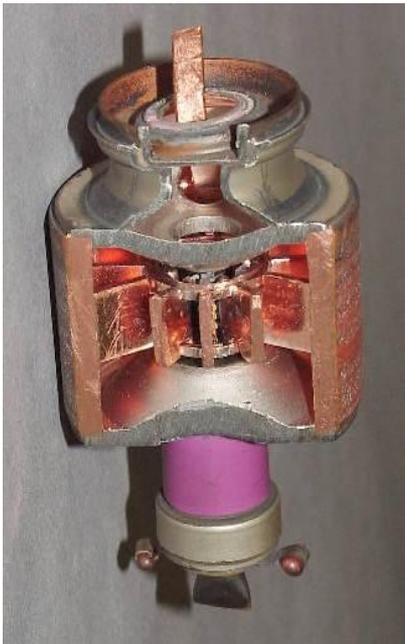


Fig. 4 Electrons form a rotating pattern
(Courtesy of Michael S. Wagner)

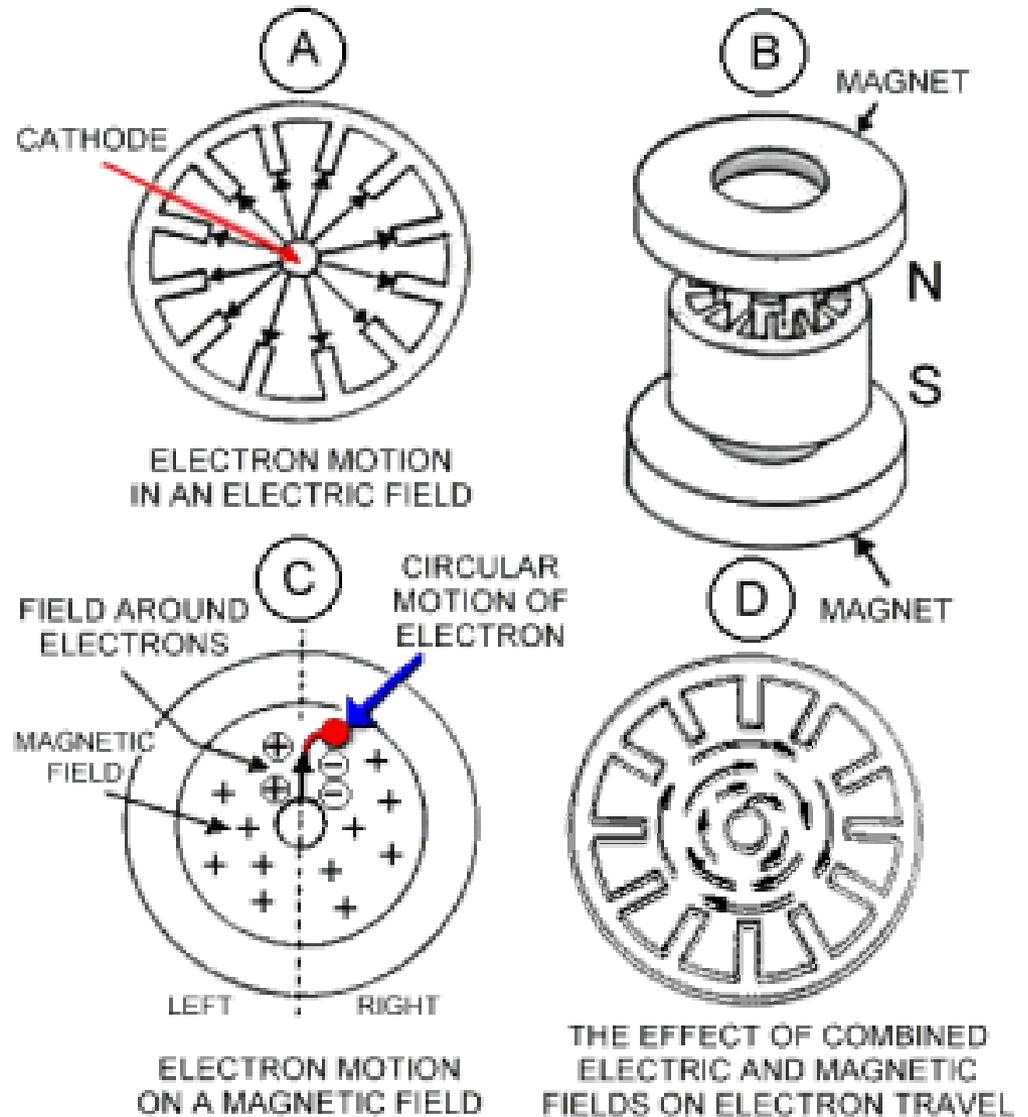
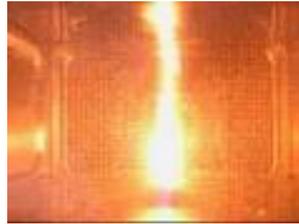


Figure 3 Electron motion in a magnetron tube
(Courtesy of Michael S. Wagner)

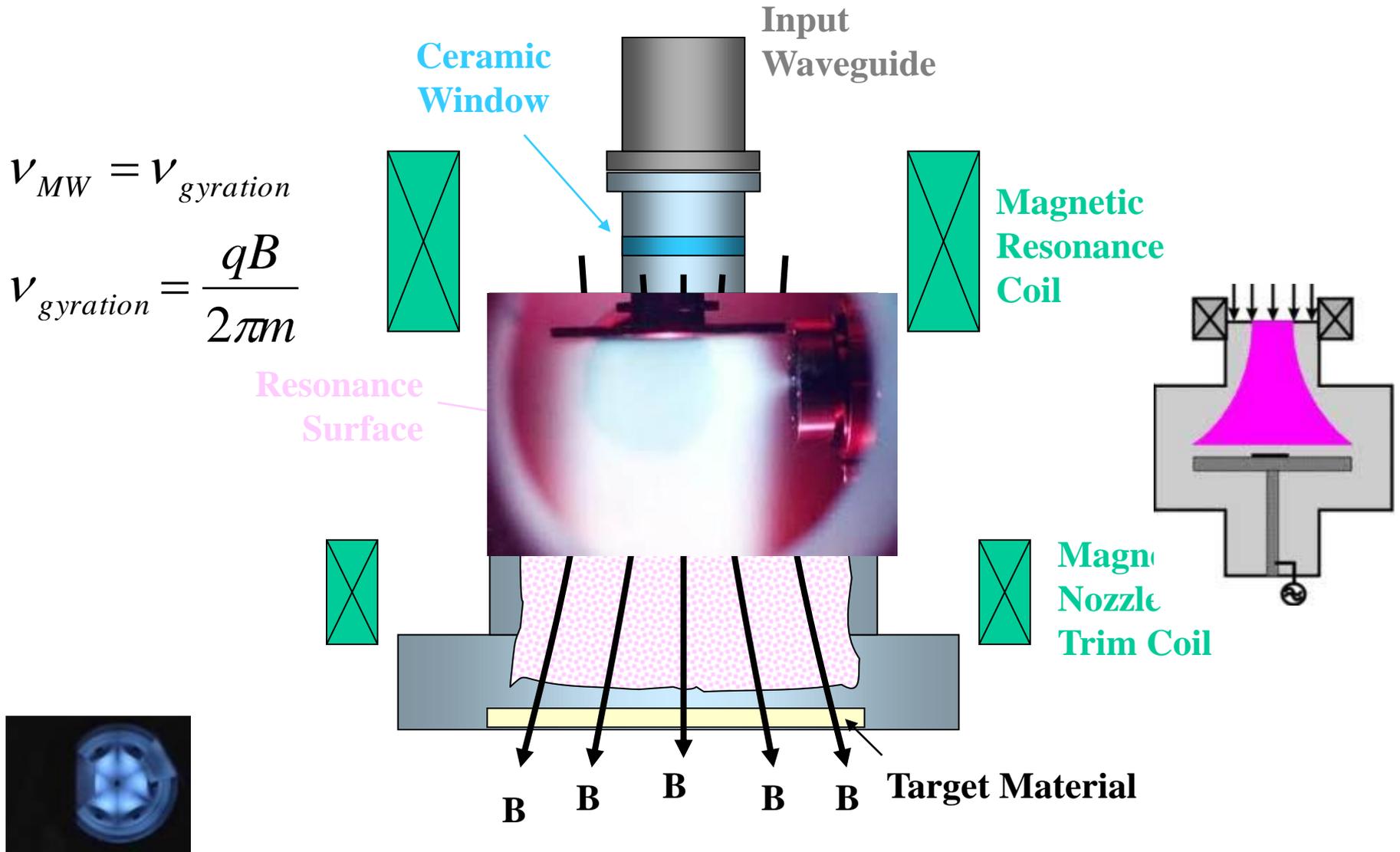


Grape Plasma 0:34



**Microwave experiment
plasmaball 0:57**

Electron Cyclotron Resonance (ECR) Plasma Reactor



Power Coupling to the Plasma (with B)

$$\bar{P} = \underbrace{\frac{1}{4} \epsilon_0 E_0^2}_{\bar{U}} \times \underbrace{\frac{e^2 n_e}{\epsilon_0 m}}_{\omega_p^2} \times \nu_c \left[\frac{1}{(\omega + \omega_g)^2 + \nu_c^2} + \frac{1}{(\omega - \omega_g)^2 + \nu_c^2} \right]$$

$$\bar{U} \quad \omega_p^2$$

ν^* Energy transfer frequency

Low pressure
 $\nu_c \ll \omega_g$

$$\nu^* \approx 2\omega_p^2 \nu_c \left[\frac{(\omega^2 + \omega_g^2)}{(\omega^2 - \omega_g^2)^2} \right]$$

High pressure
 $\nu_c \gg \omega_g$

$$\nu^* \approx 2\omega_p^2 \nu_c \left[\frac{1}{(\omega^2 + \nu_c^2)} \right]$$

$$\left. \begin{aligned} \nu_{Plasma} &= 8.98 \sqrt{n_e} \\ \omega_{gyro} &= 2.8 \times 10^{10} B \end{aligned} \right\}$$

Optimum Power coupling to Plasma (with B)

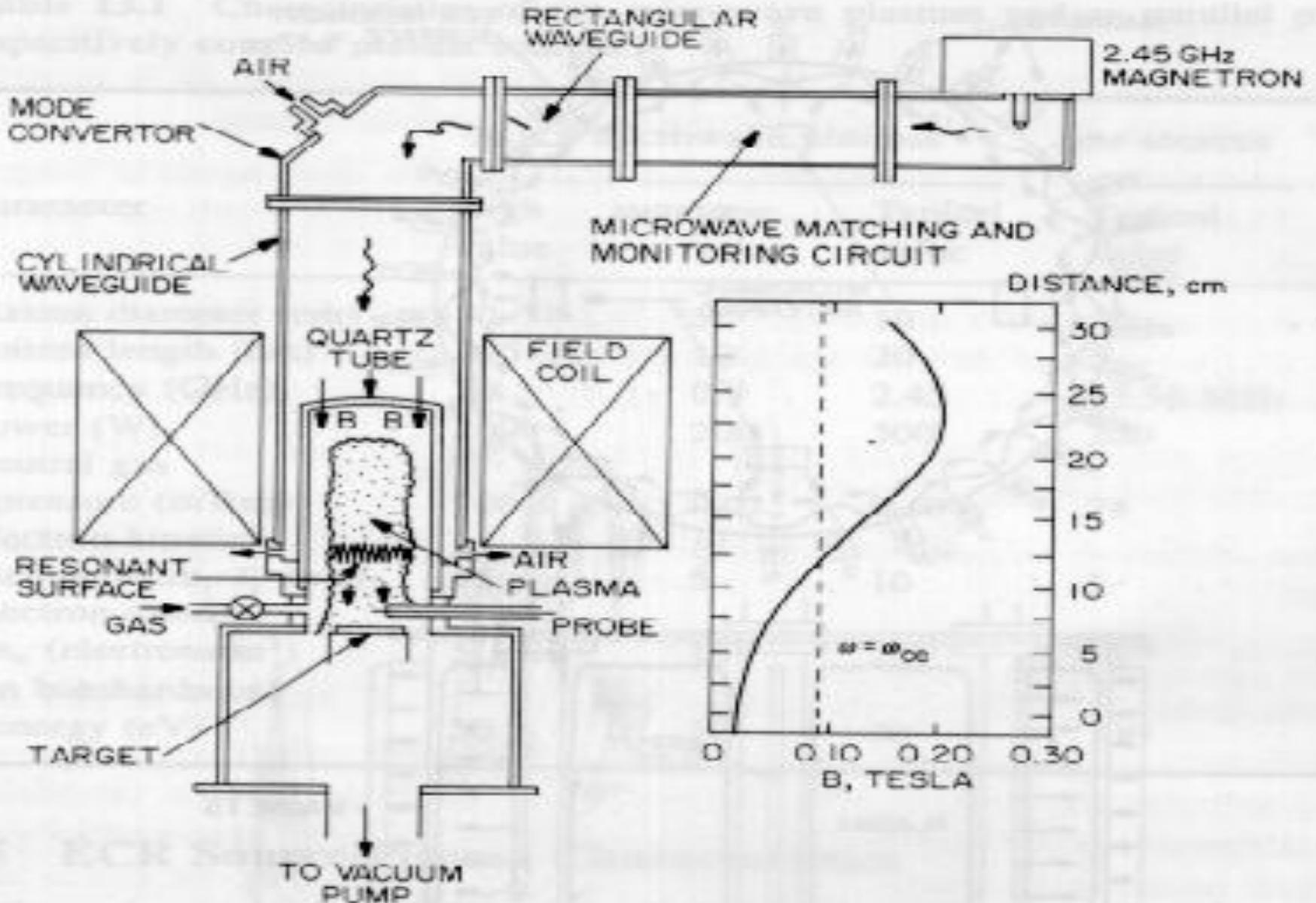
$$v^* = \omega_p \times v_c \left[\frac{1}{(\omega + \omega_g)^2 + v_c} + \frac{1}{(\omega - \omega_g)^2 + v_c} \right]$$

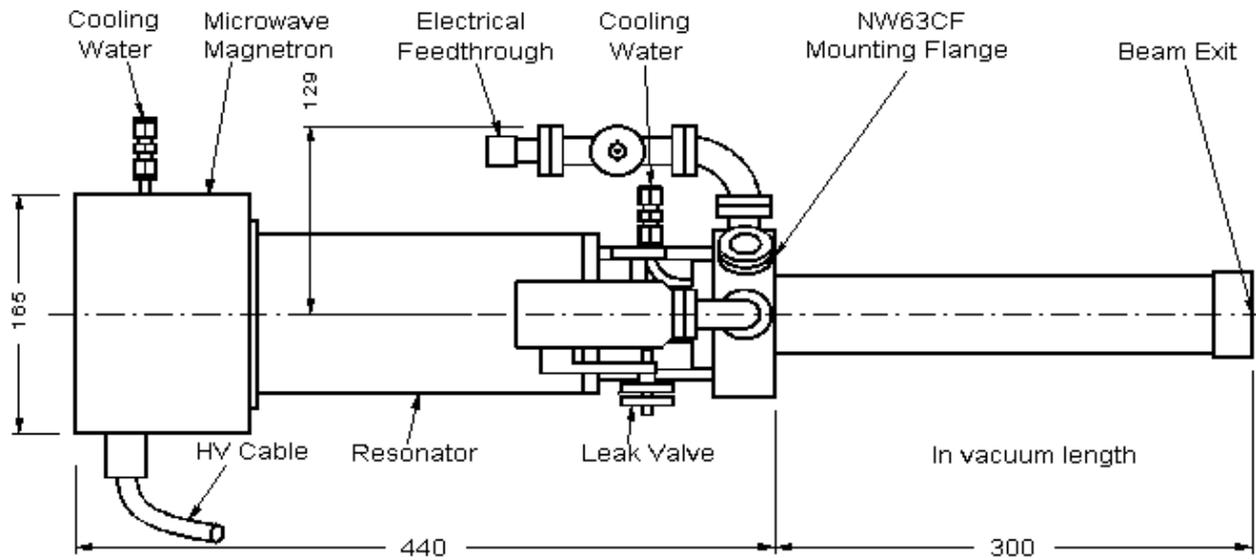
$$\frac{dv^*}{d\omega_g} = 0 \Rightarrow \omega_{g,opt} \approx \omega \left(1 - \frac{v_c^4}{\omega^2} \right)^{1/2} \approx \omega \quad \omega \geq \frac{v_c}{\sqrt{3}}$$

$$\frac{dv^*}{d\omega} = 0 \Rightarrow \omega_{opt} \approx \omega_g \left(1 - \frac{v_c^4}{\omega_g^4} \right)^{1/2} \approx \omega_g \quad \left\{ \begin{array}{l} \omega \geq \frac{v_c}{\sqrt{3}} \\ v \ll v_g \end{array} \right.$$

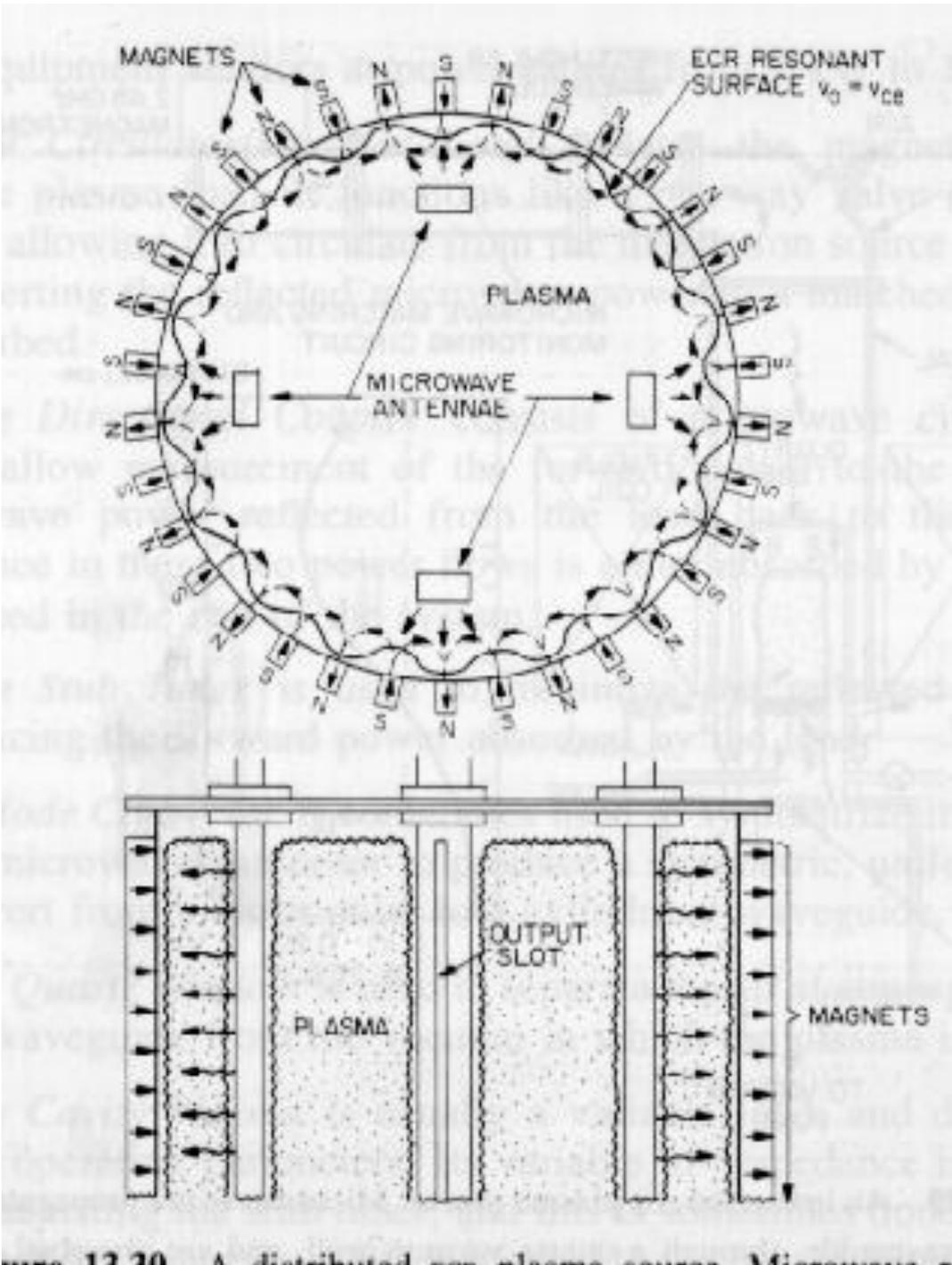
$$\frac{dv^*}{dv_c} = 0 \Rightarrow v_{c,opt} = \sqrt{(\omega^2 - \omega_g^2)}$$

Immersed ECR System

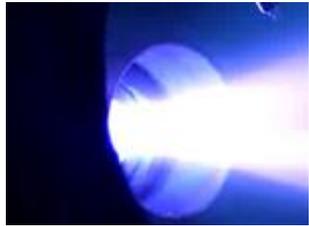
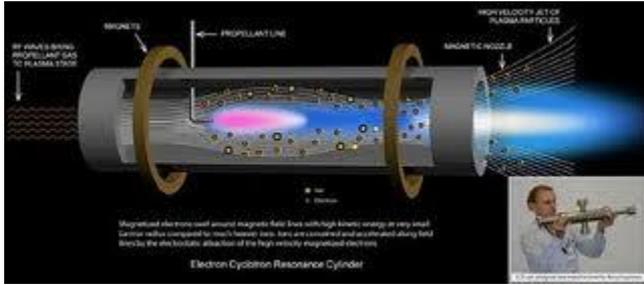




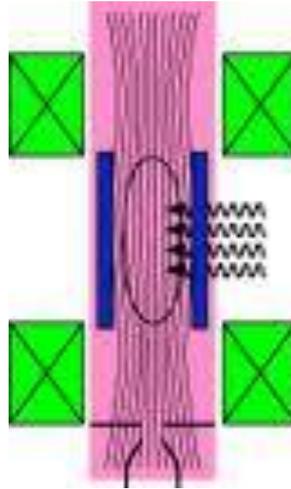
Distributed ECR System



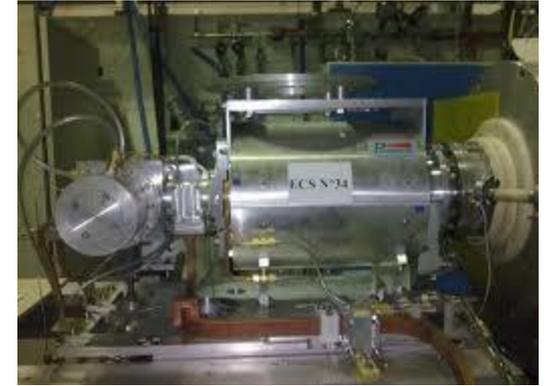
12.20 A distributed ECR system. (a) Top view showing the plasma, microwave antennae, and magnets. (b) Cross-sectional view showing the plasma, output slot, and magnets.



Ion Thruster



Ion Source



Theoretical Model

$$m\dot{v} = -eE - v_c m v - e(v \times B)$$

E from radiation
plus the effect of
surrounding
plasma

+

Static background
magnetic field
B of radiation is
negligibly small

$$\nabla \cdot E \approx 0$$

$$\nabla \cdot B = 0$$

$$\nabla \times E = -\frac{\partial B}{\partial t}$$

$$\nabla \times B = \mu_0 j + \mu_0 \epsilon_0 \frac{\partial E}{\partial t}$$

Maxwell Equation

Propagation Equation:

Attenuation constant
= $1/\delta$

Wave number

$$I(z, t) = I_0 \exp(-\alpha z) \exp[j(\omega t - kz)]$$

Microwave Breakdown of Gases

Breakdown Electric Field \rightarrow

Free Space Wavelength \rightarrow

Ionization Potential of the Gas \rightarrow

$$E_b = E(\lambda, V_i, l, \Lambda)$$

Electron Mean Free Path \rightarrow

Characteristic Diffusion Length \rightarrow

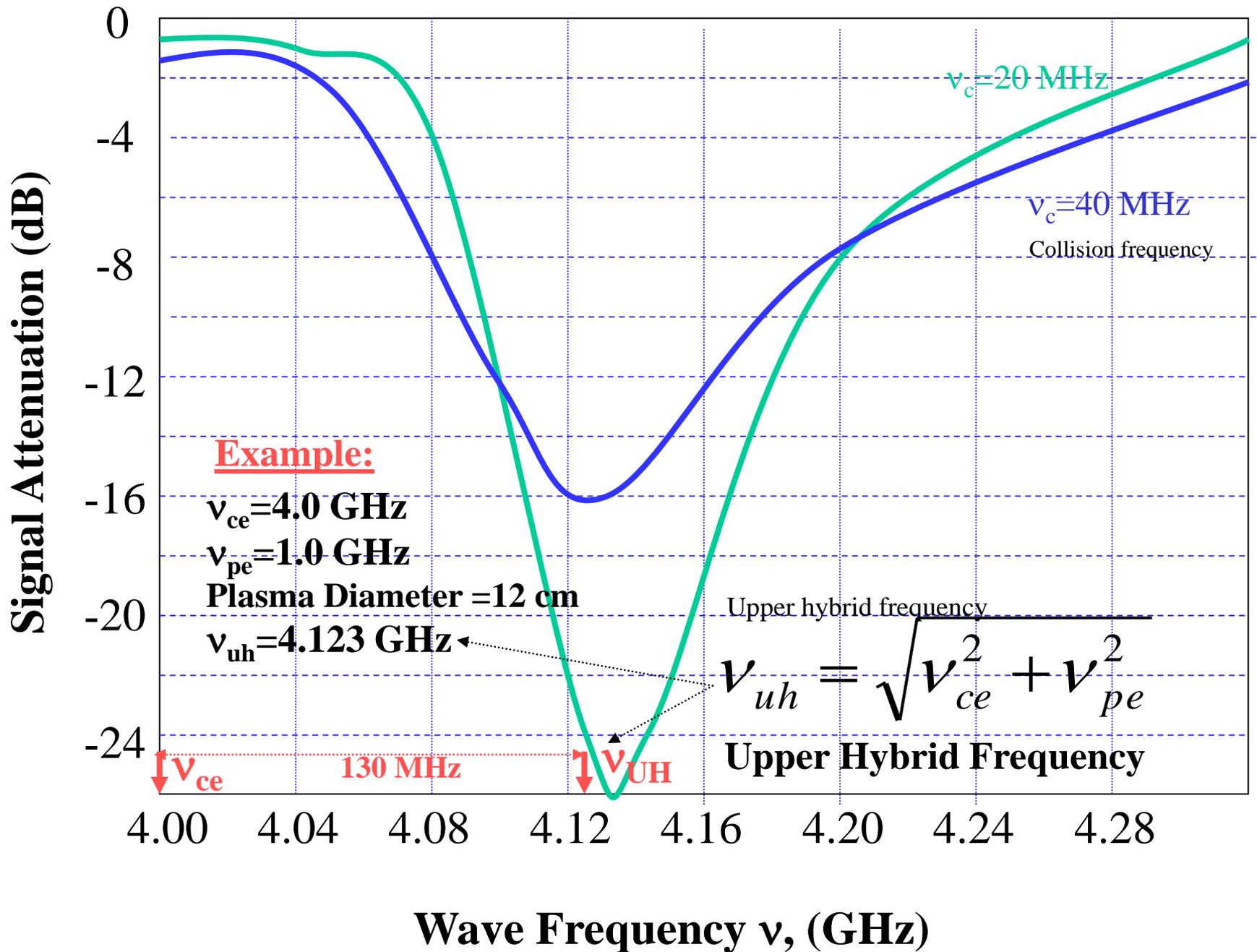
With five variables and two independent dimensions (Length and voltage), three dimensionless variables are sufficient to describe the relationship implied by the above equation

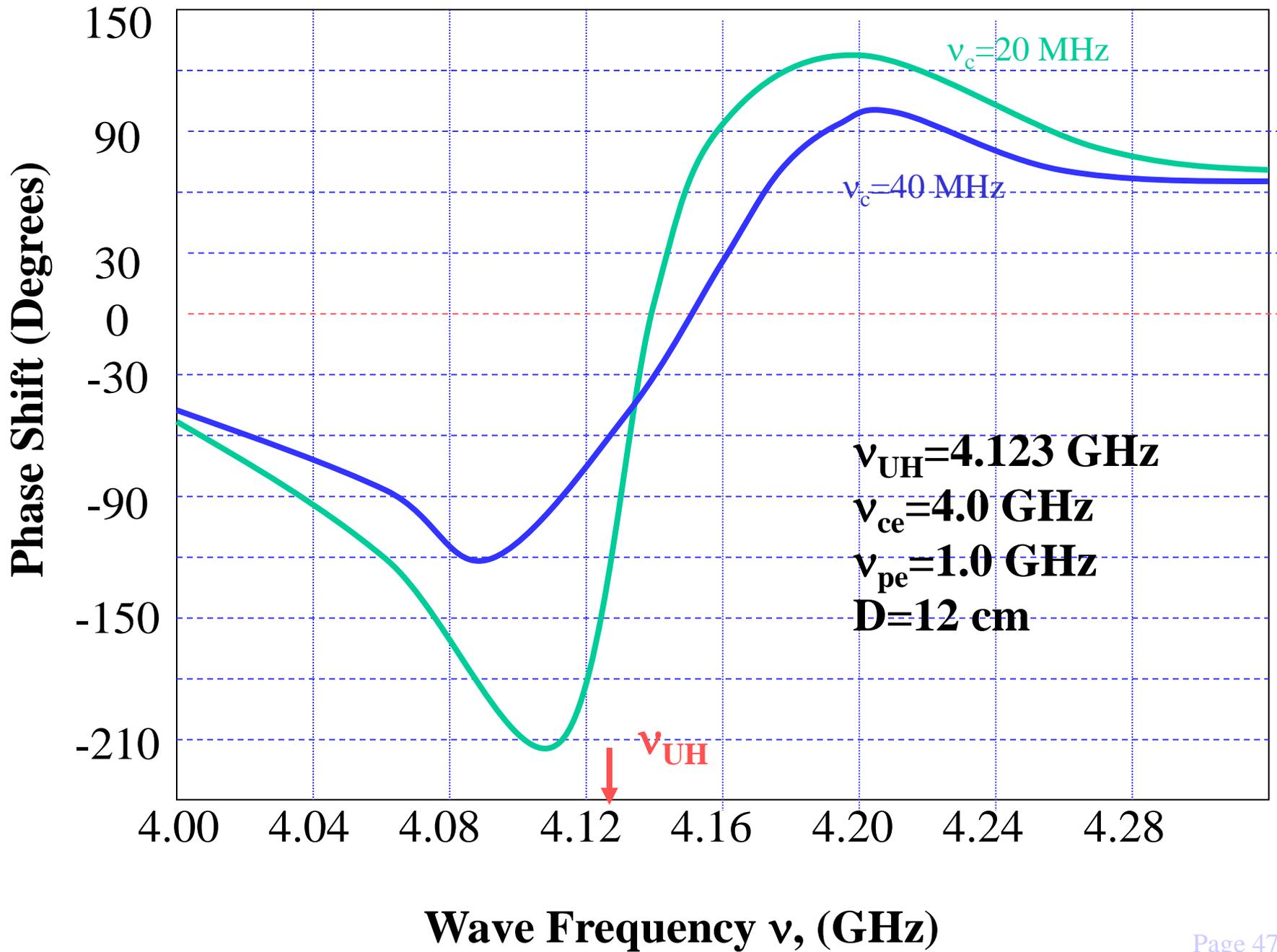
e.g. $\frac{E\Lambda}{V_i}, \frac{\lambda}{l}, \frac{\Lambda}{l}$ $\frac{E\lambda}{V_i}, \frac{El}{V_i}, \frac{\lambda}{\Lambda}$

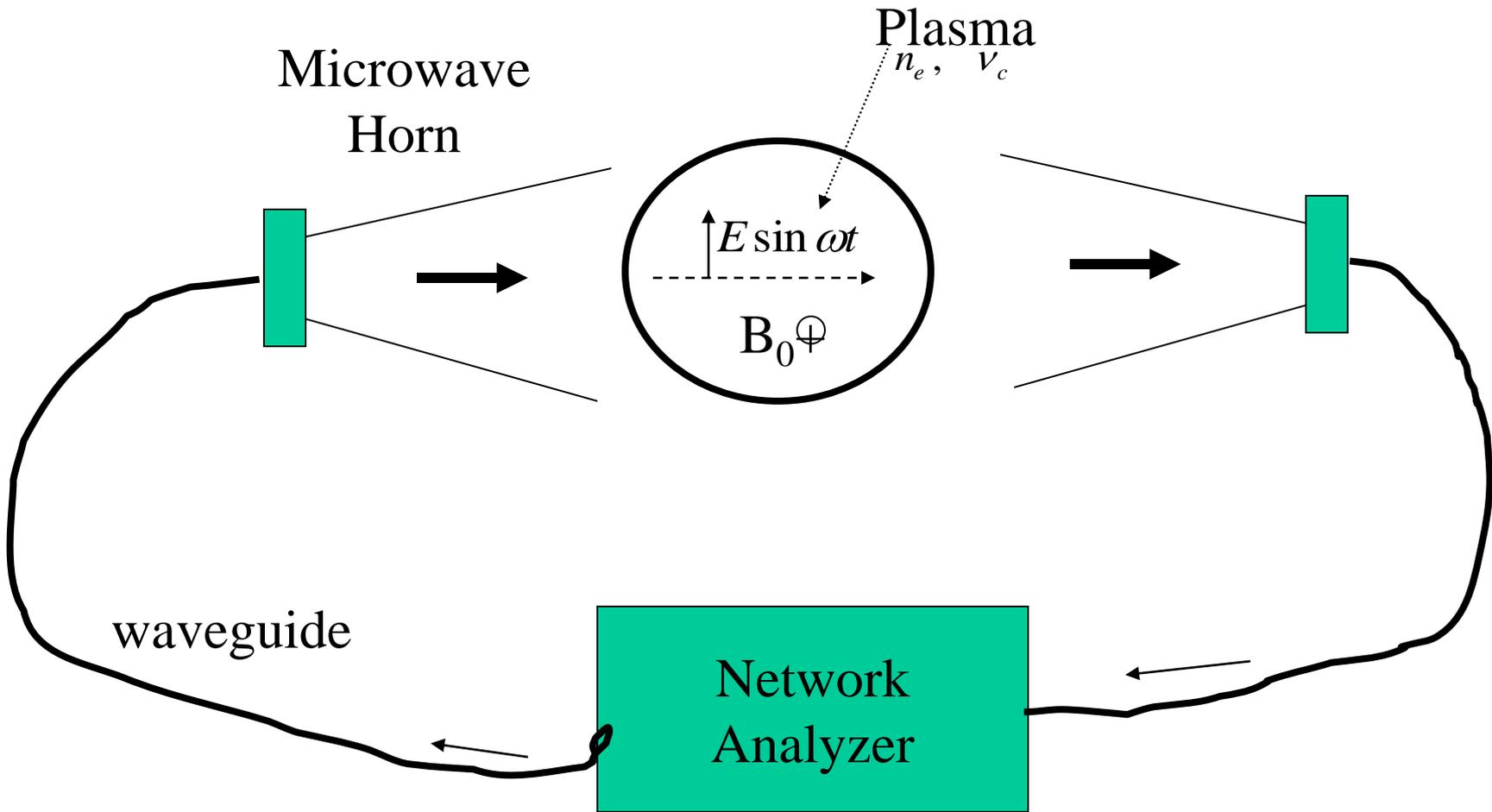
The implication is that it requires a three-dimensional surface to specify the RF and microwave breakdown conditions in the gas.

$$l \approx \frac{1}{p} \text{ \& } V_i = \text{const} \Rightarrow E\Lambda, p\lambda, p\Lambda$$

$$E\lambda, \frac{E}{p}, \frac{\lambda}{\Lambda}$$







Heald and Wharton Propagation Equation

Complex refractive index

$$\overline{\mu} = \mu - j\chi$$

Propagation Constant $\beta = \frac{\omega}{c} \mu$ $\alpha = \frac{\omega}{c} \chi$

Real refractive index

How much the radiation is slowed down in the medium relative to propagation in vacuum

Attenuation index

How much is the wave is attenuated

- 1- Propagation in an unmagnetized plasma (P 472)
- 2- Propagation in a magnetized (B_0) plasma (P 473)
 - a- θ angle between E and B_0 (P. 474)
 - b- $\theta=0$; right circularly polarized wave (P 475)
 - c- $\theta=0$; left circularly polarized wave (P 475)
 - d- $\theta=90$; $B_0 \parallel E$ (P 476)
 - e- $\theta=90$; $B_0 \parallel B$ (P 476)