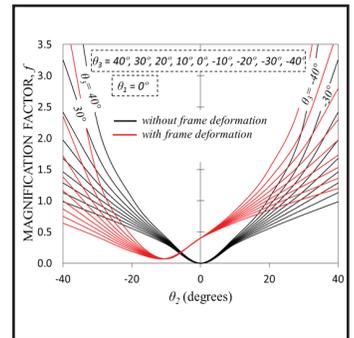
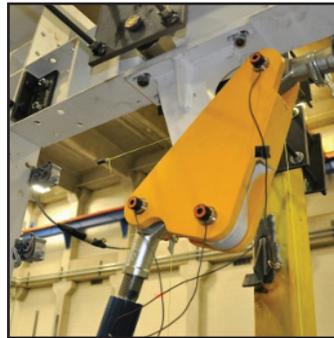
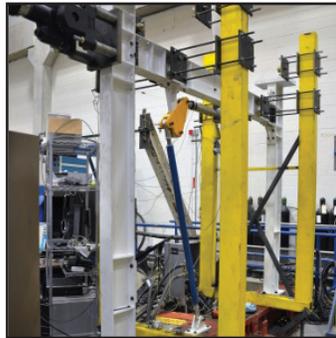


# Open Space Damping System Theory and Experimental Validation

by

Erkan Polat and Michael C. Constantinou



Technical Report MCEER-16-0007

December 13, 2016

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by

Erkan Polat<sup>1</sup> and Michael C. Constantinou<sup>2</sup>

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## Preface

MCEER is a national center of excellence dedicated to the discovery and development of new knowledge, tools and technologies that equip communities to become more disaster resilient in the face of earthquakes and other extreme events. MCEER accomplishes this through a system of multidisciplinary, multi-hazard research, in tandem with complimentary education and outreach initiatives.

Headquartered at the University at Buffalo, The State University of New York, MCEER was originally established by the National Science Foundation in 1986, as the first National Center for Earthquake Engineering Research (NCEER). In 1998, it became known as the Multidisciplinary Center for Earthquake Engineering Research (MCEER), from which the current name, MCEER, evolved.

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The Center derives support from several Federal agencies, including the National Science Foundation, Federal Highway Administration, Department of Energy, Nuclear Regulatory Commission, and the State of New York, foreign governments and private industry.

*This report describes the open space damping system that has been developed to preserve open space within the frame of its installation. The report describes the function of the system, presents a theory to predict its behavior and presents computational models to verify the theory. Moreover, the report presents an experimental study of a large scale model with the open space damping system that is used to acquire data for validating the developed analytical and computational models. Comparisons of experimental results in terms of structural drift, floor accelerations and force-displacement loops to results obtained by computational tools demonstrate the validity of the computational models.*

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## ABSTRACT

Seismic energy dissipation systems are typically installed in buildings within diagonal or chevron bracing to improve the seismic performance by reducing drift, and under certain conditions by reducing acceleration. Alternative installation methods have been developed in which novel mechanisms are utilized to magnify the displacements within the damping system and thus improve performance when drift is small and by doing so may also reduce the cost of damping. Examples are the lever-arm, the toggle-brace, the coupled-truss and the scissor-jack damper systems which have found a limited number of applications. All damping system installation methods visually and physically obstruct an otherwise accessible area within the bay of the frame to which they are installed. This drawback has resulted in the occasional rejection of use of damping systems by architects. This report introduces a novel configuration for damping devices with the main advantage of preserving open space within the frame of installation — hence the name “open space damping system”. The report introduces the concept, presents the theory and then presents computational models to verify the theory and to investigate the effects of frame configuration, frame deformations and large deformations on the effectiveness of the system. An experimental study of a large scale model with the open space damping system was conducted and used to acquire data for validating the developed analytical and computational models. Testing consisted of (a) a single portal frame tested under imposed lateral motion, and (b) a single story 32kip model tested on the shake table under seismic excitation. Two different configurations of the open space system (plus a third variant of one of the two) in three different structural system configurations were tested. The tests demonstrate the increase in damping provided by the damping system. Comparisons of experimental results in terms of structural drift, floor accelerations and force-displacement loops to results obtained by computational tools demonstrate the validity of the computational models.

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## SECTION 1

### INTRODUCTION AND THEORY OF OPEN SPACE DAMPING SYSTEM

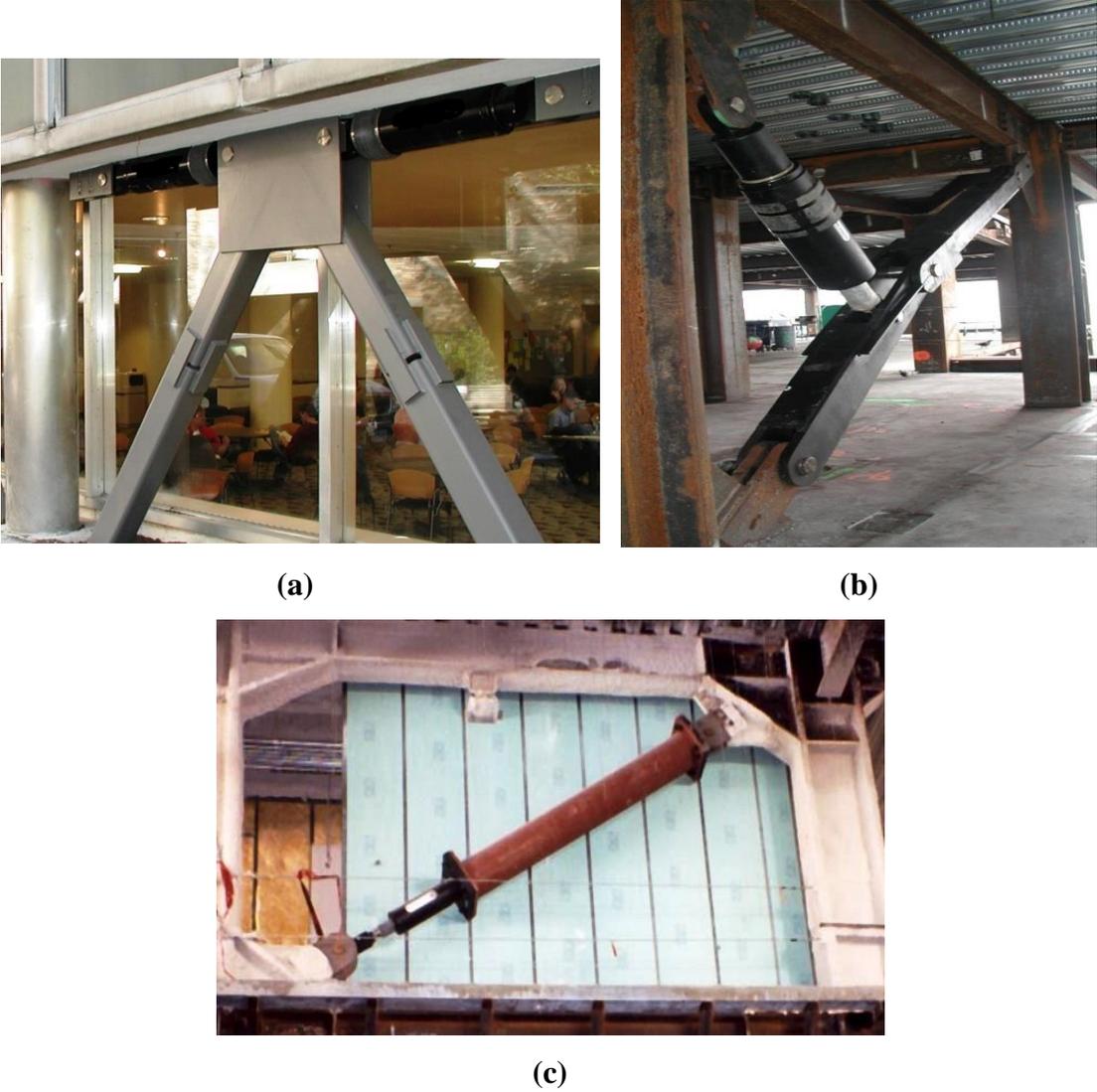
#### 1.1 General

Typical installation methods for damping systems in buildings result in the occupation of an entire bay of the frame to which they are installed (Soong and Dargush, 1997; Constantinou et al, 1998; Symans et al, 2008; McNamara et al, 2000; Christopoulos and Filiatrault, 2006). Examples are provided in Figure 1-1 where the most used configurations of diagonal, chevron and toggle-brace (Constantinou et al, 1997, 2001) are shown as installed in the Smith Memorial Center Building at Portland State University, Portland, Oregon, the San Francisco Civic Center and the Yerba Buena Tower in San Francisco. The obstruction of space is evident. The scissor-jack-damper configuration (Sigaher and Constantinou, 2003) allows for open space but it requires installation of the system at a large angle of inclination that, in turn, results in large forces in the toggles and higher cost of damping. Figure 1-2 shows an installation of the scissor-jack-damper system at the Olympic Committee Building in Cyprus where open space is provided at the expense of a large angle of inclination.

The installation of damping systems with obstruction of space is often undesirable by owners, architects and engineers. A noted example is the 24-story San Diego Courthouse (Sarkisian et al, 2015) where a damping system was installed only in the transverse direction whereas installation in the longitudinal direction would have compromised the interior layout and was unacceptable to the architect. Also, use of a scissor-jack damping system in the longitudinal direction would have been acceptable but the cost was unacceptable. The situation of the San Diego Courthouse provided the motivation for the work described in this report.

The development of simple and effective configurations of damping systems that preserve open space is thus useful and may extend the application of damping systems in buildings. This report describes a configuration for the installation of damping devices in buildings that preserves open space. The damper is installed parallel to the beams and damping is provided through a mechanism that allows for limited but sufficient magnification of motion. A theory is presented to relate the damper force and displacement to the frame lateral force and drift. This theory is verified by comparison to results obtained by computational models in commercial software.

The effects of the frame connection details and flexibility on the effectiveness of the damping mechanism are investigated. Also, large deformation effects are investigated and found to be insignificant for typical installation configurations. It is concluded that the open space damping system can provide displacement magnification that falls in-between the standard configurations of diagonal and chevron installation but without obstruction of space.



**Figure 1-1 Installation Methods of Damping Systems with Obstruction of Space (Images Courtesy of Taylor Devices, Inc.): (a) Chevron; (b) Reverse Toggle-Brace; (c) Diagonal**



**Figure 1-2 Installation of Scissor-Jack-Damper System with Open Space and Large Angle of Inclination (image by Michael C. Constantinou)**

In addition to the aforementioned diagonal, chevron, toggle-brace and scissor-jack systems, other systems with magnifying effects have been developed and are briefly mentioned below. They include the lever-arm system of Taisei Corporation or DREAMY (Hibino, et al., 1990), the Seesaw energy dissipation system (Kang and Tagawa, 2013), the Eccentric Lever-arm System (Baquero Mosquera, et al, 2016) and the Coupled Truss and Damping Mechanism used in the 57-story Torre Mayor building (Figure 1-3) in Mexico City (Rahimian, 2002).



**Figure 1-3 Coupled Truss and Damping Mechanism in Torre Mayor building in Mexico**

Consider a simple portal frame with some form of damping system installed. The period under elastic conditions is  $T$  and the effective seismic weight is  $W$ . The story drift,  $u$ , and the damper deformation  $u_D$  are related through the magnification factor  $f$  (Constantinou et al, 2001):

$$u_D = f \cdot u \quad (1-1)$$

For installation of dampers on top of chevron bracing, the damper deformation is identical to story drift (when excluding the supporting frame deformations) so that  $f=1.0$ . For the dampers installed in the diagonal configuration,  $f=\cos\theta$  where  $\theta$  is the angle of inclination of the damper with respect to horizontal axis. The force  $F_D$  along the damper axis is similarly related to the lateral force acting on the frame  $F$  by:

$$F = f \cdot F_D \quad (1-2)$$

The damping ratio of a single-story frame with a linear fluid viscous damper assembly in which the damper force is linearly related to the damper velocity ( $F_D = C\dot{u}$ , where  $\dot{u}$  is the damper velocity) is given by the following equation (Constantinou et al, 2001) where  $g$  is the acceleration of gravity:

$$\beta = \frac{C \cdot f^2 \cdot g \cdot T}{4 \cdot \pi \cdot W} \quad (1-3)$$

Equations (1-1) to (1-3) are valid for all types of damper installation configurations, including the open space damping system described in this report. Figure 1-4 illustrates frames with several damper installation configurations and presents expressions for the magnification factor. Note that the expressions for the magnification factor only account for the effects of the lateral frame deformations and do not include vertical motion, frame and bracing deformations and large rotation effects, with the exception of the Coupled Truss and Damping Mechanism for which the vertical motion is important in the effectiveness of the system. Particularly for the seesaw configuration, the magnification factor is markedly affected by the change of length of the cables forming the mechanism. The magnification factor shown in Figure 1-4 for the seesaw configuration applies when the cable deformation is zero and when the cables are tension-only members.

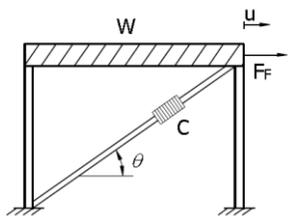
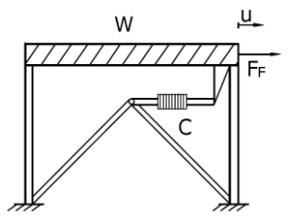
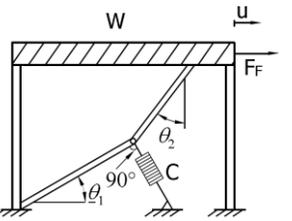
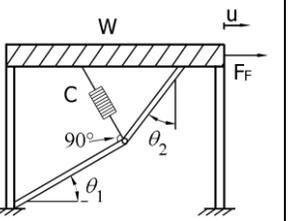
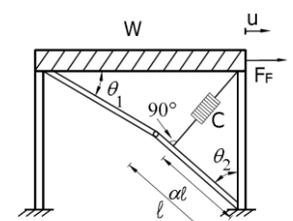
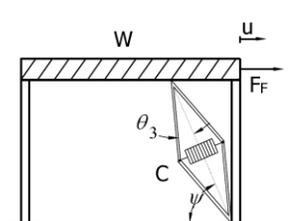
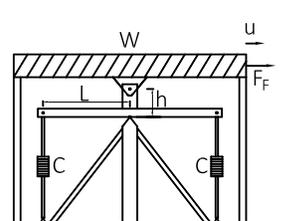
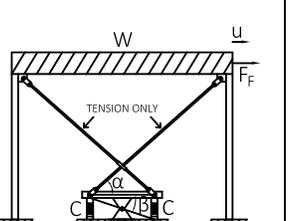
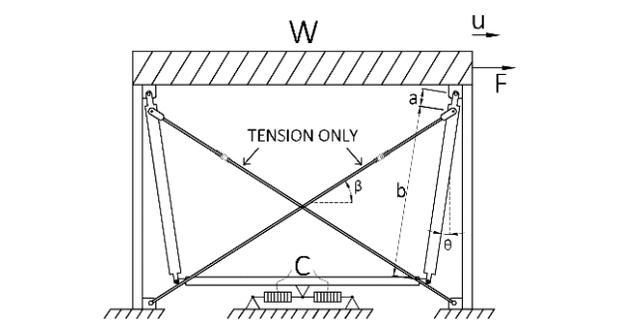
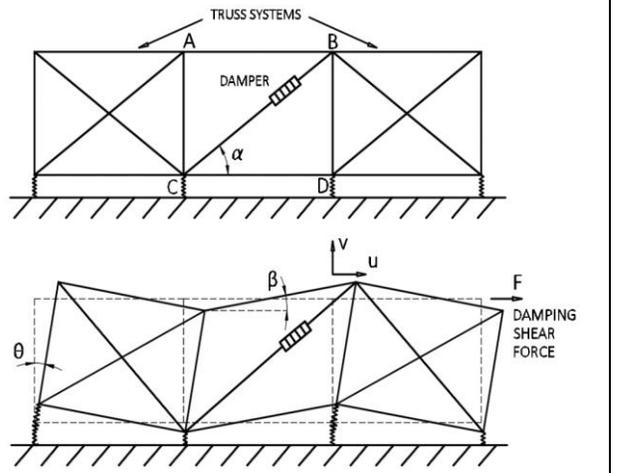
Diagonal	Chevron	Lower Toggle	Upper Toggle
 $f = \cos\theta$	 $f = 1.00$	 $f = \frac{\sin\theta_2}{\cos(\theta_1 + \theta_2)}$	 $f = \frac{\sin\theta_2}{\cos(\theta_1 + \theta_2)} + \sin\theta_2$
Reverse Toggle	Scissor-Jack	Lever-Arm	Seesaw
 $f = \frac{\alpha \sin\theta_1}{\cos(\theta_1 + \theta_2)} - \cos\theta_2$	 $f = \frac{\cos\psi}{\tan\theta_3}$	 $f = \frac{L}{h}$	 $f = \frac{\cos\alpha\cos\beta}{\sin(\alpha + \beta)}$
Eccentric Lever-arm System		Coupled Truss and Damping Mechanism	
 $f = \frac{a + b \cos\theta\cos\beta}{a \cos(\beta + \theta)} - 1$		 $u_D = u\cos\alpha + v\sin\alpha$ $f = \frac{u_D}{u}$	

Figure 1-4 Magnification Factors of Various Damper Configurations

The majority of applications of damping systems utilize the diagonal configuration, followed by the chevron brace and with a few applications of the toggle and scissor-jack configurations. The magnification factor for the diagonal configuration is typically in the range of 0.7 to 0.9. It may be also noted that all of the damper configurations illustrated in Figure 1-4 occupy entire frame bays.

## 1.2 Open Space Damping System

Consider now the open space damping system configurations illustrated in Figure 1-5 for a single story frame. In these configurations, a damper is attached to the beam and the damper piston rod is driven by a brace and an inclined lever mechanism (rocker plate). The brace is inclined and may be connected to the column (Figure 1-5(a)) or to the beam below (Figure 1-5(b))- the difference between the two being the sign of the angle  $\theta_2$  (negative in the case of Figure 1-5(a) and positive in the case of Figure 1-5(b)). Note that angles are positive in the counterclockwise direction so that angle  $\theta_1$  is positive for all cases of Figure 1-5, angle  $\theta_2$  is negative in Figure 1-5(a) and Figure 1-5(c) but is positive in Figure 1-5(b) and angle  $\theta_3$  is negative in Figure 1-5(a) and Figure 1-5(b) but is positive in Figure 1-5(c). Note that Figure 1-5(c) shows a modification of the mechanism where the rocker plate is reversed so that a larger angle  $\theta_1$  can be achieved. The magnification factor is shown in the figure (the derivation will be presented next). As an example, using parameters  $h/L=2$ ,  $\theta_1=0$ ,  $\theta_2=-25^\circ$ ,  $\theta_3=-20^\circ$  for the open-space configuration of Figure 1-5(a), which allows for the most open space, the magnification factor is  $f=0.8$ . For the configuration of Figure 1-5(b) with  $\theta_1=0$ ,  $\theta_2=25^\circ$  and  $\theta_3=-20^\circ$ , the magnification factor is 1.12. Thus for some geometries of the open-space damper configurations, a magnification factor similar to the diagonal and chevron brace configurations is achieved. Greater magnification factors may also be achieved as will be described in the sequel.

## 1.3 Magnification Factor of the Open Space Damping System

Figure 1-6 illustrates the open space damping system configuration of Figure 1-5(a) within a bay of one story frame in the un-deformed (Figure 1-6(a)) and deformed (Figure 1-6(b)) states, where the kinematics are considered without any due consideration for the frame

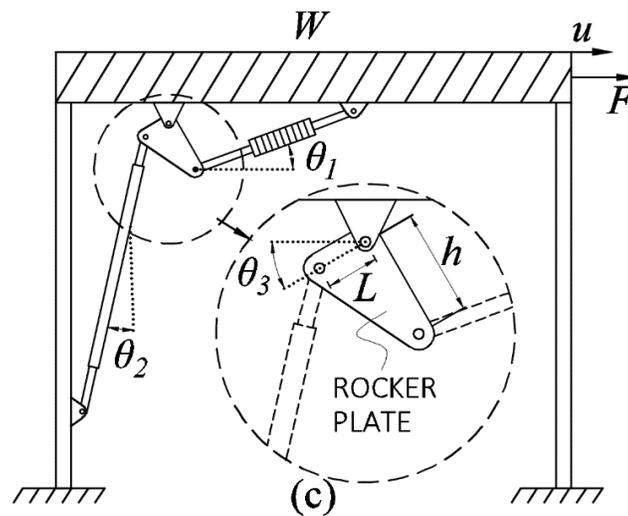
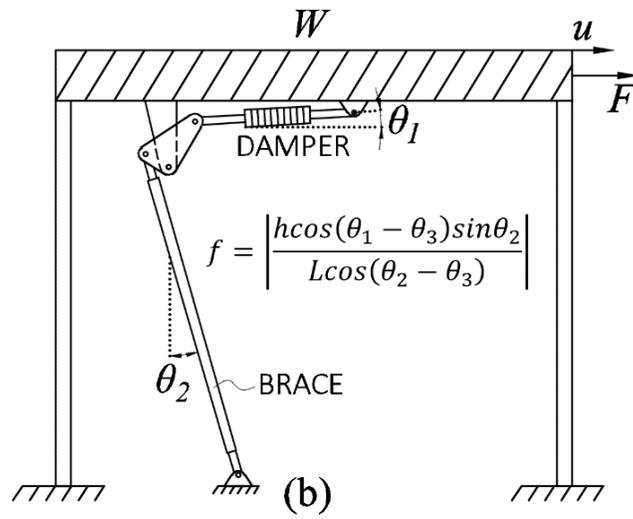
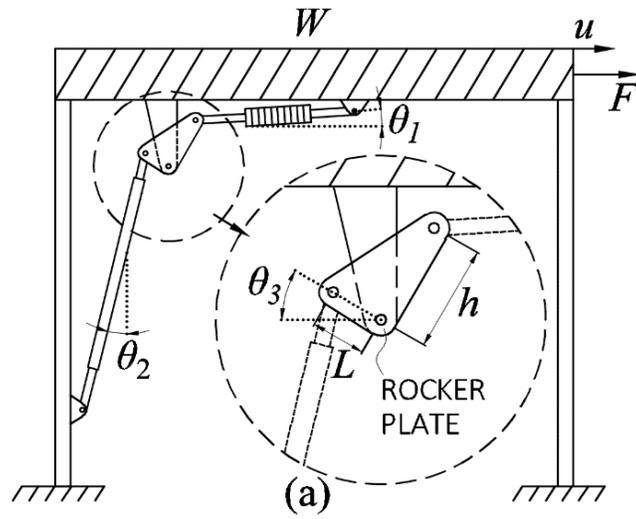


Figure 1-5 Configurations of the open space damping system

deformation, an assumption that will be relaxed in later analysis. The analysis presented next also applies for the configurations of Figure 1-5(b) and Figure 1-5(c), which are distinguished from those of Figure 1-5(a) only by the sign of the three angles as previously discussed. The relationship between the damper displacement ( $u_D$ ) and story drift ( $u$ ) is represented by factor  $f$  defined as follows:

$$f = \frac{u_D}{u} = \frac{|\overline{B'D'} - \overline{BD}|}{u} \quad (1-4)$$

where  $\overline{BD}$  and  $\overline{B'D'}$  are the lengths of segments  $BD$  and  $B'D'$ , respectively. The displacement of the damper assuming large rotations is given by Equation (1-5). The  $\pm$  sign in Equation (1-5) is used to distinguish two cases related to the orientation of the rocker plate: when point B is positioned above point A (as in Figure 1-5(a) and Figure 1-5(b)), the sign is positive (+) and when point B is below point A (as in Figure 1-5(c)) the sign is negative (-). The rotation  $\theta$  (positive  $\theta$  is in counter-clockwise direction) of the rocker plate at point A is determined by solving Equation (1-6). Details of the derivation of Equations (1-5) and (1-6) are presented in Appendix A. Equations (1-5) and (1-6) reveal a complex nonlinear relation between the damper displacement ( $u_D$ ) and the drift ( $u$ ). An explicit closed-form solution of these equations to relate  $u_D$  to  $u$  is not possible so a solution can only be obtained by numerical means.

$$u_D = \pm(h \sin(\theta_1 - \theta_3) + h \sin(\theta - \theta_1 + \theta_3)) \quad (1-5)$$

$$\begin{aligned} \frac{u^2}{L_{EC}} + \frac{2uL}{L_{EC}}(\cos(\theta_3) - \cos(\theta_3 - \theta)) + 2u \sin(\theta_2) + 2L \sin(\theta - \theta_2 + \theta_3) \\ + 2L \sin(\theta_2 - \theta_3) = 0 \end{aligned} \quad (1-6)$$

Significant simplification is achieved by assuming that  $\theta$  is small so that  $\sin\theta \sim \theta$  and  $\cos\theta \sim 1$  and that the drift  $u$  is small so that  $u^2$  and  $u\theta$  are higher order terms and are ignored. Under these conditions, the displacement of the damper is given by Equation (1-7) and angle  $\theta$  is given by Equation (1-8). The magnification factor is given by Equation (1-9).

$$u_D = \pm(h\theta \cos(\theta_1 - \theta_3)) \quad (1-7)$$

$$\theta = -\frac{u \sin \theta_2}{L \cos(\theta_2 - \theta_3)} \quad (1-8)$$

$$f = \left| \frac{h \cos(\theta_1 - \theta_3) \sin \theta_2}{L \cos(\theta_2 - \theta_3)} \right| \quad (1-9)$$

Equation (1-9) shows that the magnification factor attains very large values as the difference between angles  $\theta_2$  and  $\theta_3$  approaches  $90^\circ$  (but the  $90$  degree configuration is useless as it acts as a bracing system). Figure 1-7 present graphs of the magnification factor for a range of values of angles  $\theta_1$ ,  $\theta_2$  and  $\theta_3$ . The graphs demonstrate that magnification factor is slightly dependent on angle  $\theta_1$ , so that the configuration with  $\theta_1=0$  is preferred as it results in the most open space.

#### 1.4 Forces in Members of Open Space Damping System

Forces in the open space damping system are needed for the assessment of the adequacy of the braces and for establishing the relation between the force in the damper and the horizontal component of the damping force that acts on the structure.

The forces that act on the open space damping system and to the frame to which the system is attached are shown in Figure 1-8. Force  $F$  that acts on the frame represents the component of the inertia force that is balanced by forces supplied by the damping system. Considering equilibrium in the un-deformed configuration (an assumption consistent with small displacement theory), the force  $T$  in the brace is given by:

$$T = \frac{h}{L} F_D \frac{\cos(\theta_3 - \theta_1)}{\cos(\theta_3 - \theta_2)} \quad (1-10)$$

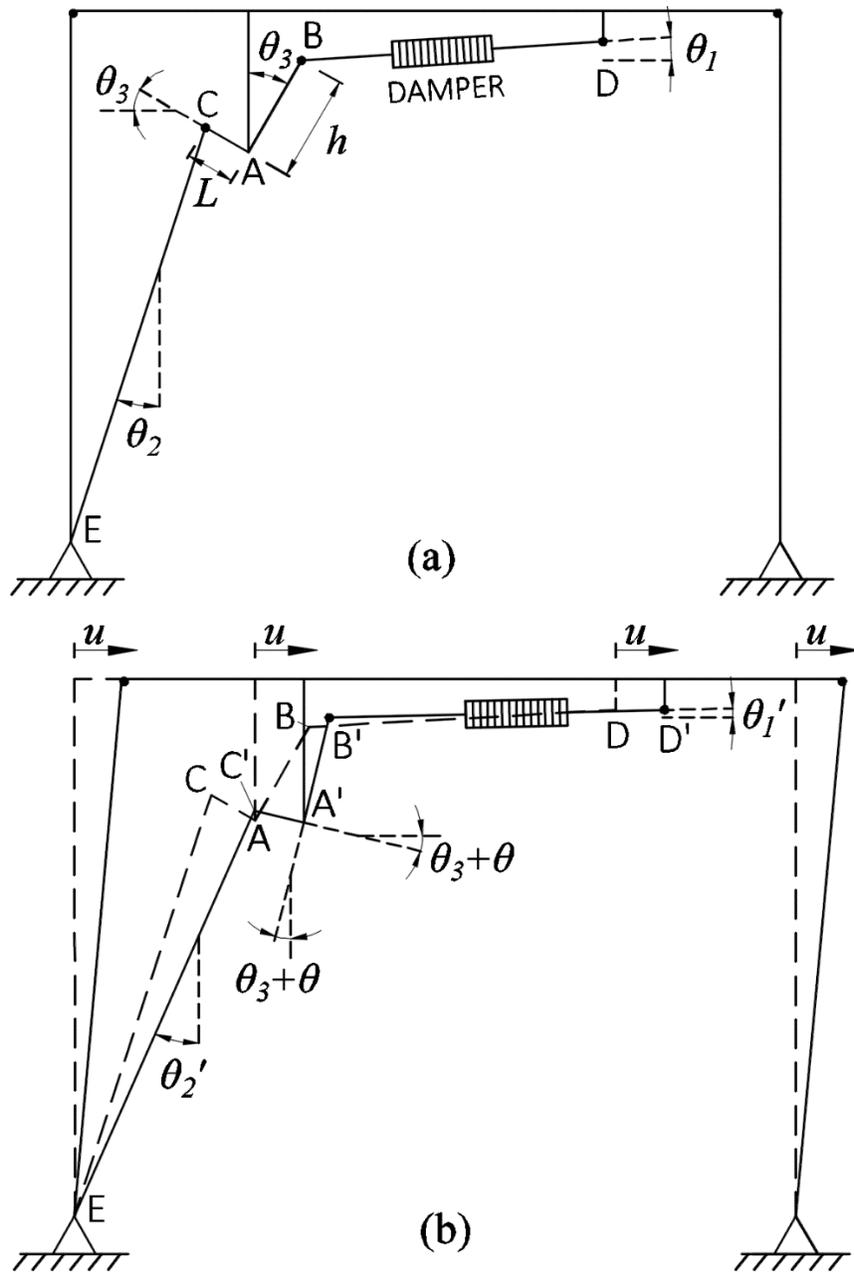
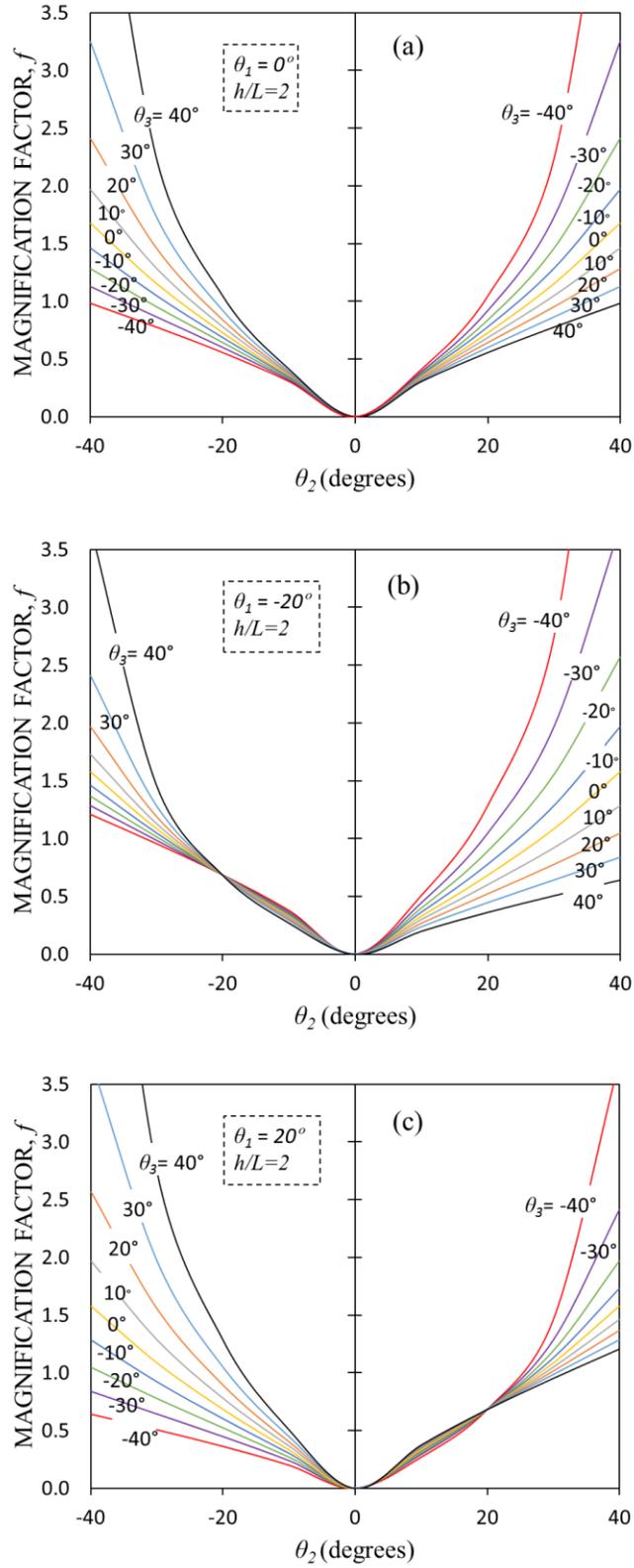


Figure 1-6 Analysis of Motion Open Space Damping System



**Figure 1-7 Dependency of Magnification Factor on Angles  $\theta_2$  and  $\theta_3$ : (a)  $\theta_1=0^\circ$ ; (b)  $\theta_1=-20^\circ$ ; (c)  $\theta_1=20^\circ$**



and  $\psi_2$  denote the rotations of points  $F$  and  $G$  where the damper assembly is connected to the beam. The distance between points  $F$  and  $A$  is denoted as  $h_1$  and that between points  $G$  and  $D$  as  $h_2$ . Positive vertical displacements are downwards and positive rotations are counterclockwise.

Returning to Equations (1-7) and (1-8) for the case of small rotations, the damper displacement  $u_D$  and the rotation of rocker plate  $\theta$  are given by:

$$u_D = \pm \theta h \cos(\theta_1 - \theta_3) + (h_2 \psi_2 - h_1 \psi_1) \cos \theta_1 + (v_1 - v_2) \sin \theta_1 \quad (1-13)$$

$$\theta = -\frac{1}{L \cos(\theta_2 - \theta_3)} [(u + h_1 \psi_1) \sin \theta_2 + v_1 \cos \theta_2] \quad (1-14)$$

Again, the positive (+) sign in Equation (1-13) is used when point B is located above point A and the negative (-) sign is used when point B is located below point A. Substituting Equation (1-14) into Equation (1-13) forms:

$$u_D = \pm \left( -\frac{h \cos(\theta_1 - \theta_3)}{L \cos(\theta_2 - \theta_3)} [(u + h_1 \psi_1) \sin \theta_2 + v_1 \cos \theta_2] \right) + (h_2 \psi_2 - h_1 \psi_1) \cos \theta_1 + (v_1 - v_2) \sin \theta_1 \quad (1-15)$$

The vertical displacements  $v_1$  and  $v_2$  and the rotations  $\psi_1$  and  $\psi_2$  may be further written as functions of the lateral displacement  $u$  in the form:

$$v_1 = \alpha_1 u, \quad v_2 = \alpha_2 u, \quad \psi_1 = \beta_1 u, \quad \psi_2 = \beta_2 u \quad (1-16)$$

Coefficients  $\alpha_1$ ,  $\alpha_2$ ,  $\beta_1$  and  $\beta_2$  are constants independent of the lateral frame deformation and velocity provided that the frame is elastic and the effect of the damper force on the frame deformations is disregarded.

The magnification factor can then be written as:

$$f = \left| \pm \left( -\frac{h \cos(\theta_1 - \theta_3)}{L \cos(\theta_2 - \theta_3)} [(1 + \beta_1 h_1) \sin \theta_2 + \alpha_1 \cos \theta_2] \right) \right. \\ \left. + (h_2 \beta_2 - h_1 \beta_1) \cos \theta_1 + (\alpha_1 - \alpha_2) \sin \theta_1 \right| \quad (1-17)$$

Consider a single story portal frame with pinned supports and with W8x15 steel sections for the columns and a W8x13 section for the beam. Height and bay length are 75.13inch (1908mm) and 100inch (2540mm), respectively. Let points F and G be located at 0.23 and 0.68 of the beam length starting from the left column centerline (this is an actual frame built for testing of the open space damper configuration-see Figure 2-1 in next section). Static analysis of the frame for a rigid beam-to-column connection on the left and a simple beam to-column connection on the right resulted in  $\alpha_1 = 0.164$ ,  $\beta_1 = -0.0046$ ,  $\alpha_2 = 0.12$ ,  $\beta_2 = 0.0047$ . The analysis was performed with lateral force acting at the beam-to-column connection. Note that the amplitude of the applied force does not affect the values of these parameters. Figure 1-10 compares the magnification factor as determined using the deformed beam configuration (red lines) to that when the frame deformations are neglected (case  $\alpha_1=\beta_1=\alpha_2=\beta_2=0$ , black lines). The results demonstrate the frame deformation may result in either an increase or a decrease in the magnification factor, depending on the configuration of the system. For example the use of a positive angle  $\theta_2$  (configuration of Figure 1-5(b)), results in an increase in the magnification factor whereas a negative angle  $\theta_2$  (configuration of Figure 1-5(a)) results in a decrease in the magnification factor. Also, when the portal frame is changed to one with a simple beam-to-column connection on the left and a rigid beam-to-column connection on the right the situation reverses so that a negative value of angle  $\theta_2$  (configuration of Figure 1-5(a)) results in an increase in the magnification factor.

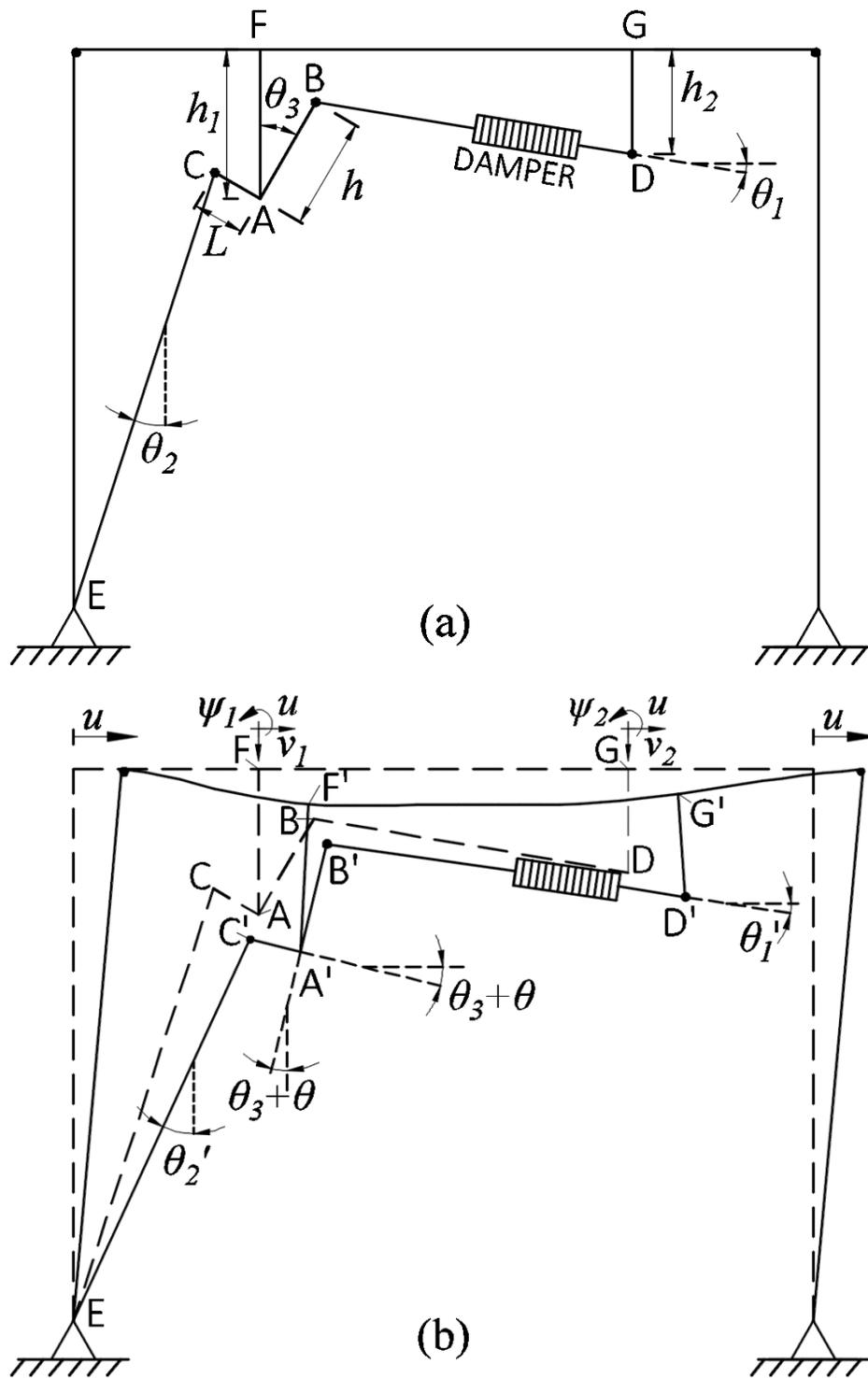
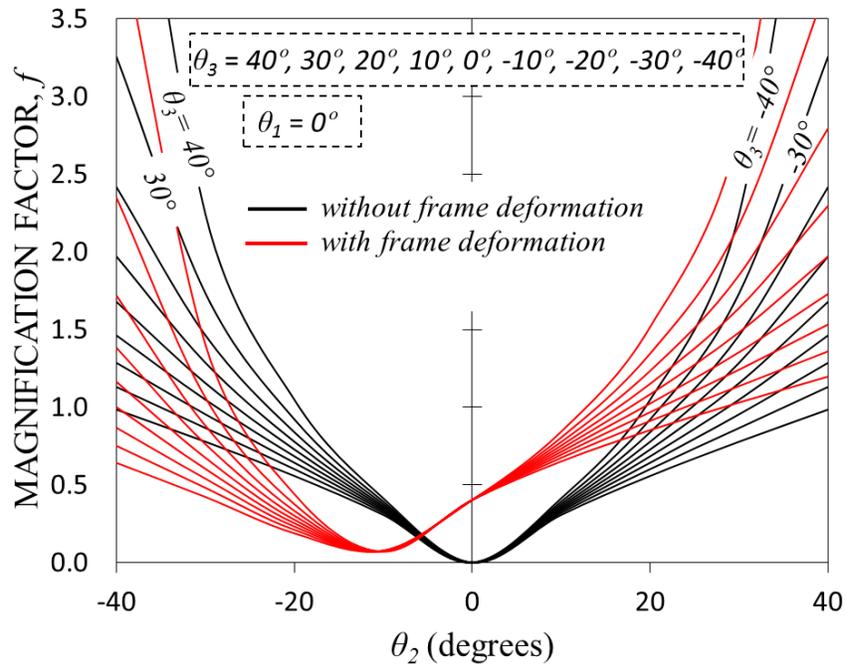


Figure 1-9 Analysis of Motion of Open-Space Damping System Considering Horizontal and Vertical Displacements



**Figure 1-10 Dependency of Magnification Factor on Geometry of Open Space Damping System with and without Effect of Vertical Deformation**

## SECTION 2

### VERIFICATION OF THE THEORY

#### 2.1 Introduction

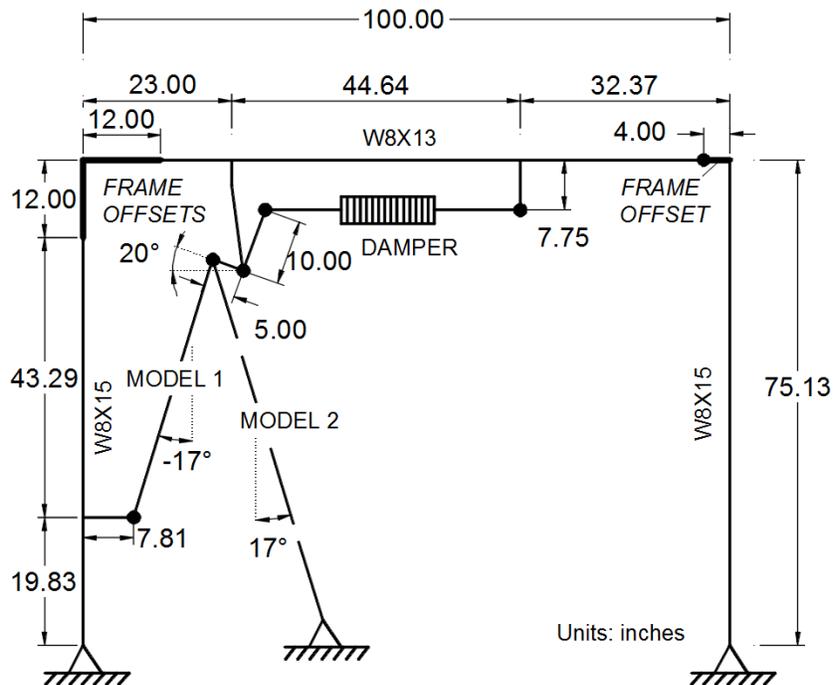
A verification of the theory is presented based on analysis of sample frames using the computer program SAP2000, v17 (Computer and Structures, 2015) based on the assumption of small deformations (therefore, also small angles of rotation) and elastic behavior (both these assumptions will be relaxed later in this report). The frame has been designed and built as a half-length scale model for testing the open space damping system in individual frames and in a one-story building model on the earthquake simulator (see Section 3 and Figure 3-1).

#### 2.2 Computational Model and Theory Verification

The frame features simple connections to the ground and beam-to-column connections that are simple but can be converted to rigid. For example, Figure 2-1 illustrates a case where the beam-to-column connection on the left is rigid and the beam-to-column connection on the right is simple.—The beam is a W8X13 section and the columns are W8X15 sections. The frame features two open space damping system configurations—those of Figure 1-5(a) and 1-5(b), named Model 1 and Model 2, respectively. A third configuration (see Figure 3-1), Model 3, is a modification of Models 1 and 2 in which the damper is connected directly at the column near the beam-to-column connection so that there is reduced effect of the frame deformations on the magnification factor. The braces are solid rods of 2 inch (51mm) diameter. Based on the information presented in Figure 2-1, Model 1 is characterized by parameters  $\theta_1=0$ ,  $\theta_2=-17^\circ$  and  $\theta_3=-20^\circ$ , Model 2 is characterized by parameters  $\theta_1=0$ ,  $\theta_2=17^\circ$  and  $\theta_3=-20^\circ$  and Model 3 (see Figure 3-1), has the brace configured as that of Model 2 and is characterized by parameters  $\theta_1=-2^\circ$  (damper is installed slightly inclined to accommodate the connection details),  $\theta_2=17^\circ$  and  $\theta_3=-20^\circ$ . All three configurations have  $h=10$  inch (254mm) and  $L=5$  inch (127mm).

The SAP2000 model, illustrated in Figure 2-1, was skeletal with proper offsets for all details of the frame and the damping system but without a damper so that the damper force effects were excluded. The beam-to-column joint on the right was subjected to a prescribed

displacement (1inch or 25.4mm) and the change of length of the points of attachment of the damper was calculated. The ratio of this displacement to the imposed joint displacement (1 inch) is the magnification factor. When analysis was conducted with simple beam-to-column connections (frame is a mechanism), there was only rigid body motion so that the result is comparable to the result obtained by Equation (1-9). For the cases where one or two beam-to-column connections are rigid, there are frame deformations and the results are comparable to the results obtained by Equation (1-17). To utilize Equation (1-17), parameters  $\alpha_1$ ,  $\beta_1$ ,  $\alpha_2$  and  $\beta_2$  were calculated by applying a lateral force on the frame and calculating the displacements of the points of attachments of the damper as previously described. Note that the calculation of parameters  $\alpha_1$ ,  $\beta_1$ ,  $\alpha_2$  and  $\beta_2$  is performed herein only for verifying Equation (1-17). In practice, if a static analysis of a structure is performed, the magnification factor can be directly obtained without the need of Equation (1-17). Table 2-1 presents values of the magnification factor as determined by the presented theory for small rotations, excluding frame deformation effects (Equation (1-9)) and then including frame deformation effects (Equation (1-17)) for four different frame connection details. The results in Table 2-1 demonstrate the accuracy of Equations (1-9) and (1-17). There is insignificant difference between the theoretical and computational results on the magnification factor.



**Figure 2-1 Illustration of Computational Model**

A further computational analysis was performed in which the model was enhanced with a linear viscous damper having constant 0.36 kip-sec/in ( $C=63\text{N-sec/mm}$ ). The right beam-to-column connection was driven in harmonic cyclic motion of 1Hz frequency and 1 inch (25.4mm) amplitude. The peak damper force acting on the frame is nearly equal to 0.25 of the frame base shear force-that is, is sufficiently large. The magnification factor, calculated as the ratio of the peak damper displacement to the amplitude of the imposed motion, is included in Table 2-1. The value of the magnification factor includes the effects of the damping force on the frame deformations. It may be seen that there is insignificant effect of the damping force on the magnification factor when is calculated with due consideration of the frame deformation effects. That is, consideration of the frame deformation effects is important but the damping force effects are insignificant.

The results of Table 2-1 demonstrate the significance of the frame deformation on the magnification factor. Depending on the frame configuration and the open space damping system configuration, there may be increase or decrease of the magnification factor. Of interest is to note the case of configuration S-S without a damper for which the analyzed structure is a mechanism and one would expect that Equation (1-9) would predict an exact result as there is no deformations of the frame. The results in Table 2-1 show some differences, particularly for Model 3, as a result of rigid-body rotations so that the points of connection of the damping system to the beam and columns experience additional motion.

To investigate the effect of increased damping forces on the system behavior another study was conducted by increasing the damper coefficient from  $C=0.36\text{kip-sec/in}$  to  $C=0.72\text{kip-sec/in}$  and repeating the analysis for prescribed displacement of 1inch amplitude at 1Hz frequency. Table 2-2 compares the results in the two cases of damping constant. There is insignificant effect on the magnification factor despite the increase in the damper forces. Figures 2-2 and 2-3 present the calculated damper force-damper displacement and frame lateral force and lateral displacement loops in the two models of Table 2-2. Note that the nomenclature used in the figures relates to the cases in the table: e.g. Rigid-Simple implies a rigid beam-to-column connection at the left joint and a simple beam-to-column connection at the right joint of the frame. The maximum values of damper displacement and force, and of the force exerted by the damping system on the frame (zero displacement force intercept) are marked on the loops of Figures 2-2 and 2-3. The effect of the damping system is seen in these figures by the increase in

the zero displacement force intercept and the increase in energy dissipated per cycle (area of hysteresis loop) in the frame lateral force-displacement loops.

**Table 2-1 Values of magnification factor obtained by theory and by computational analysis**

Beam-to-Column Connection	Assumptions	Model	Theory	Computational Analysis
S-S	No frame deformation. No damper (Theory using Eq. 1-9)	1	0.55	0.59
		2	0.69	0.69
		3	0.70	0.82
R-S	With frame deformations. No damper (Theory using Eq. 1-17)	1	0.33	0.35
		2	0.89	0.91
		3	1.03	1.07
S-R	With frame deformations. No damper (Theory using Eq. 1-17)	1	0.62	0.67
		2	0.70	0.68
		3	0.78	0.75
R-R	With frame deformations. No damper (Theory using Eq. 1-17)	1	0.42	0.46
		2	0.83	0.83
		3	0.91	0.91
R-S	With frame deformations. With damper	1	NA	0.35
		2		0.91
		3		1.07
S-R	With frame deformations. With damper	1	NA	0.67
		2		0.68
		3		0.75
R-R	With frame deformations. With damper	1	NA	0.46
		2		0.82
		3		0.91

S: Simple, R: Rigid, R-S: Rigid on left and simple on right, etc.

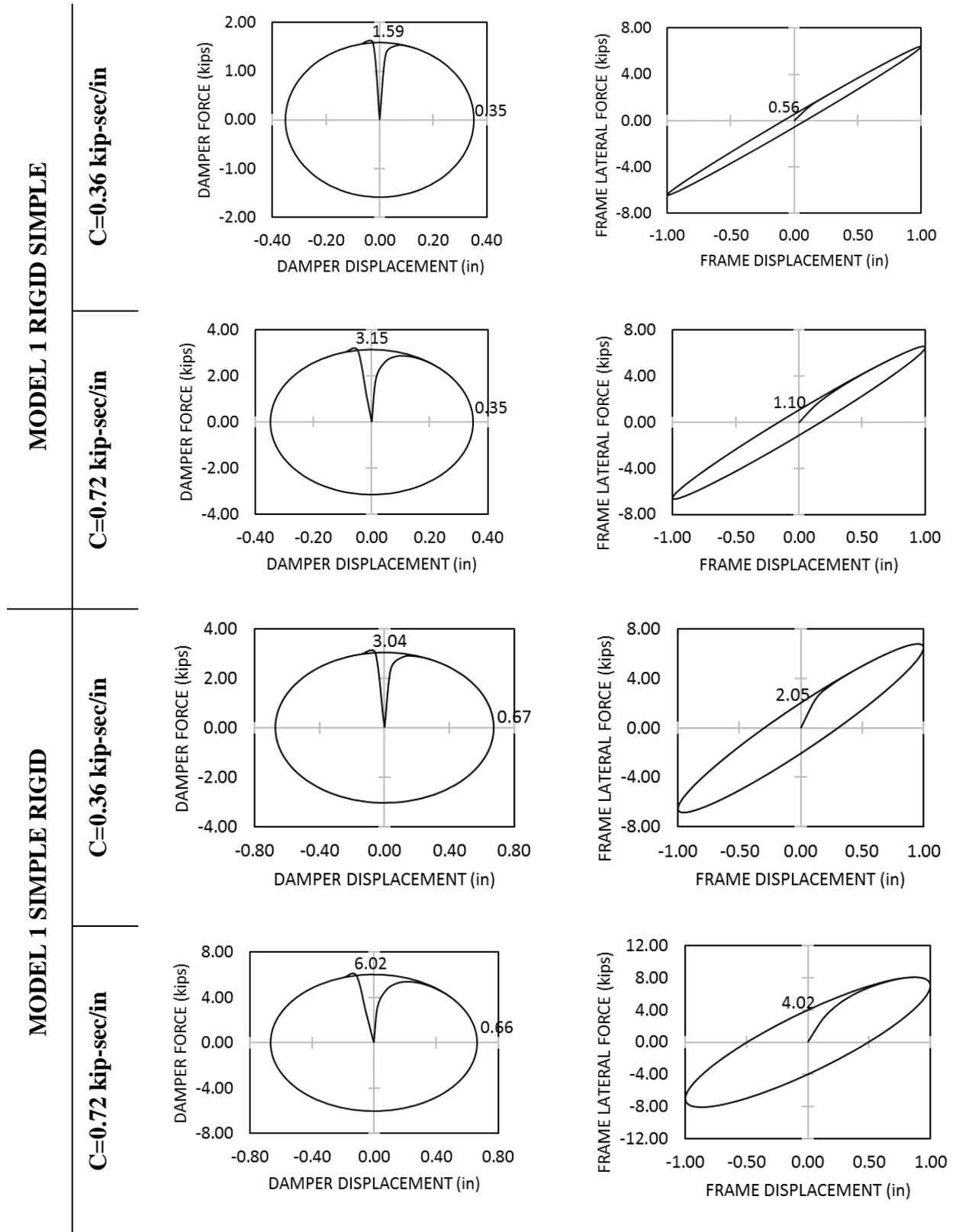
**Table 2-2 Magnification Factor, Peak Damper Force and Peak Damper Displacements of Model 1 and Model 2 for C=0.36 and 0.72kip-sec/in in 2Hz, 1inch Motion of Frame Top**

	Beam-to-Column Connection (Left-Right)	C (kip-sec/in)	Damper Force (kip)	Damper Disp. (in)	Magnification Factor
<b>MODEL 1</b>	Rigid-Simple	0.36	1.59	0.35	0.35
		0.72	3.15	0.35	0.35
	Simple-Rigid	0.36	3.04	0.67	0.67
		0.72	6.02	0.66	0.66
<b>MODEL 2</b>	Rigid-Simple	0.36	4.14	0.91	0.91
		0.72	8.17	0.90	0.90
	Simple-Rigid	0.36	3.07	0.68	0.68
		0.72	6.02	0.67	0.67

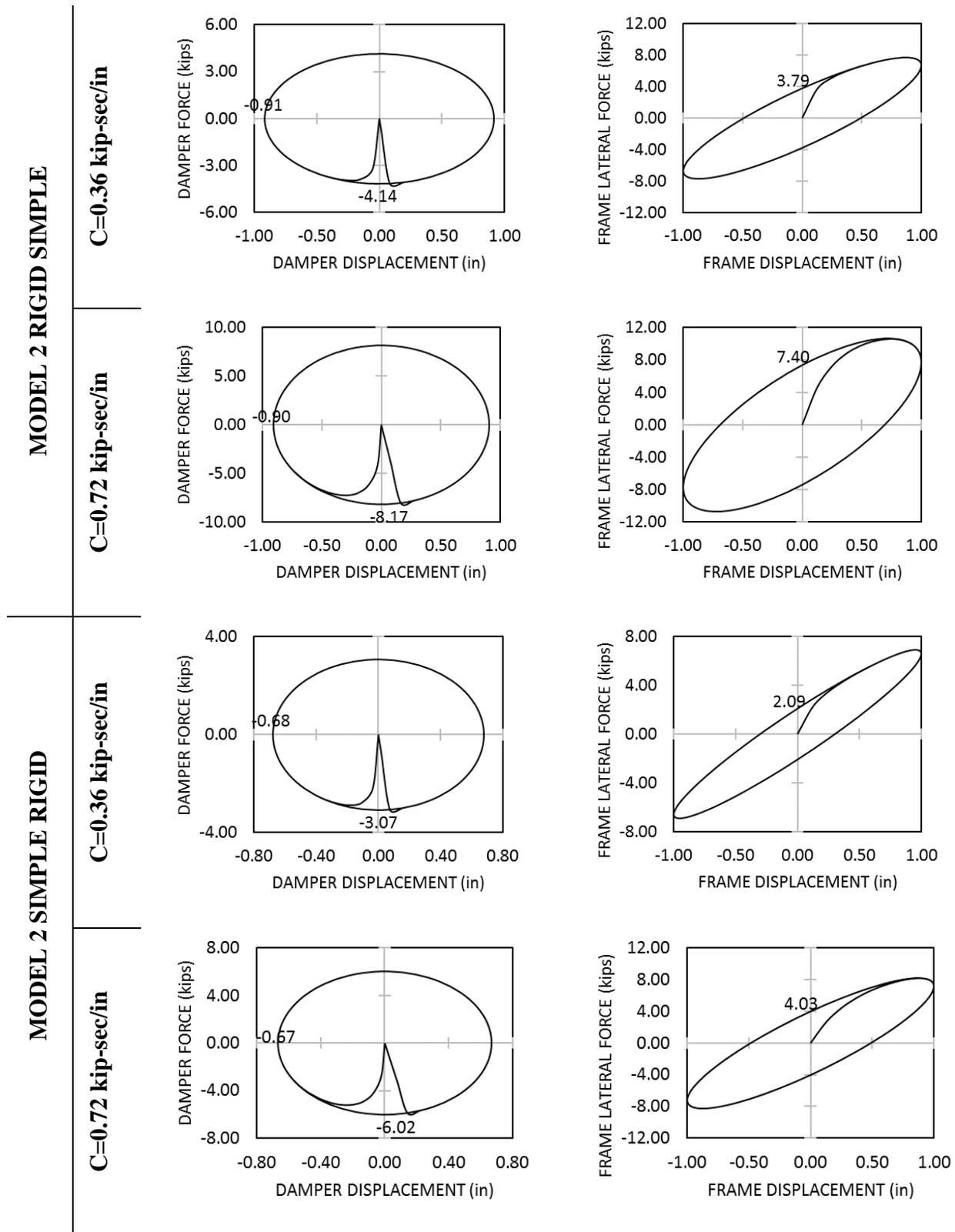
### 2.3 Large Rotation and Inelastic Behavior Effects

The described damping system employs a displacement magnification mechanism that operates by rotating parts. When the angles of rotation change, the magnification mechanism may be affected as indicated in the results of Figure 1-7 for the cases of large values of the magnification factor where it is seen that small changes in geometry result in large changes in the magnification factor. The effect of large rotation is investigated by activating in program SAP2000 the capability for geometric nonlinearities, P-delta and large-displacement/rotation effects. The frame shown in Figure 2-1 in the configurations of Model 1 and Model 2 with rigid connections on the left and simple connections on the right, and Model 3 (see Figure 3-1) with both rigid connections, and without a damper has been analyzed with due consideration of the geometric nonlinearity effects and under elastic frame conditions. Note the analyzed frame has realistic damping system geometry that can produce useful magnification factors.

The calculation of the magnification factor followed the procedure previously described in which the joint on the right was subjected to a prescribed displacement. This process was repeated for several values of the displacement. Figure 2-4 presents the magnification factor calculated as the damper displacement (change of length of points of attachment of the damper) divided by the imposed frame lateral displacement as function of the frame drift ratio (drift divided by height of 75.13 inch (1908mm)) without and with due consideration of geometric nonlinearity effects. Drift ratio values of up to 0.04 are considered. It is evident that geometric nonlinearity effects have insignificant effect for values of the drift ratio up to 0.04. It should be noted that the values of the magnification factor in the three models are 0.91, 0.35 and 0.91, respectively, as calculated by small deformation theory (see Table 2-1). Per Figure 1-7, these values of the magnification factor are rather insensitive to variations in the geometry, which explains the result of the analysis with large deformations/rotation effects. It is evident based on inspection of the results in Figure 1-7 that configurations with large magnification factors (larger than unity) will have more sensitivity to geometric effects. However, these configurations will also be intrusive and will defeat the desire for open space. For the analyzed configurations which are practical, large rotation effects are insignificant.



**Figure 2-2 Damper force-displacement loops and frame lateral force-displacement loops of Model 1 with C=0.36 and 0.72 kip-sec/in**



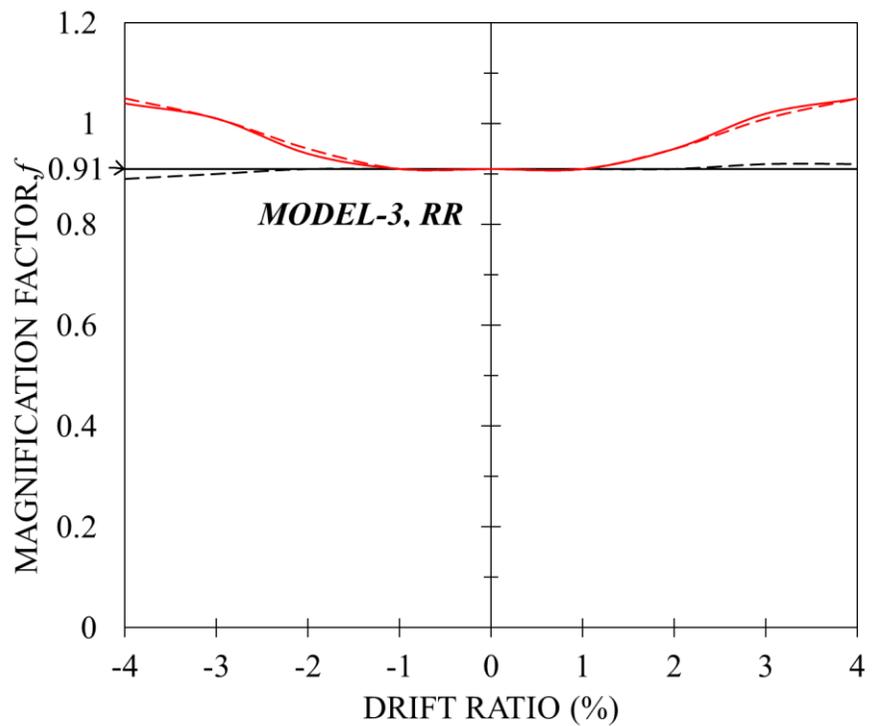
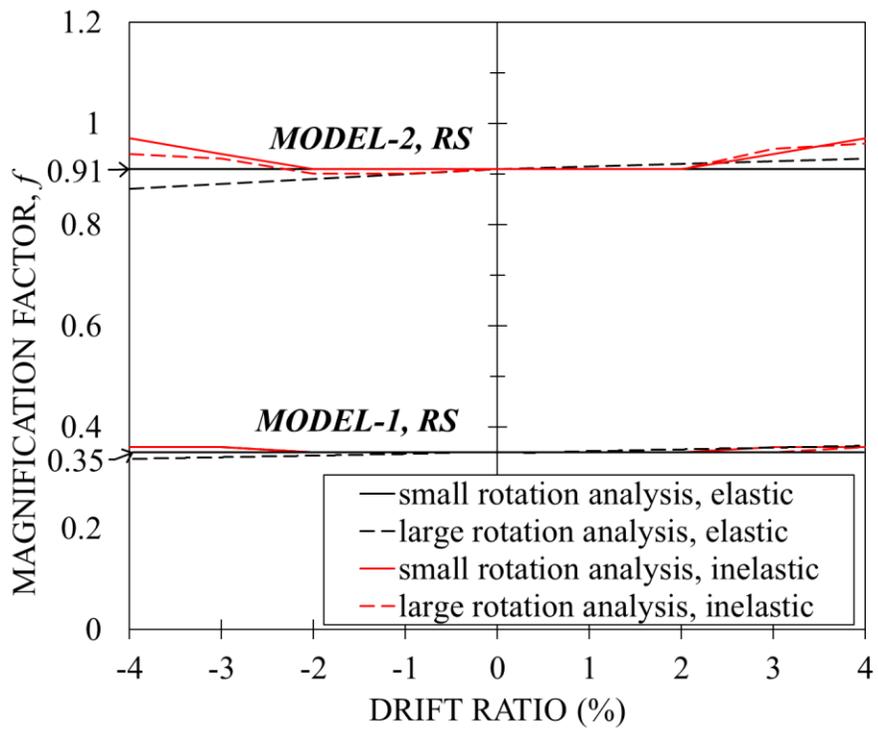
**Figure 2-3 Damper force-displacement loops and frame lateral force-displacement loops of Model 2 with C=0.36 and 0.72 kip-sec/in**

Damping systems (DS) may be installed in frames that are designed to remain elastic and typically will feature simple connections, whereas the seismic force resisting system (SFRS) will be provided by separate frames that typically will undergo inelastic deformations. Also, the DS and SFRS may be integrated into a single configuration in which the two systems have common elements. The commentary to the recent FEMA P-1050 (FEMA, 2015) best illustrates these concepts. In the former system configuration, the DS and SFRS do not share any elements and frame deformation and inelastic effects do not affect the magnification factor. In the latter configuration, the DS and SFRS have common elements and the frame deformation and inelastic action may have effects on the magnification factor. This is investigated by recalculating the magnification factor in the examples of Figure 2-4 by allowing inelastic action and also considering small and large deformation/rotation effects. For the inelastic analysis, plastic hinges were assumed to form at the location of the rigid beam-to-column connections. Analysis was performed using the FEMA 356 plastic hinge feature of program SAP2000 with material yield strength of 345MPa or 50ksi. The results are included in Figure 2-4 where it is seen that the effect of inelastic action on the magnification factor is small and beneficial. The result may be explained by considering that when plastic hinges develop there is less column rotation and deformation effects on the beam to which the rocker plate is attached.

The analysis of the example frames also produced results on member forces that are of interest to discuss as the addition of the damping system changes the load paths and affects, among other things (e.g., see Constantinou et al, 1998), the member axial forces. Concentrating on the case of Model 3 with R-R configuration as having the largest magnification factor (0.91 per Table 2-1), the inclined brace force, the column axial forces and the beam axial force were calculated in the analysis under elastic, small rotations conditions. The analysis included the damper force and the frame was driven in prescribed motion of 1Hz frequency and 1 inch (25.4 mm) amplitude as previously described in connection with the results of Table 2-1. Peak values of damper force, column and beam additional axial force and brace axial force (compression or tension) were as follows: (a) Damper, 2.09kip (9.3kN), (b) Brace, 4.95kip (22.0kN), (c) Column 13.76 kip (61.2kN) and (d) Beam, 14.1kip (62.7kN). Note that the axial beam and column forces are caused by the (portal) frame action during application of the lateral force that has a peak value of 17.2kip (76.4kN). Analysis of the same frame without the damper resulted in peak column axial force of 12.8 kip (56.9kN) and peak beam axial force of 8.4kip (37.4kN). The

addition of the damping system results in insignificant additional axial force in the column due to the fact that the peak damping force does not occur at the same time as the peak lateral force (actually the peak damper force occurs at the instant of peak velocity for which the drift is zero in the analyzed harmonic motion) but has an important effect on the axial force in the beam.

It should be noted that the forces calculated above could also be predicted by static analysis and use of theory as follows. The damper force  $F_D$  is given by  $\omega f C u$  where  $\omega$  is the frequency of harmonic motion ( $2\pi$  rad/sec for 1Hz),  $C=0.36$ kip-sec/in (63N-sec/mm) (the damper constant),  $f=0.91$  (the magnification factor) and  $u=1$  inch (25.4mm) (amplitude of frame motion). The result is 2.07kip (9.2kN) (computational analysis gave 2.09kip (9.3kN)). Use of Equation (14) yields the force in the brace  $T=4.92$ kip (21.9kN) (computational analysis gave 4.95kip (22.0kN)) and Equation (15) gives the lateral component of the damping force acting on the frame as  $F=1.44$ kip (6.4kN).



**Figure 2-4 Magnification Factor as Function of Drift Ratio without and with Due Consideration of Large Deformation/Rotation Effects**

# SECTION 3

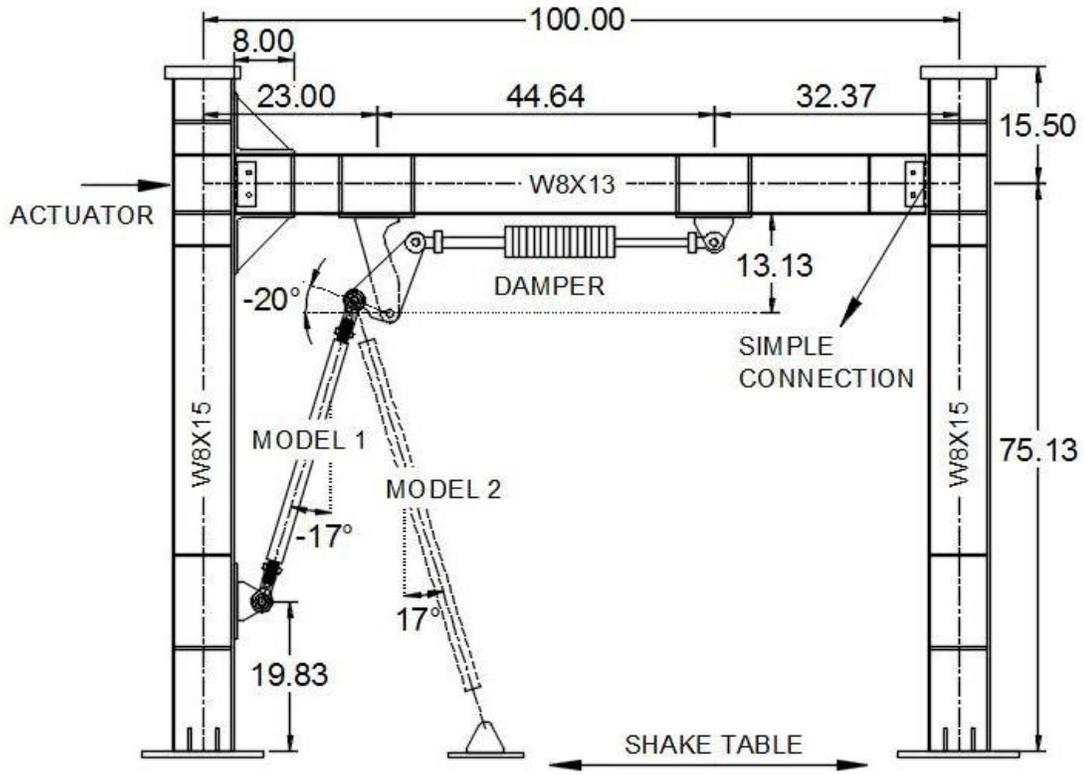
## TESTING OF INDIVIDUAL FRAMES WITH OPEN SPACE DAMPING SYSTEM

### 3.1 Description of Tested Structure

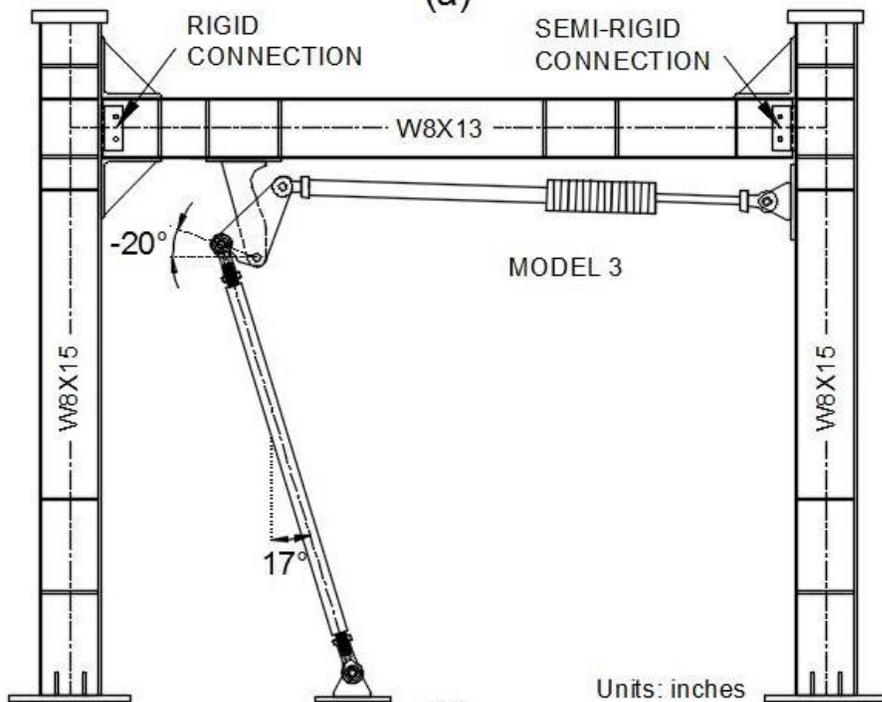
A half-length length scale steel frame was constructed for the purpose of testing the open space damping system. The model structure consisted of two identical frames of the geometry shown in Figure 3-1. Testing was first conducted with one frame attached to the strong floor and cyclically driven by an actuator. The two configurations of Figures 1-5(a) and 1-5(b) were tested in this way. This testing is described in this section. Section 4 describes earthquake simulator testing in which two frames were used in three different configurations: the two of Figures 1-5(a) and 1-5(b) and a variant of the configuration of Figure 1-5(b).

Figure 3-1 shows the geometry and open space damping system configurations of the tested frames. Three different models are shown (Model 1, Model 2 and Model 3) of which only the first two were used in the individual frame testing, whereas all three models were used in the earthquake simulator testing. Views of the tested frame and details of connections are presented in Figures 3-2 and 3-3. Column base plates were simply connected to a beam, which in turn was connected to the strong floor. All connections of the damping system feature true pins.

Testing was conducted with a hydraulic actuator attached to the column joint on the left side of the frame as seen in Figures 3-2 and 3-3, and harmonic displacement history was imposed with a frequency at 0.05Hz (quasi-static), 1 Hz and 2Hz (dynamic). The amplitude of the motion was either 0.5inch or 1inch. Histories of the frame lateral displacement at the beam-column joint, damper displacement (change of length), damper force and lateral frame force (force measured by the load cell on the actuator) were recorded. Note that the lateral frame force includes the inertia force of the moving parts of the actuator, the beam and part of the columns. The peak value of the inertia force was estimated to be less than 65lbs and was deemed negligible by comparison to the base shear force. Accordingly, no corrections for the inertia effects were made.

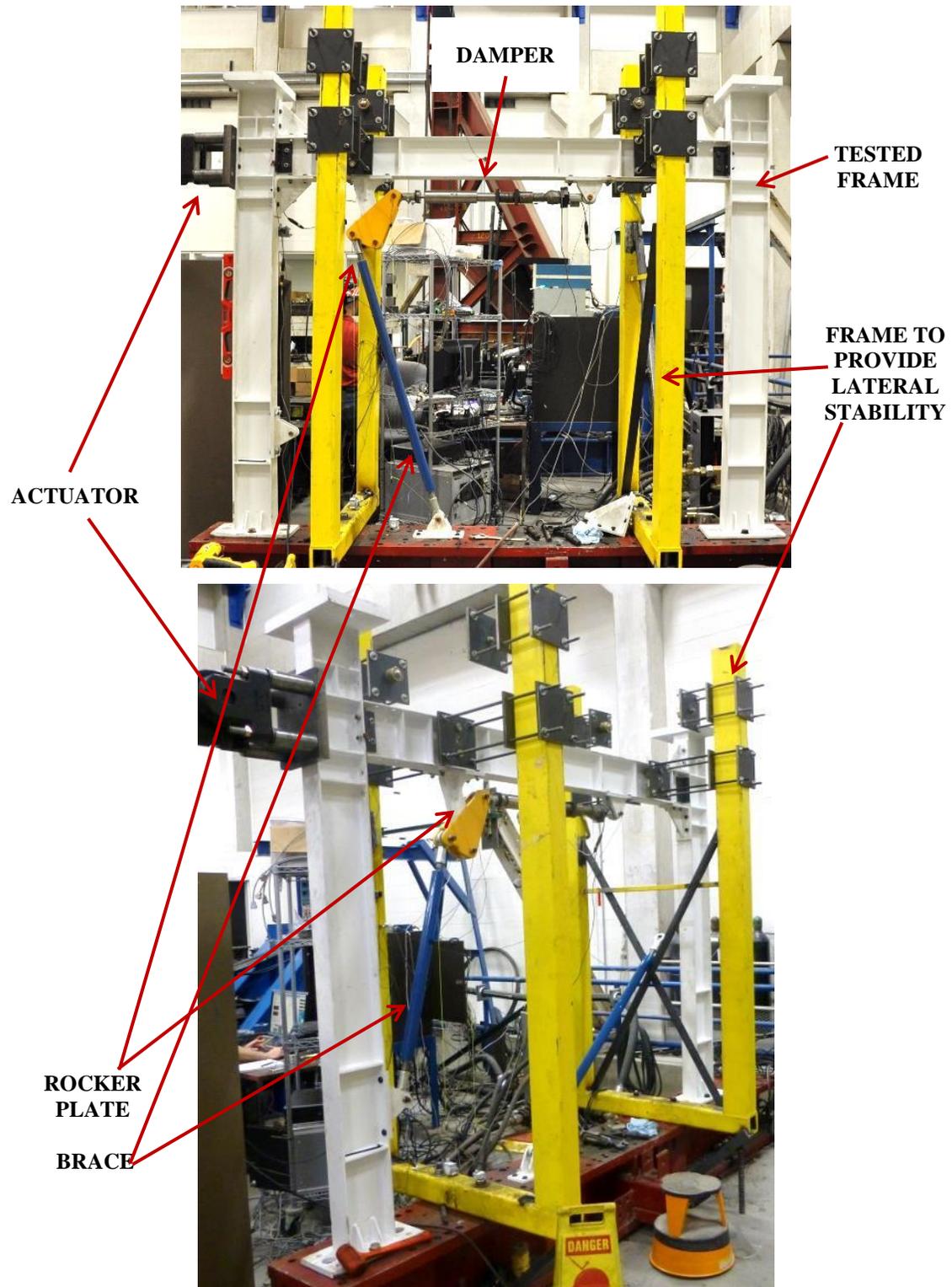


(a)

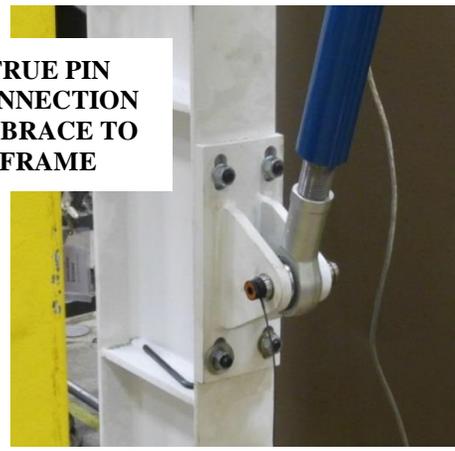
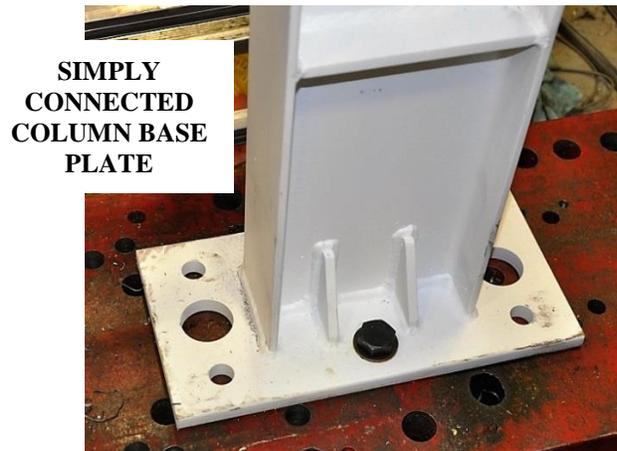
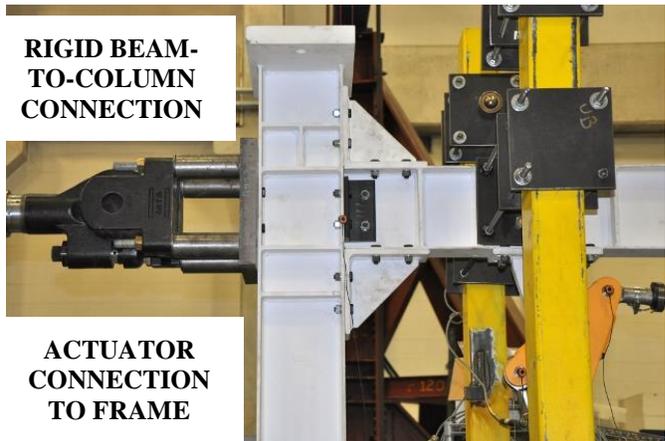


(b)

**Figure 3-1 Geometry and Open Space Damping System Configurations of Tested Frames:  
 (a) Models 1 and 2 with Rigid-Simple Beam-to-Column Connections; (b) Model 3 with  
 Rigid-Simple Beam-to-Column Connections**



**Figure 3-2 Views of Tested Single Frame**



**Figure 3-3: Close-up Views of Tested Frame**

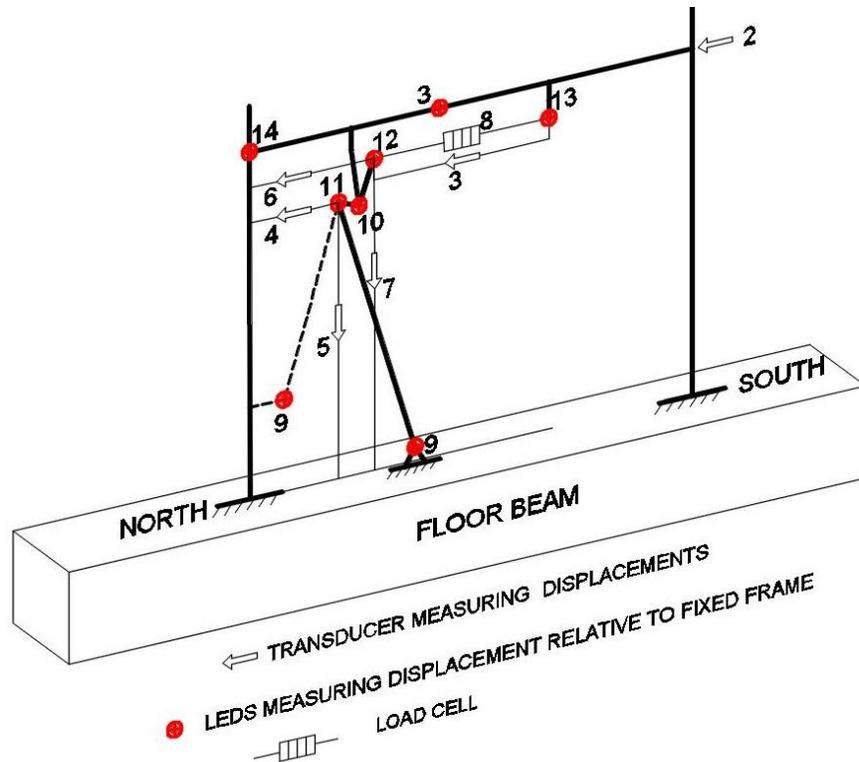
The tested structure features the following:

1. The beam-to-column connections could be easily converted between simple and rigid by using stiffened angles bolted to the top and bottom flanges of the beam and to the column flange as shown in Figure 3-2 and 3-3. This enabled testing with one rigid and one simple connection per frame (referred to as rigid-simple or simple-rigid configurations), and two rigid connections per frame (rigid-rigid configuration). However, all so-called simple connections exhibited some rotational stiffness and hysteresis, so that in effect all “simple” connections were semi-rigid connections with the degree of fixity dependent on the amount of torque applied to the bolts and relaxation with repeated testing. This complicated the analytical prediction of response for the purposes of comparison to the experimental results.
2. All connections of the open space damping system were built as true pins. Examples are shown in Figure 3-3 for the rocker plate connecting the horizontal damper to the vertically inclined brace and the brace connection to the column.
3. Lateral stability of the single frame was provided by two auxiliary frames as seen in Figure 3-2.
4. Two linear viscous dampers were used in the experiments, each with a damping coefficient  $C_o=0.36$  kip-sec/in. These devices are the same as those used in Sarlis et al. (2013), where results on the testing of the dampers were reported. The dampers were not individually tested prior to conducting the tests reported herein.

### **3.2 Instrumentation of Tested Frame**

Instrumentation of the tested frame consisted of displacement transducers in the form of string potentiometers, load cells and Krypton light-emitting diodes (LED). The Krypton measurement system operates by reading infrared signals from LED and measures absolute displacement, velocity and acceleration. The system was used to acquire motion readings (displacement, velocity and acceleration) of various points on the open space damping system and for backup of the string potentiometers. Figure 3-4 illustrates the instrumentation diagram of the tested frame on the strong-floor and Table 3-1 presents the list of the channels used. The

damper load cell was manufactured in-house and was calibrated prior to testing- the error of the reading of the load cell was less than 2-percent. The actuator load cell was calibrated by the manufacturer and had a valid calibration certificate.



**Figure 3-4 Instrumentation Diagram of Tested Frame**

**Table 3-1 List of Channels Used in Frame Testing**

CHANNEL	INSTRUMENT	QUANTITY MEASURED	UNIT
1	/	Time	sec
2	Disp. Transducer	Column Joint Horiz. Displ	in
3	Disp. Transducer	Damper Relative Displ.	in
4	Disp. Transducer	Rocker Plate Horiz. Displ	in
5	Disp. Transducer	Rocker Plate Vert. Displ	in
6	Disp. Transducer	Rocker Plate Horiz. Displ	in
7	Disp. Transducer	Rocker Plate Vert. Displ	in
8	Load Cell	Damper Force	kip
9	LED	Rod Base Pin Dipl.	in
10	LED	Rocker Plate Pivot Displ.	in
11	LED	Rocker Plate Displ.	in
12	LED	Damper Displ.	in
13	LED	Damper Displ.	in
14	LED	Column Joint Horiz. Displ	in
15	Load Cell	Actuator Force	kip

### 3.3 Results of Testing of Frame

Selected representative results are presented in this section. Appendix B presents a larger collection of results acquired in the testing of individual frames. The results include the following information:

1. Model number, beam-to-column connection type, information on the amplitude and frequency of imposed motion. The model number is 1 or 2 per Figure 3-1(a). The beam-to-column connection is classified as type R-S when the beam-to-column connection on the left is rigid and the one on the right is simple. The beam-to-column connection is classified as type S-R when the beam-to-column connection on the left is simple and the one on the right is rigid.
2. Loop of frame lateral force (force applied by actuator at beam-to-column connection) versus drift (displacement of beam-to-column connection with respect to column base). This force is essentially the base shear force (but for a small inertia force of moving parts, estimated to be less than 65lbs).
3. Loop of damper force versus damper displacement.
4. Graph of damper displacement versus drift (lateral displacement of the frame).

The sign convention adopted for the presentation of the test results is: (a) positive frame displacement and frame lateral force when the drift is to the right per Figure 3-1, (b) positive damper displacement and damper force when the damper is in extension (piston rod moves out), (c) the base shear force is negative when the drift of the frame is positive (note that base shear is in opposite sign with lateral force which is assumed as actuator force in the strong-floor testing).

Results for the four tested cases are presented in Figures 3-5 to 3-8 in motion of amplitude of 1in and frequencies of 0.05Hz (quasi-static) and 2.0Hz (dynamic). At the frequency of 0.05Hz there is practically no damping force so that the behavior of the un-damped frame is revealed. Each of these figures includes information on the magnification factor: (a) as calculated by Equation (1-9) without due consideration for frame deformation effects,  $f_{THEORY}$ , (b) as calculated by a computational model of the tested frame in program SAP2000 (Computer and Structures, 2006),  $f_{COMP}$  (from Table 2-1), and (c) based on the measurements of displacements during testing under quasi-static and dynamic conditions,  $f_{EXP}$ . This factor was

determined as the ratio of the damper peak displacement ( $u_D$ ) to the frame peak drift ( $u$ ). There are two values shown in the figures for the experimental value because the damper displacements differ depending on the direction of motion as explained below. Note that values of  $f_{COMP}$  reported in Table 2-1 in which the frame model analyzed had the simple connections modelled as true pins and with due consideration of the frame deformations caused by the lateral frame deformation and the damper forces. Table 3-2 compares the values of the magnification obtained by analysis and experiment.

**Table 3-2 Values of Magnification Factor**

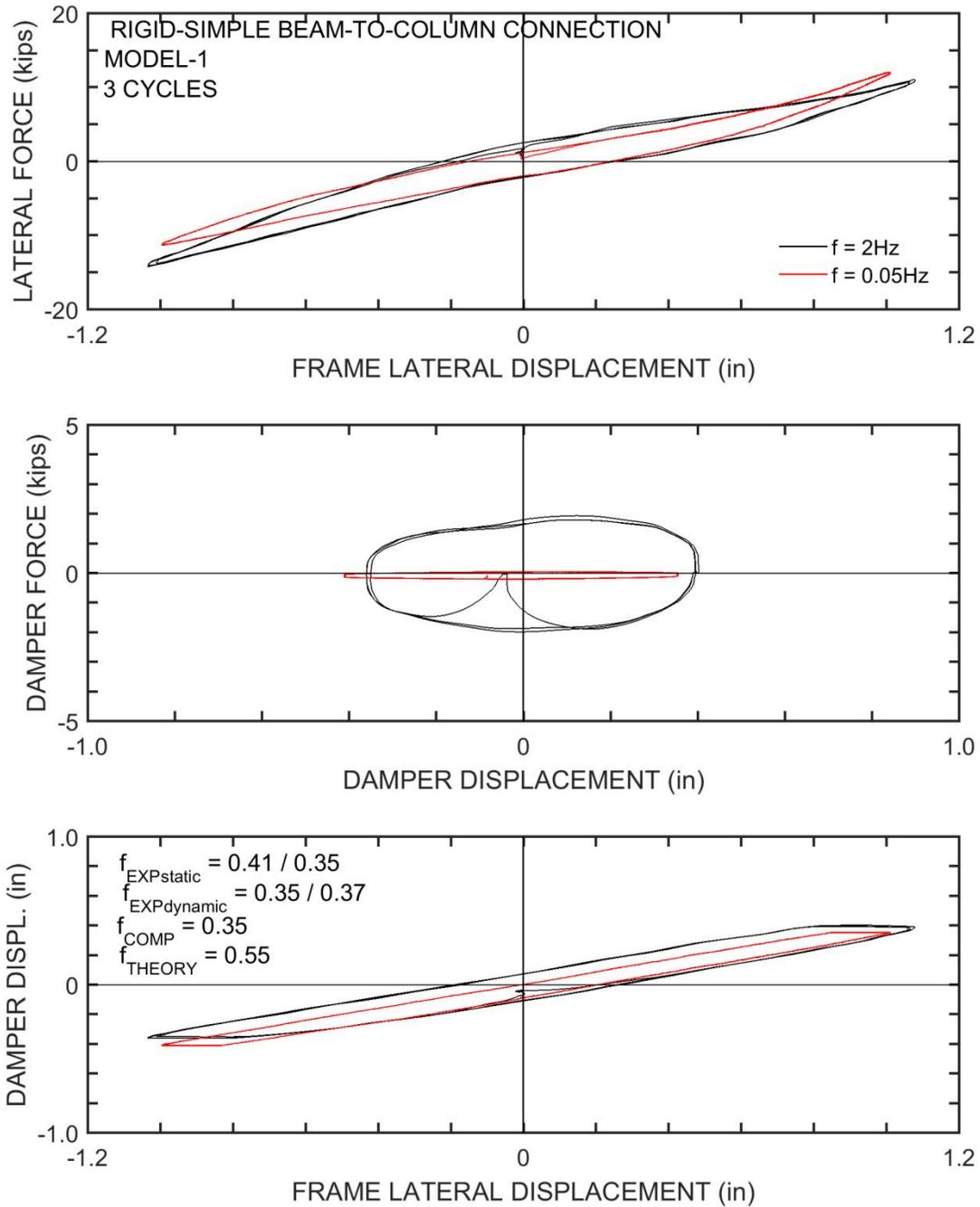
Model	Theory (Eq. (1-9)) $f_{THEORY}$	Computational <sup>1</sup> $f_{COMP}$	Experimental <sup>2</sup> (quasi-static) $f_{EXP}$	Experimental <sup>2</sup> (dynamic) $f_{EXP}$
Model 1, R-S	0.55	0.35	0.41/0.35	0.35/0.37
Model 2, R-S	0.69	0.91	0.94/0.80	0.79/0.75
Model 1, S-R	0.55	0.67	0.53/0.55	0.49/0.53
Model 2, S-R	0.69	0.68	0.80/0.77	0.67/0.68
<sup>1</sup> : Model includes effects of frame deformation; simple connections modelled as pins <sup>2</sup> : Two values as peak damper displacement is different in two directions				

The results in Figures 3-5 to Figure 3-8 and Table 3-2 reveal the following:

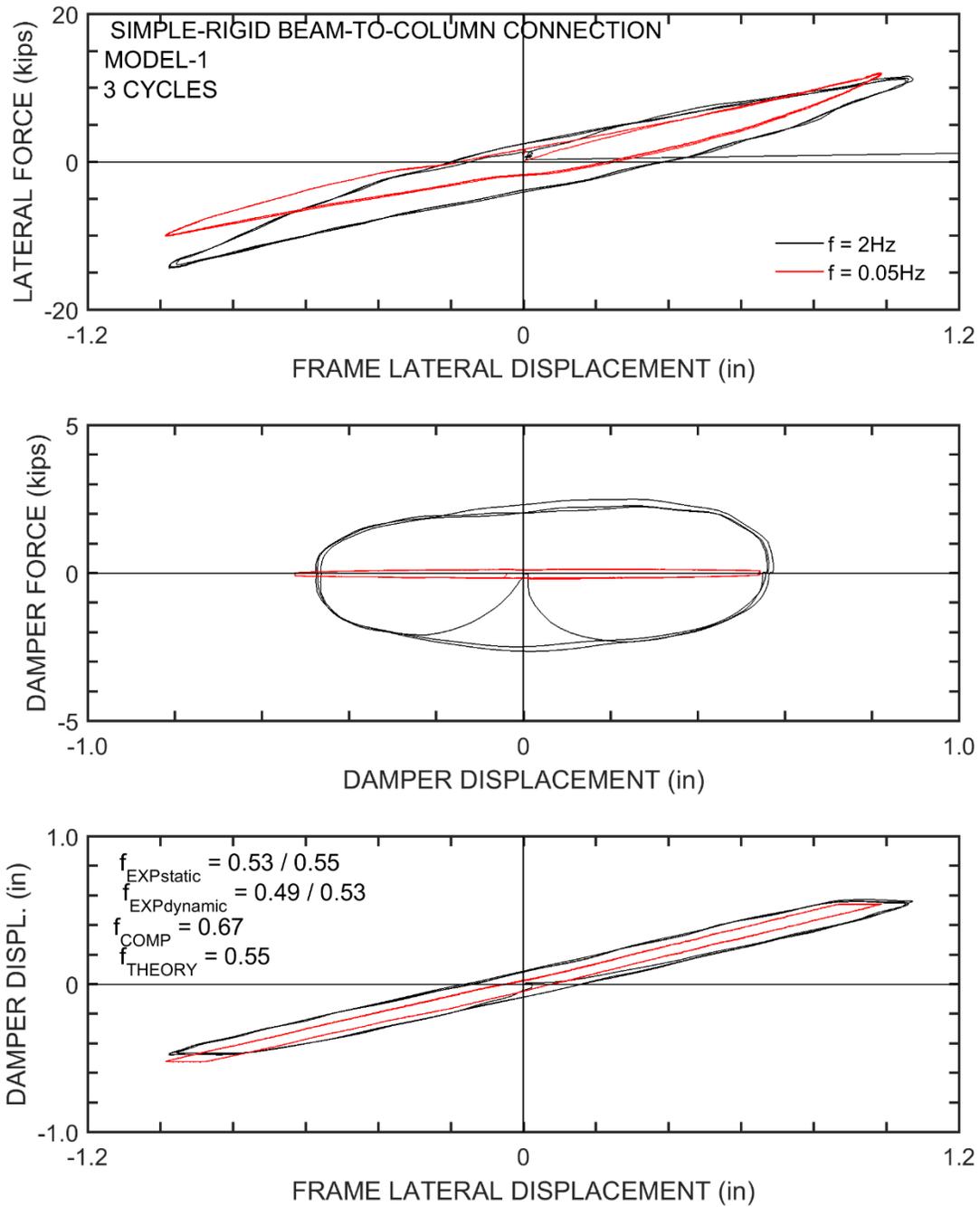
1. The lateral force-frame displacement loops (base shear vs drift relations) reveal the stiffness and damping characteristics of the tested frames. It is evident in the loops that there is little increase in energy dissipated per cycle in the case on Model-1, R-S (Figure 3-5) and also Model-1, S-R (Figure 3-6). This was expected as the actual magnification factor is small due to the effects of frame deformations. This is also evident in the small damper force measured in both cases and in the small damper displacement measured in Model-1, R-S (one with least magnification factor). By contrast, the loops in Figures 3-7 and 3-8 show a noticeable increase in energy dissipated at the higher frequency test when the damper is activated. Also, note in Figures 3-7 and 3-8 the larger damper force and displacement by comparison to those of the models in Figures 3-5 and 3-6.
2. The lateral frame force-displacement loops (base shear vs drift relations) of the frame with the damping system show a higher stiffness than the loops of the frame without the damping system (the latter presumed to be those of the frame driven under quasi-static

conditions so that the damping force is essentially zero). This is due to the introduction of stiffness by the damping system due to the effect of the deformation of the system to which the damper is attached (including the frame itself). This is a well understood phenomenon (e.g., Constantinou et al. 2001). It can be mitigated by connecting the damping system components directly to or as close as possible to beam-column joints.

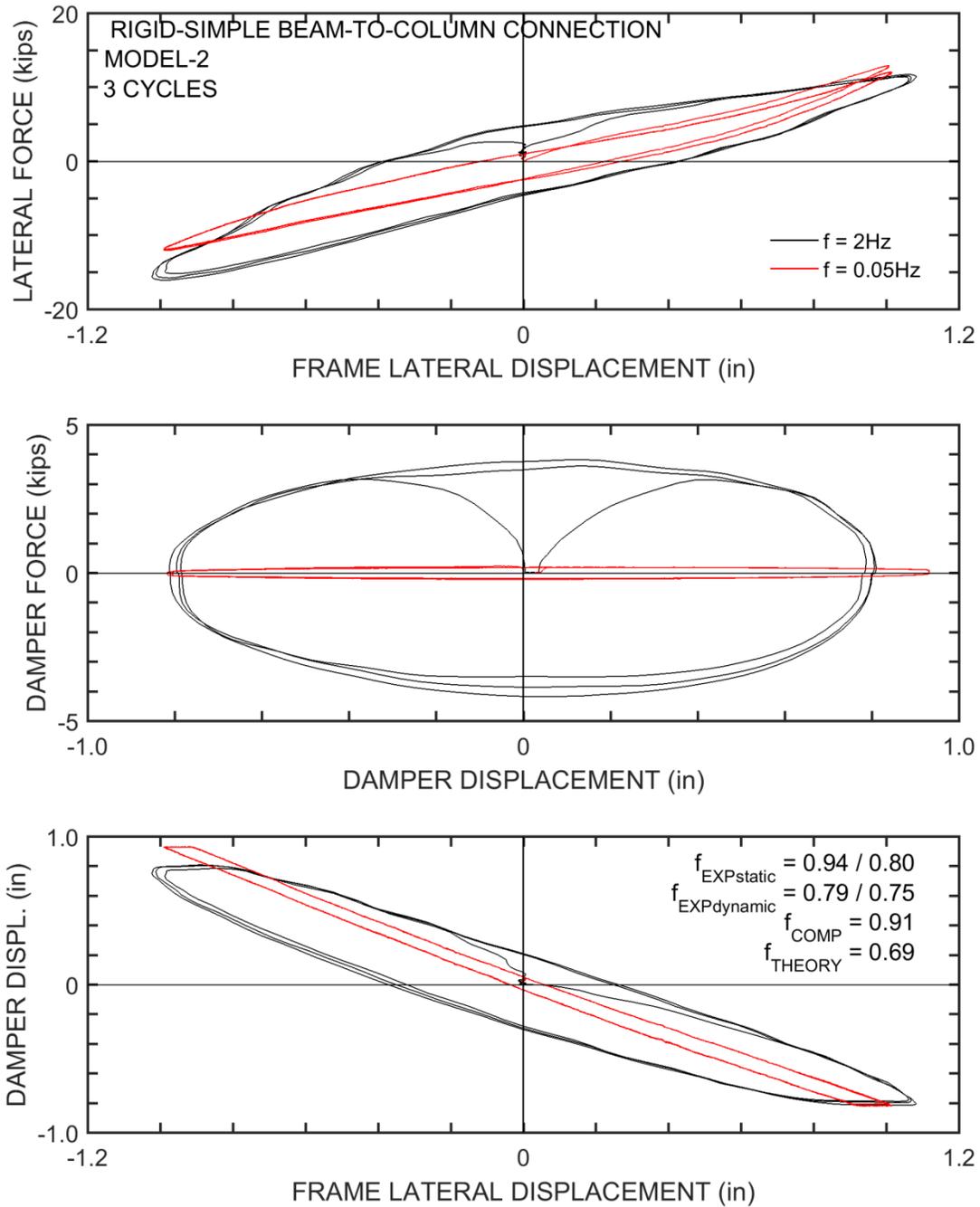
3. The damper displacement-frame displacement curves show “hysteresis” that is due to sliding in some of the simple joints and supports of the tested model. This is evident in the fact that the damper displacement remains constant at large values and there is asymmetry with more displacement in one direction than the other. Slippage was inevitable as the diameter of holes was larger than the bolt diameter. For the tested model all holes were oversized ( $3/16^{\text{th}}$  inch larger than bolt diameter) to allow for ease in assembly and adjustments during testing (particularly when some yielding and distortion occurred). About  $3/16^{\text{th}}$  inch (5mm) of sliding motion could occur at each joint. This led to asymmetry in behavior with the experimental magnification factor value being different depending on the direction of motion. Also, the magnification factor values were further affected (reduced) under dynamic conditions due to increase in slippage. Efforts to mitigate this problem included periodic tightening of bolts which was partially effective for short times but also affected the stiffness of the frame as the simple connections actually behaved as semi-rigid of variable stiffness depending on the degree of bolt tightening. This will be better observed in results of identification tests of the frame on the shake table. Generally, this created a complexity in predicting the response of the tested model as the properties of the frame kept changing.
4. The experimental value of the magnification factor is generally consistent with the value obtained by the computational model.



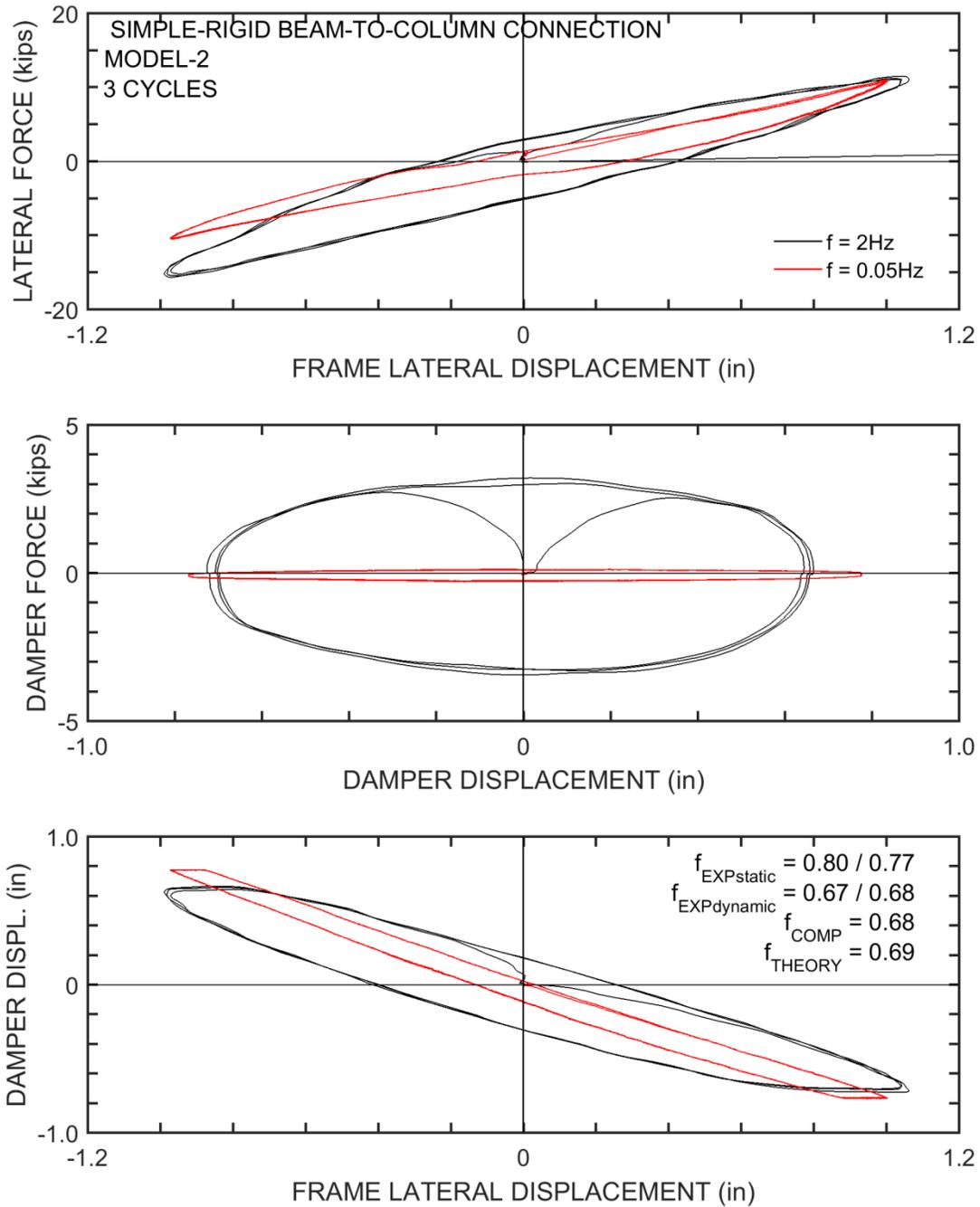
**Figure 3-5 Recorded Response of Model 1 R-S Frame Subjected to Lateral Motion at the Joint**



**Figure 3-6 Recorded Response of Model 1 S-R Frame Subjected to Lateral Motion at the Joint**



**Figure 3-7 Recorded Response of Model 2 R-S Frame Subjected to Lateral Motion at the Joint**



**Figure 3-8 Recorded Response of Model 2 S-R Frame Subjected to Lateral Motion at the Joint**

### 3.4 Analytical Prediction of Response

The tested frame was modelled and analyzed in computer program SAP2000. Figure 3-9 illustrates the computational model of the tested frame for the rigid-simple beam-to-column configuration (to avoid repetition, element list and their properties are presented in Section 5 in Table 5-2). The model featured true pins for the damping system elements. Moreover, all elements of the damping system were properly represented by beam elements to correctly account for their flexibilities. Supports 1 and 4 were modelled as pinned with an added elastic rotational spring to simulate the behavior of the supports. The simple beam-to-column 3 on the right was modelled as a pin with an added nonlinear rotational spring. The rotational stiffness of the added rotational springs at joints 1, 3 and 4 was assigned values to better approximate the measured stiffness of the tested frame. The hysteretic properties of joint 3 were assigned so that a representative hysteretic behavior was obtained as seen in the recorder base shear-frame displacements loops. However, the tested frame exhibited asymmetric behavior with more stiffness in one direction (see Figures 3-5 to 3-8) which could not be simulated in the described analytical model. The source of the asymmetry was slippage in the joints, a phenomenon which difficult to simulate as it depended on amount of torque put in the bolts (which was unknown), friction in the joints and allowance for motion in the oversize holes (which varied from test to test). Note that the asymmetry is much less in the frame tested under quasi-static conditions (essentially zero damping force) as a result of reduced slippage in the joints.

Figures 3-10 to 3-17 compare the experimental response of the tested frames to analytically predicted response in the tests at frequency of 1Hz and 2Hz, and amplitude of 1inch. These were the cases in which slippage in the joints of the model resulted in asymmetric hysteretic behavior. The computational prediction of the response of the tested frames is seen to be good despite the inability to model the asymmetry in stiffness and slippage in the joints. Note that this is a characteristic of the tested model while in actual applications the connections will be welded or with standard size holes, for which slippage in the joints will be smaller ( $1/16^{\text{th}}$  inch rather than  $3/16^{\text{th}}$  inch). The importance of joint slippage is better appreciated when one considers that the tested frame was at length scale of 2, so that the drift and damper displacement were half of those of actual buildings, whereas the slippage was as much as three times larger.

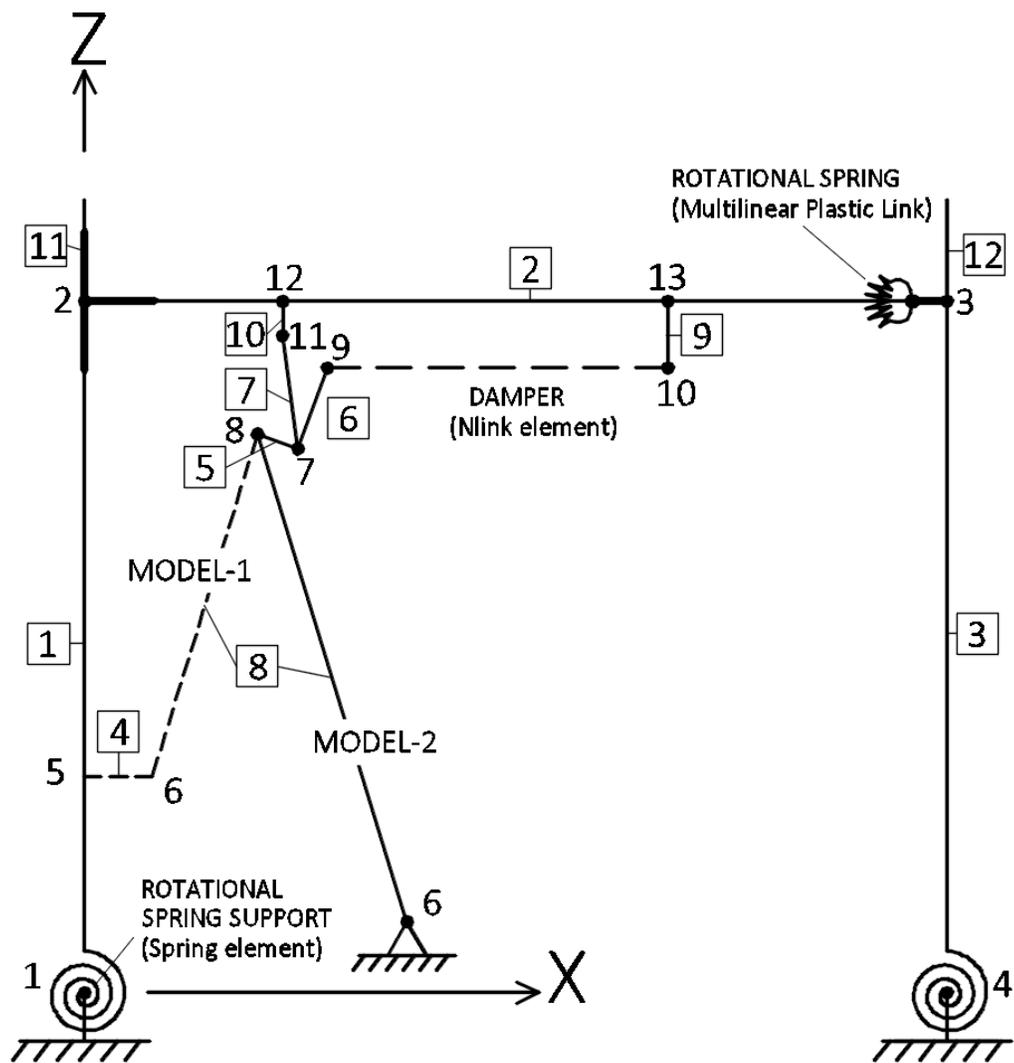
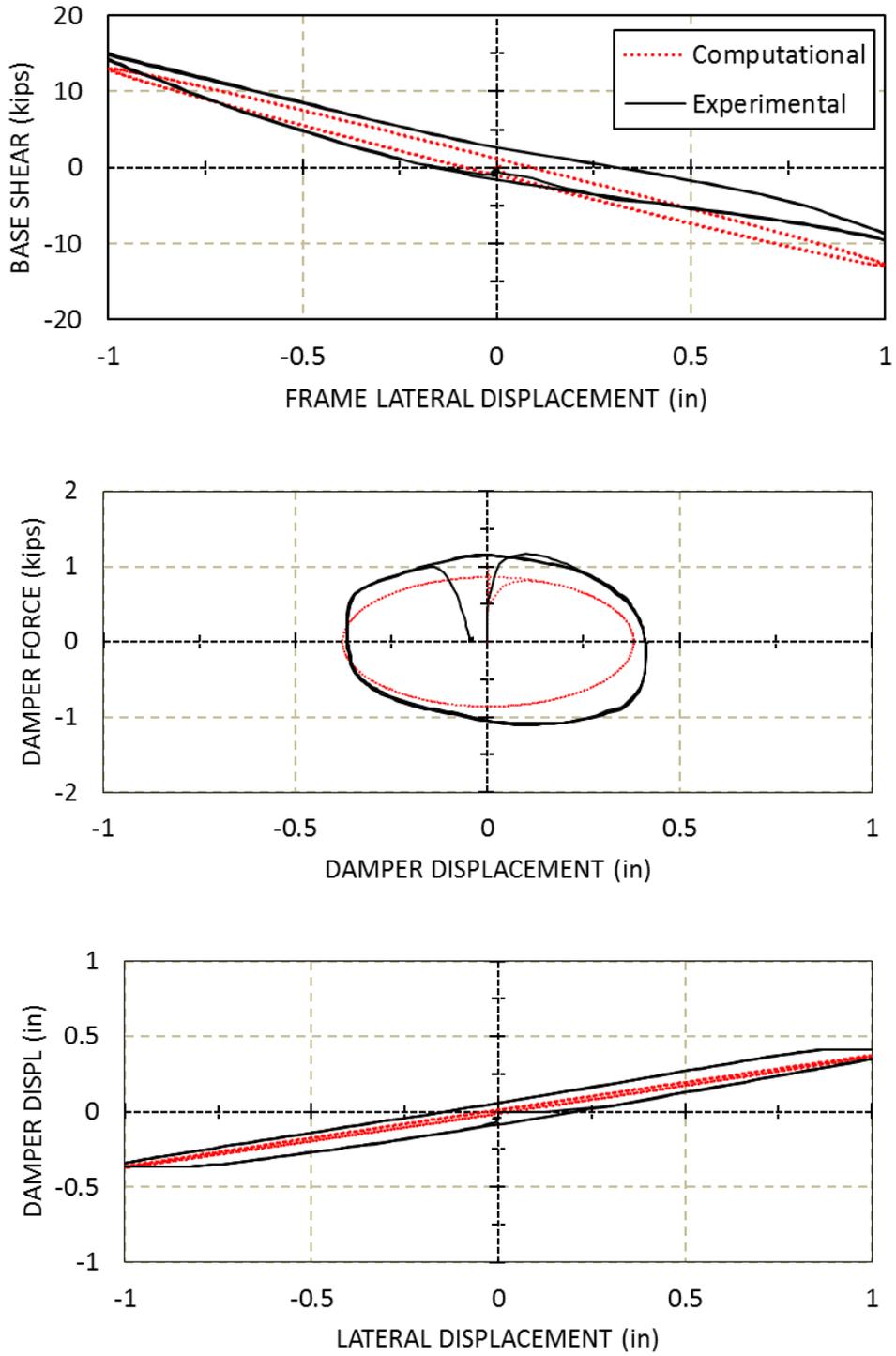
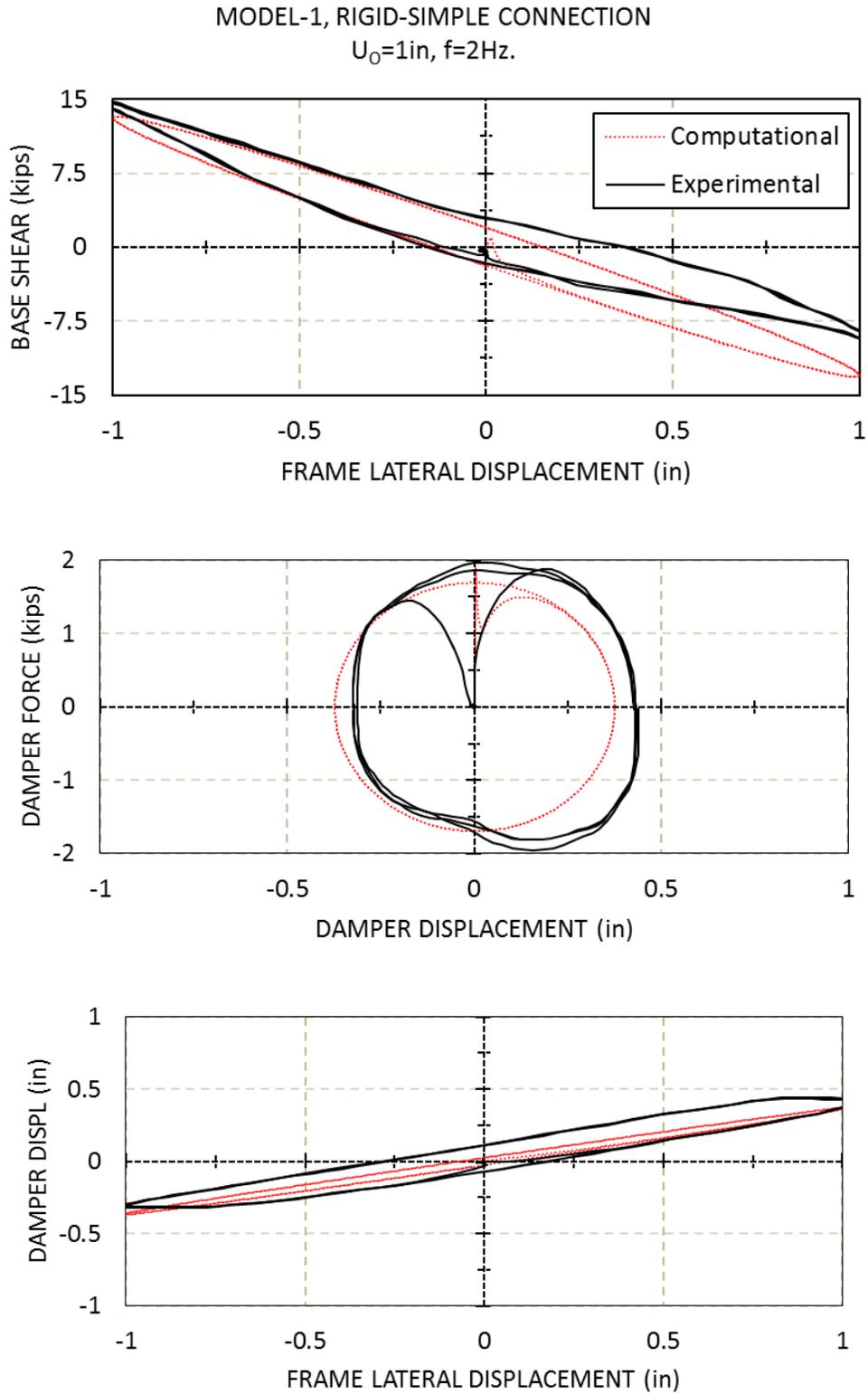


Figure 3-9 Analytical Model for Tested Model 2 R-S

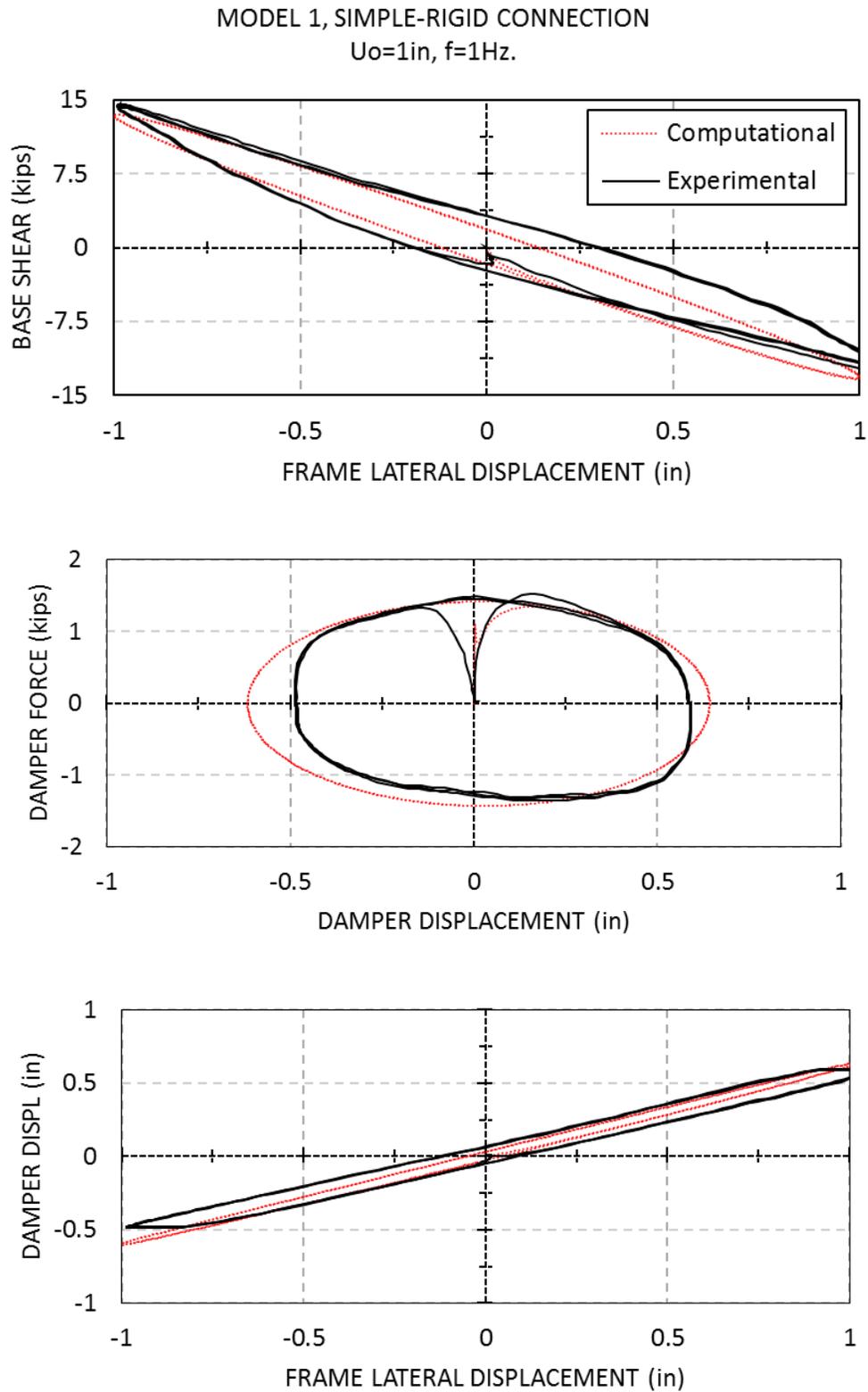
MODEL-1, RIGID-SIMPLE CONNECTION  
 $U_o=1in, f=1Hz.$



**Figure 3-10 Measured and Predicted Single Frame Response of Model 1 R-S in Test of 1 inch Amplitude at 1Hz Frequency**

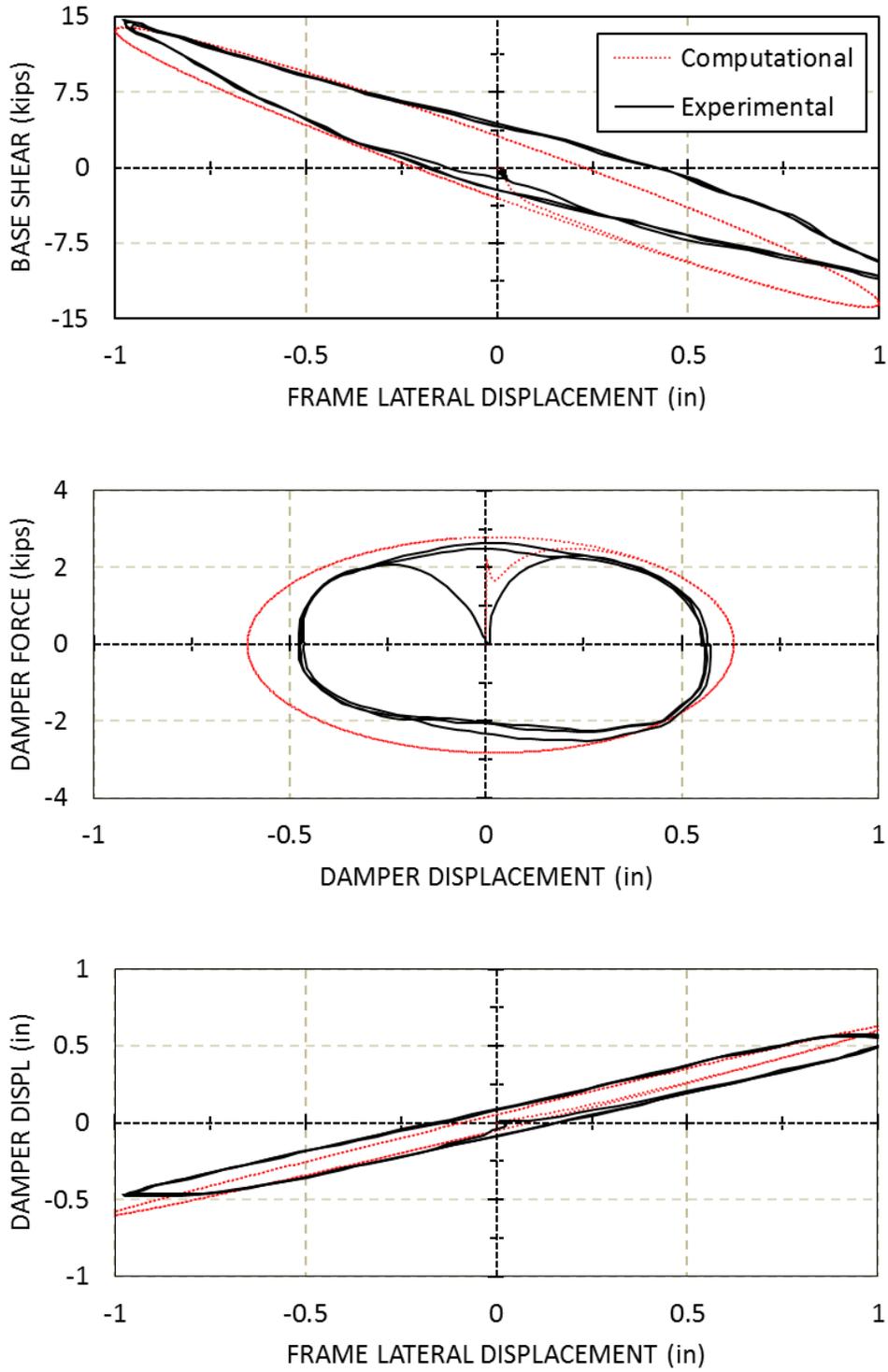


**Figure 3-11 Measured and Predicted Single Frame Response of Model 1 R-S in Test of 1 inch Amplitude at 2Hz Frequency**

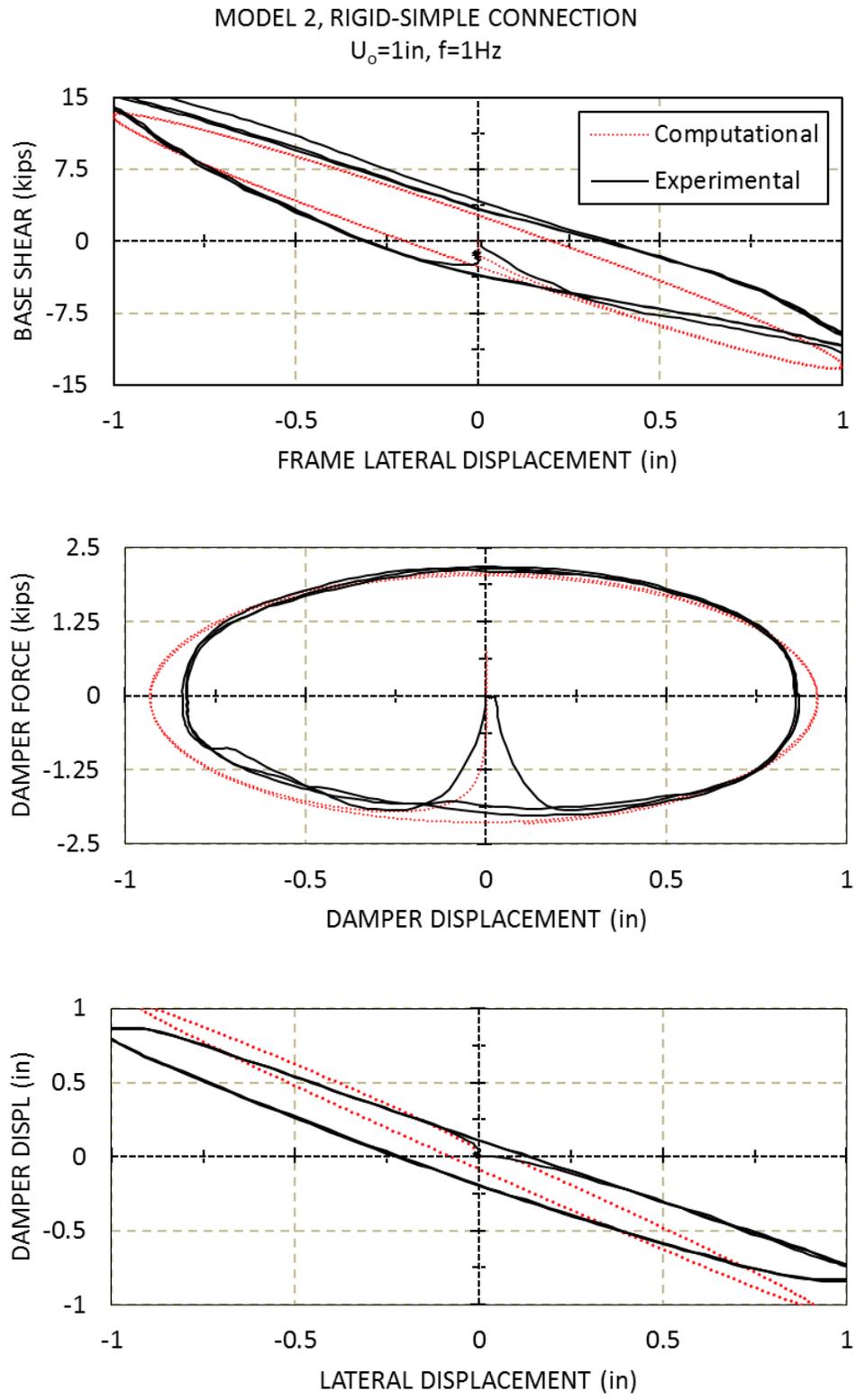


**Figure 3-12 Measured and Predicted Single Frame Response of Model 1 S-R in test of 1 inch Amplitude at 1Hz Frequency**

MODEL 1, SIMPLE-RIGID CONNECTION  
 $U_o=1in, f=2Hz.$

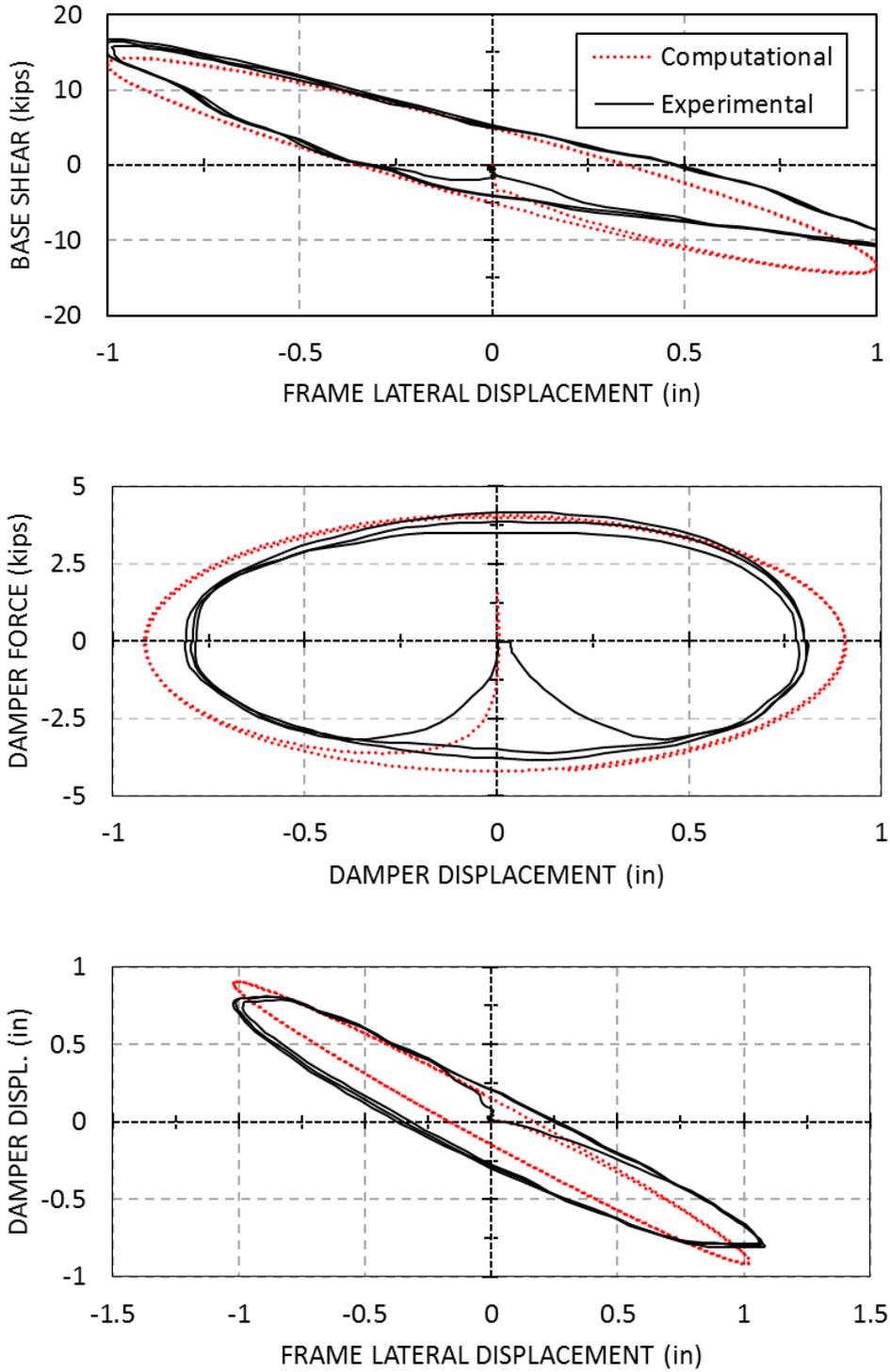


**Figure 3-13 Measured and Predicted Single Frame Response of Model 1 S-R in Test of 1 inch Amplitude at 2Hz Frequency**



**Figure 3-14 Measured and Predicted Single Frame Response of Model 2 R-S in Test of 1 inch Amplitude at 1Hz Frequency**

MODEL 2, RIGID-SIMPLE CONNECTIONS  
 $U_o=1\text{in}, f=2\text{Hz}.$



**Figure 3-15 Measured and Predicted Single Frame Response of Model 2 R-S in Test of 1 inch Amplitude at 2Hz Frequency**

MODEL 2, SIMPLE-RIGID CONNECTION  
 $U_o=1in, f=1Hz.$

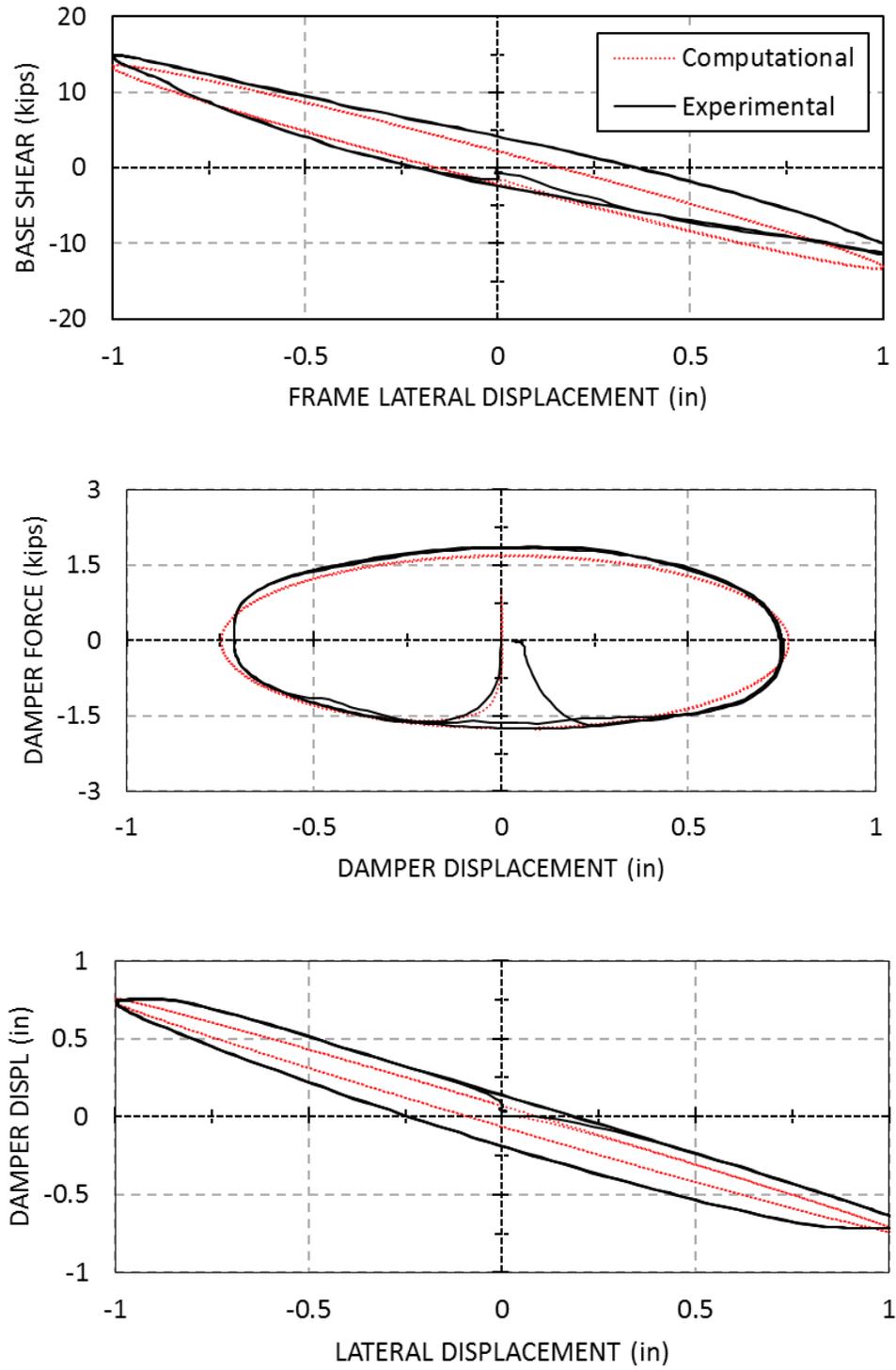
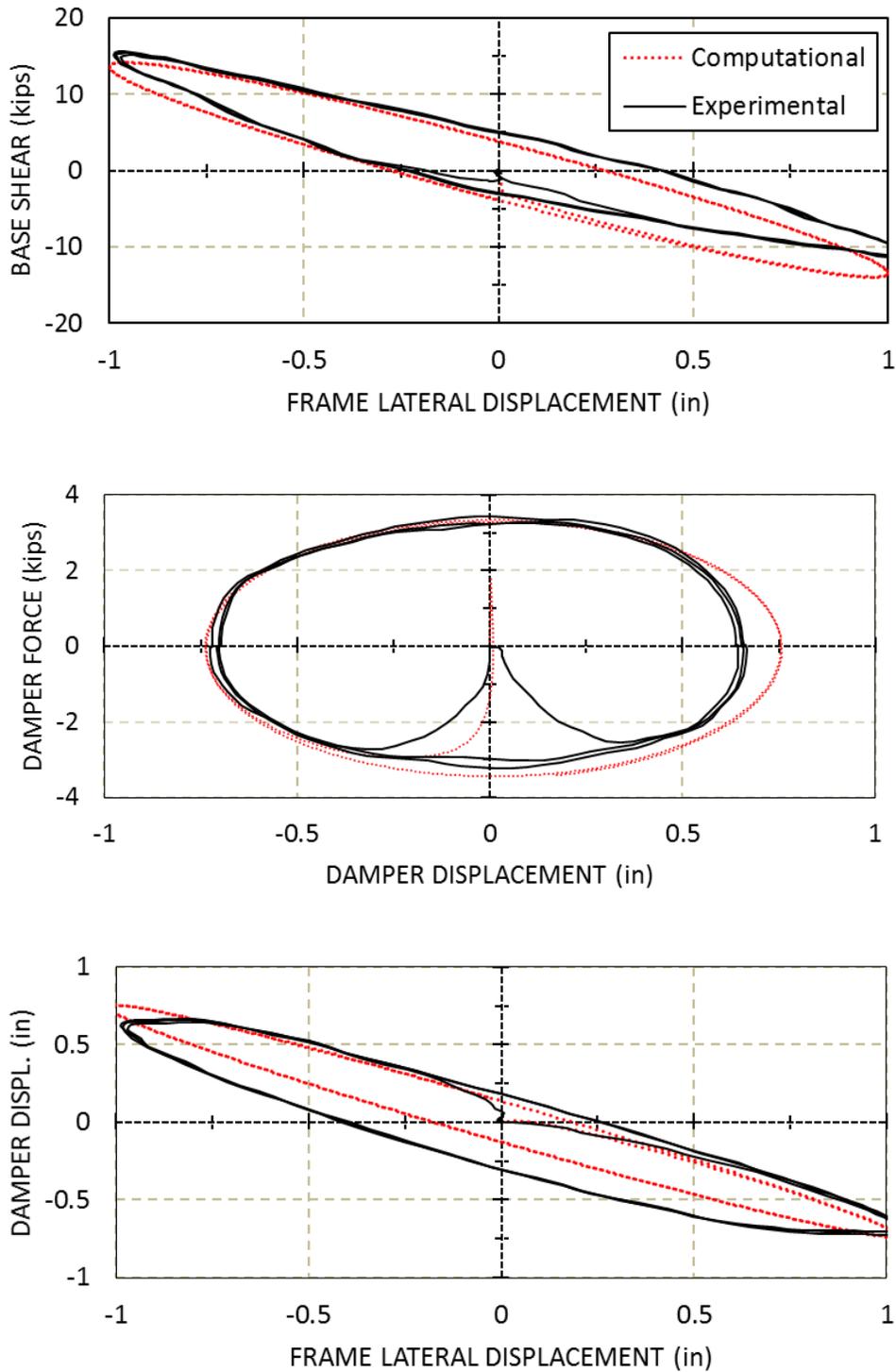


Figure 3-16 Measured and Predicted Single Frame Response of Model 2 S-R in Test of 1 inch Amplitude at 1Hz Frequency

MODEL 2, SIMPLE-RIGID CONNECTION  
 $U_o=1in, f=2Hz.$



**Figure 3-17 Measured and Predicted Single Frame Response of Model 2 S-R in Test of 1 inch Amplitude at 2Hz Frequency**

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## SECTION 4

# EARTHQUAKE SIMULATOR TESTING OF MODEL STRUCTURE WITH OPEN SPACE DAMPING SYSTEM

### 4.1 Introduction

A model structure consisting of two identical frames and with a concrete block on top was tested on the earthquake simulator. Figure 4-1 shows the model on the earthquake simulator (the frame geometry is that shown in Figure 3-1). Testing of the model was conducted to observe the behavior of a structure with the open space damping system in historic earthquake motions and to acquire dynamic response data that can be used on the analytical model validation. In addition to the single frame features described in Section 3, the model structure had the following features:

1. The beam-to-column connection enabled testing with one rigid and one simple connection per frame (referred to as rigid-simple or R-S and simple-rigid or S-R configurations), and two rigid connections per frame (rigid-rigid or R-R configuration). In Model 3 (see Figure 3-1b) one connection could not be converted to rigid due to space limitations and the resulting connection is classified in this report as semi-rigid, with the resulting configurations referred to as rigid-semi-rigid or R-sR. Also, the column bases and the top of the columns to the concrete mass on top were built as simple connections.
2. Lateral stability of the test structure was provided by cross-bracing that could be tightened by turnbuckles as seen in Figure 4-1. It was observed that during testing the cross bracing tension gradually relaxed, requiring thus periodic adjustment. This phenomenon, together with differences between the two frames (due to the condition of the connections of the beam to the columns) in the principal (damped) direction led to some asymmetry and to torsional response. The extent of the problem varied as relaxation of the various bolted connections occurred during testing that was followed by periodic tightening of the bolts and turnbuckles.

3. The concrete mass used for earthquake simulator testing comprised of two blocks weighting a total of 32 kips (142.3kN), and was secured with rods atop of the four columns using rounded plates in order to achieve simple connections.

Testing conducted only in the horizontal direction; vertical component of the ground motion was not considered. A list of ground motions used in the earthquake-simulator testing and their characteristics are presented in Table 4-1. The table provides information on the peak values of acceleration, velocity, and displacement of the originally recorded motion, and the maximum scale factor used to scale the original records in acceleration amplitude. In the testing the motions were compressed in time by a factor of  $\sqrt{2}$  due to similitude requirement of the model's length scale factor of 2.

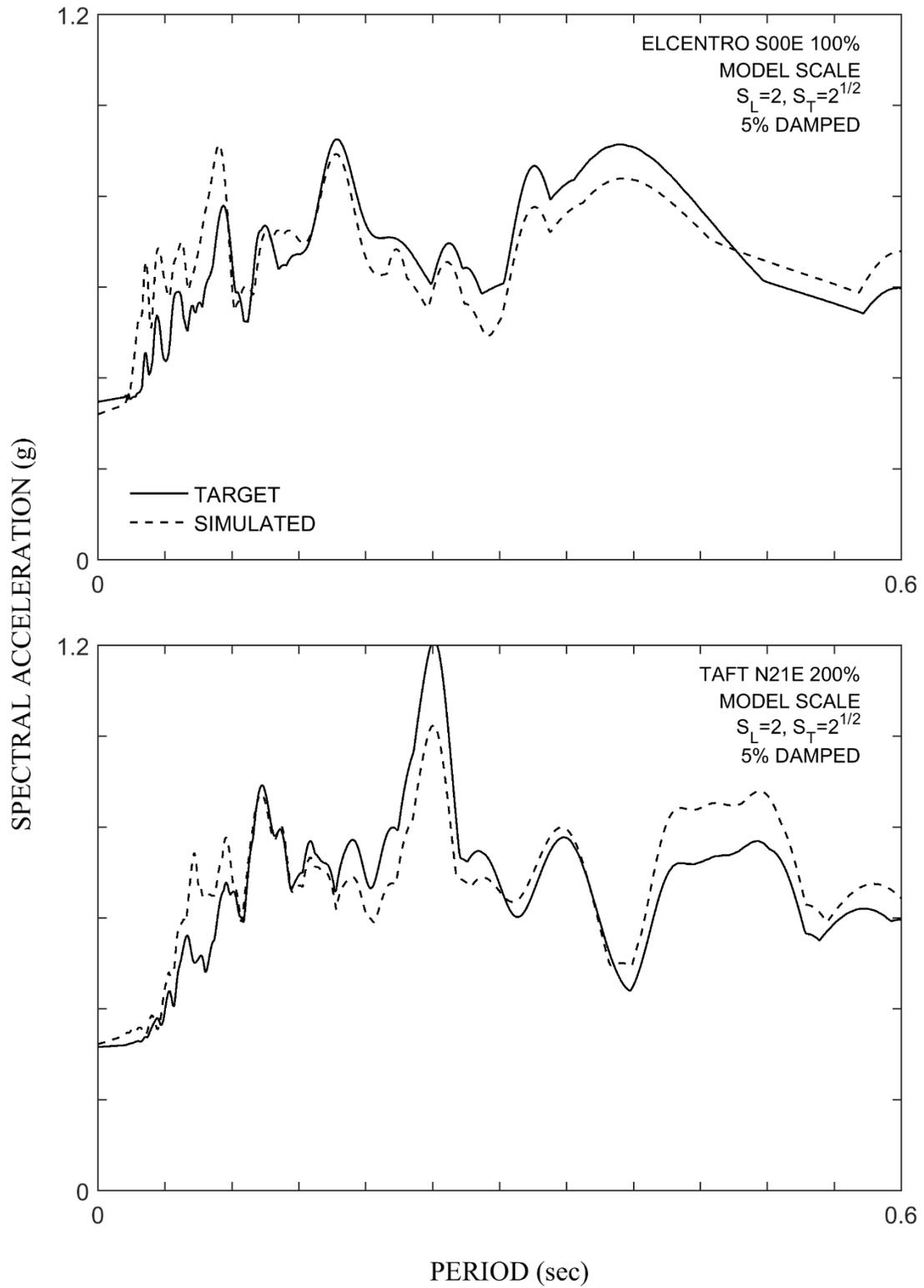


**Figure 4-1 Model Structure on Earthquake Simulator (shown configuration is Model 2, R-R)**

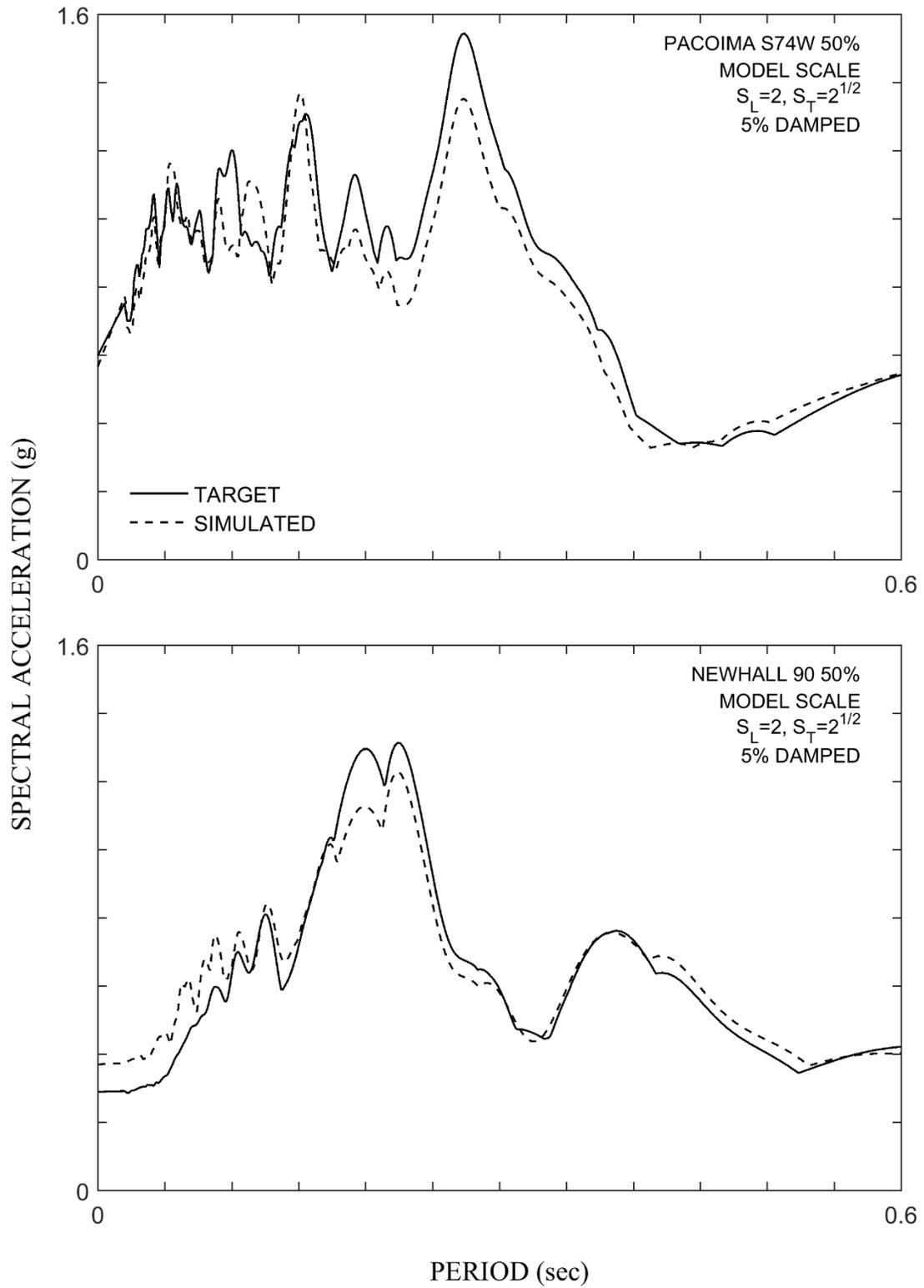
The fidelity of the earthquake-simulator was investigated by comparison of 5-percent damped acceleration response spectra of the actual (target) ground motions and the spectra of the recorded acceleration motion of the extension table to which the model was attached (seen in Figure 4-1). Figure 4-2 compares the actual (target) and simulated response spectra of the ground motion records. The earthquake simulator reproduced the target motion comparatively well. Some discrepancies in the simulation is observed in the vicinity of the natural period of the model structure (~0.35 – 0.45sec) when the resonance frequency occurs, and structure-simulator interaction becomes predominant.

**Table 4-1 Earthquake Motions Used in Earthquake-Simulator Testing and Characteristics in Prototype Scale (All Components are Horizontal)**

NOTATION	RECORD	PEAK ACCEL. (g)	PEAK VEL. (in/sec)	PEAK DISPL. (in)	MAX. SCALE FACTOR
El Centro S00E	Imperial Valley, May 18, 1940, Component S00E	0.348	13.0	4.28	1.00
Taft 21	Kern County, July 21, 1952 Component 21	0.159	6.0	2.64	3.00
Pacoima 164	San Fernando, February 9, 1971, Component 164	1.22	45.0	4.26	0.75
Newhall 90	Northridge, January 17, 1994, LA County Fire Station, component 90	0.58	29.5	6.93	0.75



**Figure 4-2 Response spectra in model scale of actual (target) ground motions and motions produced by earthquake simulator**



**Figure 4-2 Cont'd. Response spectra in model scale of actual (target) ground motions and motions produced by earthquake simulator**

## 4.2 Instrumentation of Model Structure for Earthquake Simulator Testing

The instrumentation consisted of accelerometers, displacement transducers, load cells and Krypton light emitting diodes or LED (used for a limited number of tests). The instrumentation scheme was similar to that of the previously tested scissor-jack damper system (Sigaher-Boyle et al., 2005). A list of monitored channels and their description are presented in Table 4-2. Figures 4-3 and 4-4 show the location of these instruments and the direction of recording. All measured signals were filtered using a low-pass filter with a cutoff frequency of 25 Hz.

**Table 4-2 List of Instruments Used in Earthquake Simulator Testing**

CHANNEL	INSTRUMENT	NOTATION	RESPONSE MEASURED	UNITS
1	/	TIME	Time	sec
2	Accelerometer	ABSH	Base Horizontal Accel. -S	g
3	Accelerometer	ABNH	Base Horizontal Accel.-N	g
4	Accelerometer	ABWSV	Base Vertical Accel.-WS	g
5	Accelerometer	ABWNV	Base Vertical Accel.-WN	g
6	Accelerometer	ABESV	Base Vertical Accel.-ES	g
7	Accelerometer	ACTS	Column Top Horiz. Accel.-S	g
8	Accelerometer	ACJS	Column Joint Horiz. Accel.-S	g
9	Accelerometer	ACTN	Column Top Horiz. Accel.-N	g
10	Accelerometer	ACJN	Column Joint Horiz. Accel.-N	g
11	Accelerometer	ACTTE	Column Top Tarnsv. Accel.-E	g
12	Accelerometer	ACTTW	Column Top Tarnsv. Accel.-W	g
13	Accelerometer	ACTVS	Column Top Vert. Accel.-S	g
14	Accelerometer	ACTVN	Column Top Vert. Accel.-N	g
15	Accelerometer	ATBH	Top Block Horiz. Accel.	g
16 <sup>1</sup>	Load Cell	Dp_Frc_S	Damper Force-S	kip
17 <sup>1</sup>	Load Cell	Dp_Frc_N	Damper Force-N	kip
18	Disp. Transducer	DBS	Base Horiz. Displ.-S	in
19	Disp. Transducer	DBN	Base Horiz. Displ.-N	in
20	Disp. Transducer	DTS	Column Top Horiz. Displ.-S	in
21	Disp. Transducer	DJS	Column Joint Horiz. Displ.-S	in
22	Disp. Transducer	DTN	Column Top Horiz. Displ.-N	in
23	Disp. Transducer	DJN	Column Joint Horiz. Displ.-N	in

**Table 4-2 Cont'd. List of Instruments Used in Earthquake Simulator Testing**

CHANNEL	INSTRUMENT	NOTATION	RESPONSE MEASURED	UNITS
24	Disp. Transducer	DTBH	Top Block Horiz. Displ.	in
25	Disp. Transducer	Dp_Dsp_S	Damper Displ.-S	in
26	Disp. Transducer	Dp_Dsp_N	Damper Displ.-N	in
27	LED	DRPPS	Rocker Plate Pivot Pin.-S	in
28	LED	DRPLS	Rocker Plate Left Pin.-S	in
29	LED	DRPTS	Rocker Plate Top Pin .-S	in
30	LED	DRPPN	Rocker Plate Pivot Pin.-N	in
31	LED	DRPLN	Rocker Plate Left Pin.-N	in
32	LED	DRPTN	Rocker Plate Top Pin .-N	in
33 <sup>2</sup>	LED	DRS	Rod bottom joint-S	in
34 <sup>2</sup>	LED	DRN	Rod bottom joint-N	in
35 <sup>3</sup>	Disp. Transducer	DLAT	Table Horiz. Displ.	in
36 <sup>3</sup>	Disp. Transducer	SPEXTXS	Extens. Table Horiz. Displ.-S	in
37 <sup>3</sup>	Disp. Transducer	SPEXTXN	Extens. Table Horiz. Displ.-N	in
38 <sup>3</sup>	Disp. Transducer	SPEXTY	Extens. Table Transv. Displ.	in
39 <sup>3</sup>	Disp. Transducer	SPEXTZ	Extens. Table Vert. Displ.	in
40 <sup>3</sup>	Accelerometer	ATBLX	Shake Table Horiz.Accel.	g
41 <sup>3</sup>	Accelerometer	ATBLY	Shake Table Transv.Accel.	g
42 <sup>3</sup>	Accelerometer	ATBLZ	Shake Table Vert.Accel.	g
43 <sup>3</sup>	Accelerometer	AEXTX	Extens. Table Horiz.Accel.	g
44 <sup>3</sup>	Accelerometer	AEXTY	Extens. Table Transv.Accel.	g
45 <sup>3</sup>	Accelerometer	AEXTZ	Extens. Table Vert.Accel.	g

E = East, W = West, N = North, S = South, SE = South East, SW = South West,

NE = North East

1 Load cells were used for measuring the damper force only in Model 1 and Model 2

2 Needed for Model-1

3 Instruments used to control earthquake simulator

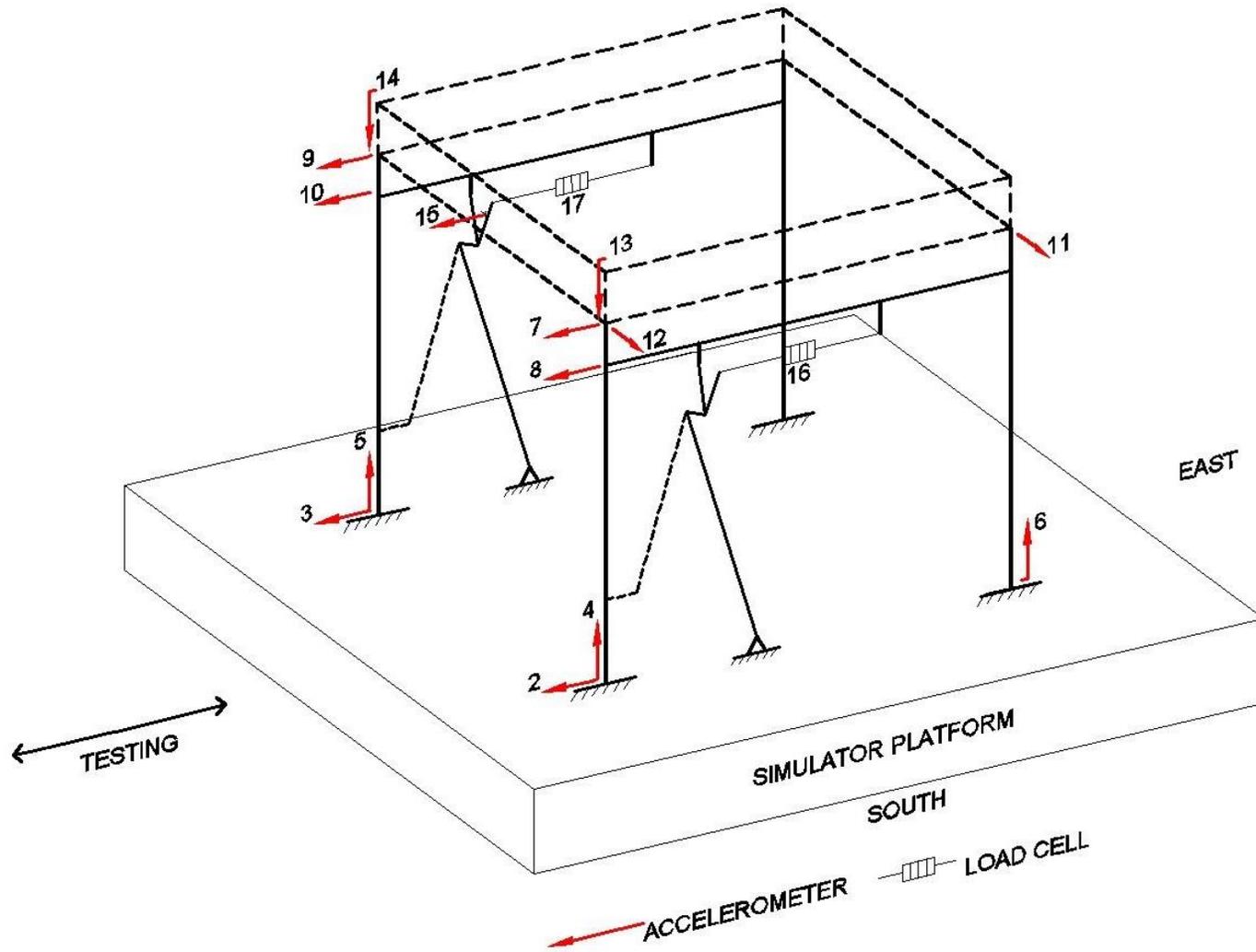


Figure 4-3 Accelerometer and Load Cell Instrumentation Diagram of Tested Structure

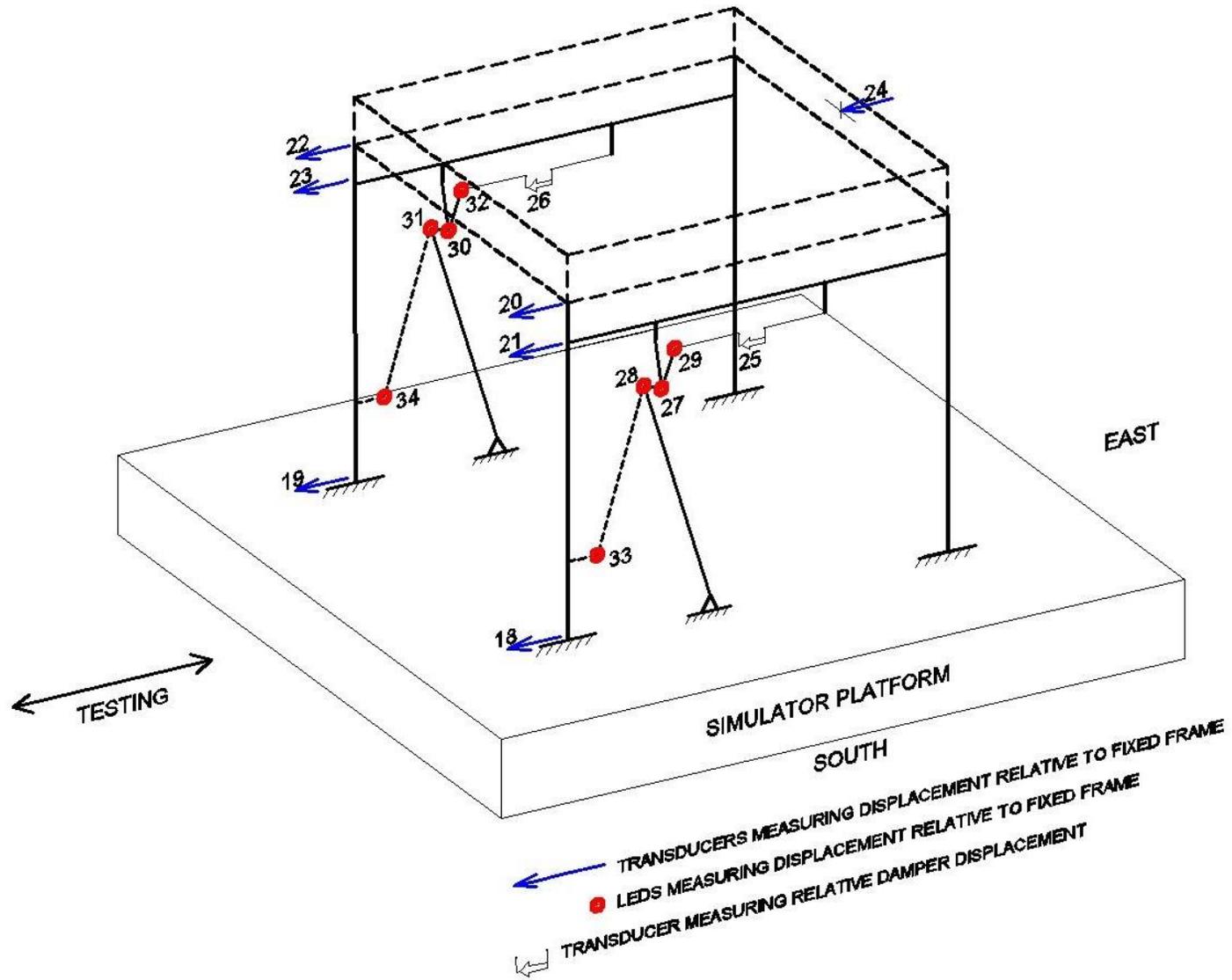


Figure 4-4 Displacement Transducer and LED Instrumentation Diagram of Tested Structure

### 4.3 Identification of Dynamic Properties of Model Structure

The model as shown in Figure 4-1 consisting of two frames and a 32kips (142.3kN) concrete mass on top of the columns was placed on the shake table in its dynamic characteristics identified. The base of the model was driven in banded white noise excitation within a frequency range of 0-25Hz and acceleration amplitude of 0.05 to 0.3g in several tests. Transfer functions were then constructed as the ratio of the Fourier transforms of the acceleration recorded at the column top (where the concrete mass is connected to the frame) to the acceleration recorded at the column base. The average acceleration histories recorded at the two frames (average of ACTS-ACTN, and average of ABHS-ABHN, see Figure 4-3 and Table 4-2) were used as some torsion occurred. The amplitude of the transfer function was used to obtain the frame characteristics in terms of the fundamental frequency and damping ratio by treating the tested system as a single-degree-of-freedom system (SDOF). This is reasonably acceptable assumption for the tested structure except for the problem of torsional response which was somehow alleviated by averaging the recorded acceleration histories of the two frames.

Assuming that damping is relatively small, the peak of the transfer functions reveals the location of the fundamental frequency of the SDOF system. The damping ratio  $\xi$  is related to the peak value of the transfer function  $T_{peak}$  and given by Equation (4-1).

$$\xi = \sqrt{\frac{1}{4(T_{peak}^2 - 1)}} \quad (4-1)$$

Figure 4-5 presents representative amplitude of transfer function vs frequency plots for the five tested systems with the damping system at white noise acceleration amplitude of 0.3g. The five systems are identified by model (1, 2 or 3 per Figure 3-1), beam-to-column connections and placement of the connection (left or right frame joint). The graphs also include the transfer function plots for the structure without the damping system at white noise acceleration amplitude of 0.1 to 0.3g. All transfer functions were obtained in tests that followed several seismic tests. The fundamental frequency and damping ratio of the model were obtained from the location and value of the single dominant peak in the transfer function amplitude per Equation (4-1). The values are presented in Table 4-3. The transfer function amplitude function shown for the un-

damped rigid-semi-rigid frame has two dominant peaks, indicating strong torsional response. The damping ratio could not be estimated from this graph on the basis of Equation (4-1) as the equation does not apply to a multi-degree-of-freedom system. The frequency was estimated on the basis of the second peak location.

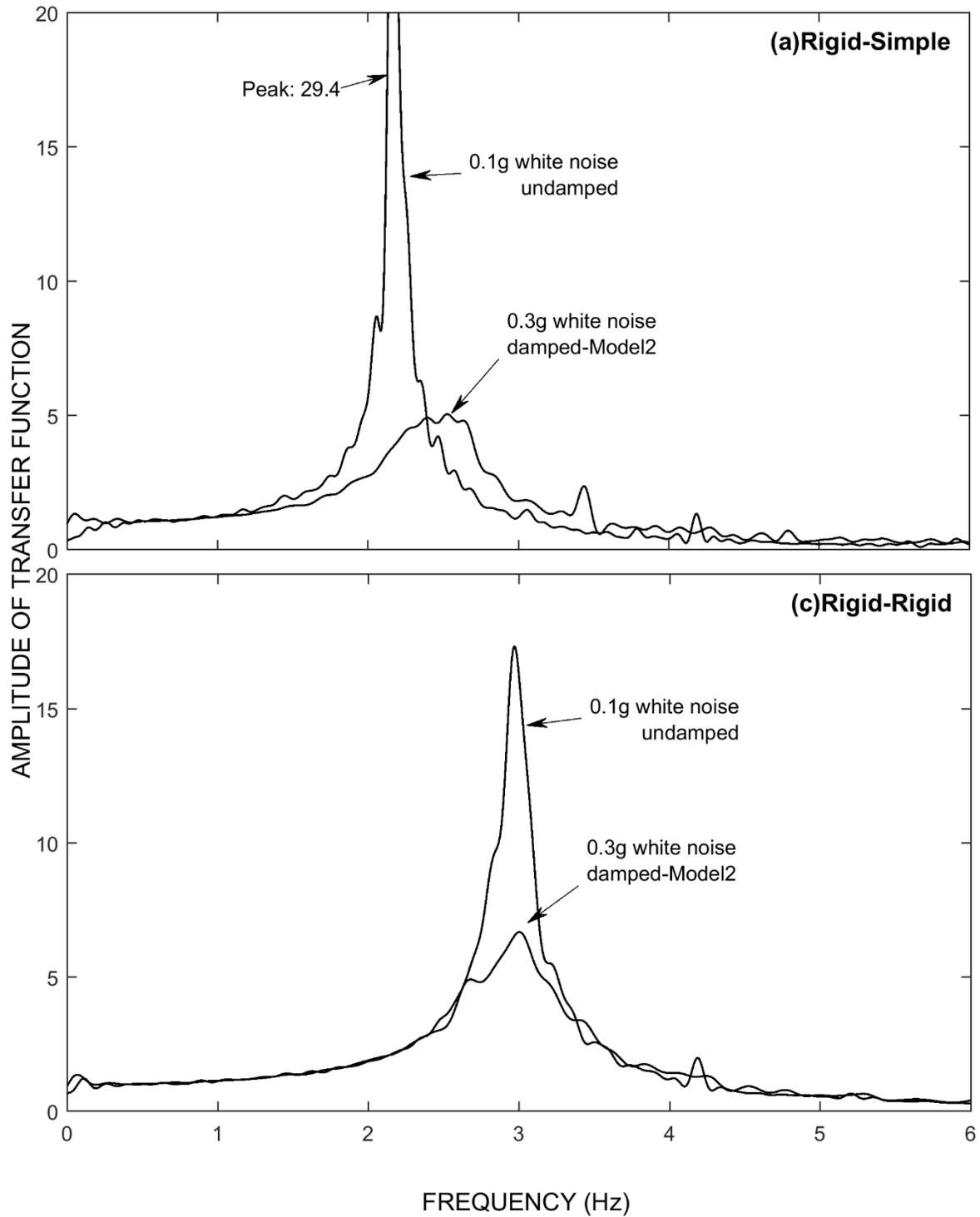
**Table 4-3 Identified Characteristics of Model Structure with and without Damping System**

Model	Beam-to-Column Connections (left and right)	Fundamental Frequency (Hz)	Damping Ratio
Without Damping System	Simple-Rigid	2.30 <sup>1</sup>	0.011 <sup>1</sup>
	Rigid-Simple	2.20	0.017
	Rigid-Rigid	2.98	0.029
	Rigid-Semi-rigid	2.40	NA
1	Simple-Rigid	2.21	0.087
2	Rigid-Simple	2.60	0.102
	Simple-Rigid	2.30	0.068
	Rigid-Rigid	3.00	0.075
3	Rigid-Semi-rigid	2.56	0.117
<sup>1</sup> : In another test frequency was 2.20Hz and damping was 0.023			

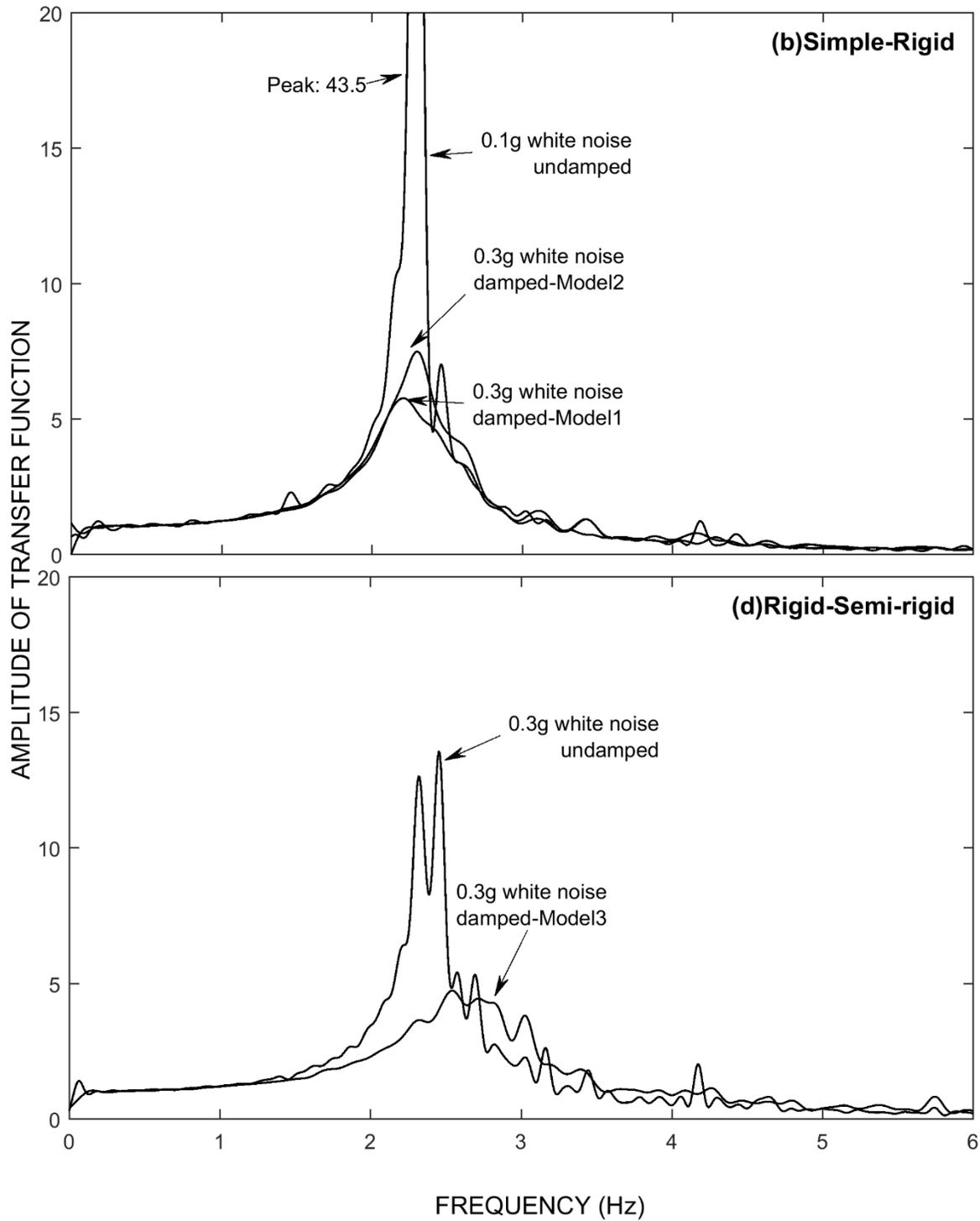
The un-damped frame has low damping of the order of 0.01 to 0.03 depending on the conditions of testing. The effect of the damping system is evident in the increase in damping and to a small extent in the increase in frequency (however, it should be noted that frequency was also affected by degree of bolt tightening). The increase in frequency is the result of viscoelastic behavior caused by the frame and damping system assembly deformation under the action of the inertia and damping forces. The damping ratio could be predicted by:

$$\beta = \frac{TC_o f^2 g \phi_1^2}{4\pi W \phi_2^2} \quad (4-2)$$

Equation (4-2) is a modification of Equation (1-3) to account for the fact that mass of the single degree of freedom system undergoes a different displacement than the beam to which the damping system is connected to. In Equation (4-2),  $\phi_1$  is the modal displacement of the beam-to-column joint and  $\phi_2$  is the modal displacement of the center of mass of the concrete block,



**Figure 4-5 Amplitude of Transfer Functions of Model Structure with and without Damping System**



**Figure 4-5 Cont'd. Amplitude of Transfer Functions of Model Structure with and without Damping System**

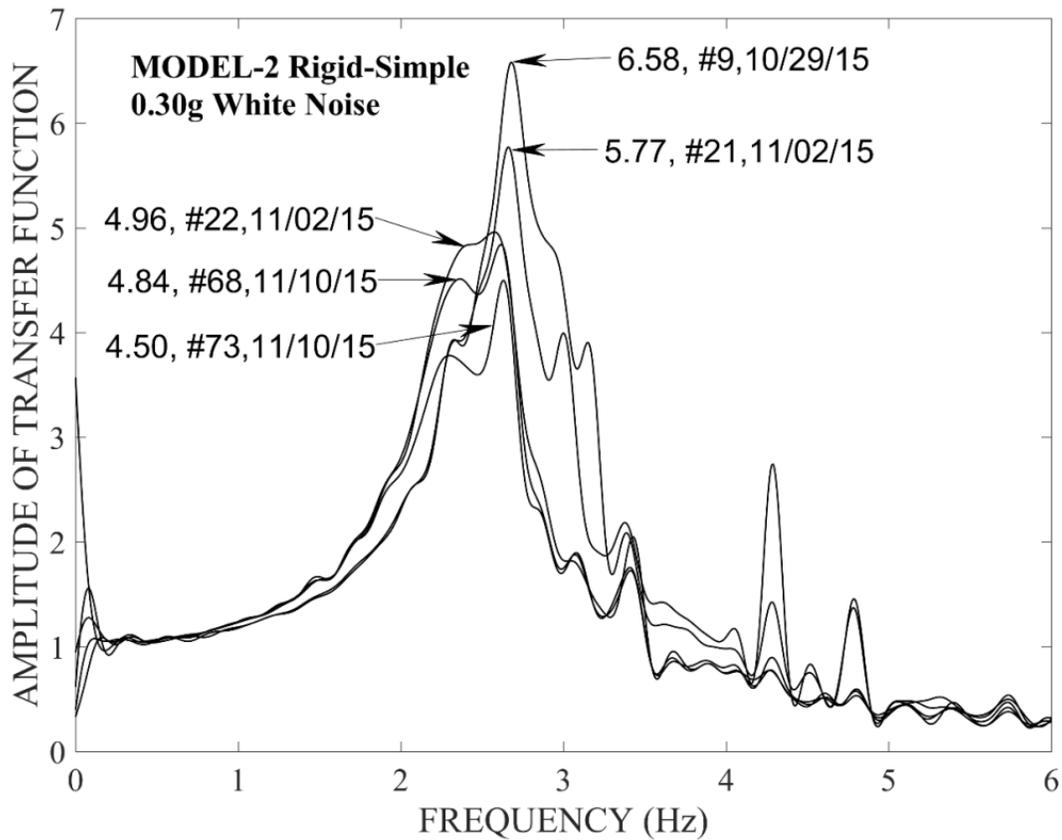
which presumably is the same as that of the column top when assuming simple connection between the concrete mass and the column top. Approximately,  $\phi_1/\phi_2$  is equal to  $H_1/H_2$ , where  $H_2$  is the height of the column ( $=90.63inch$ -see Figure 3-1) and  $H_1$  is the height of the beam-to-column joint ( $=75.13inch$ -see Figure 3-1). Use of Equation (4-2) for Model 2 in the Rigid-Simple configuration and utilizing the identified frequency of  $2.60Hz$ , so that  $T=0.385sec$ ,  $W=32.8kips(145.9kN)$ ,  $H_1/H_2=0.83$ ,  $C_o=2x0.36=0.72kip-sec/in$  ( $2x0.063=0.126kN-sec/mm$ ) and the measured value of the magnification factor under dynamic conditions in Table 3-2  $f=0.75$  (least of values in two directions under dynamic conditions), the added damping ratio is calculated as  $\beta=0.100$ . Adding about  $0.015$  for the inherent damping, the damping ratio is  $0.115$ , which compares well with the experimental value of  $0.102$  in the identification tests. However, use of the computed value of the magnification factor  $f=0.91$  per Table 3-2 would have resulted in a total damping ratio of about  $0.16$  rather than  $0.115$ . As explained earlier, the value of the magnification factor has been affected by slippage in the joints as demonstrated in the test data of Figure 3-5 to 3-8.

The problem of slippage in the joints together with loosening and periodic tightening of the bolted connections resulted in continuous changes in the properties of the tested frame during the history of the experiments. As an example, Figure 4-6 presents transfer functions of one of the tested damped configurations over a period of several days starting with a test prior to any seismic tests and ending with a test after completing all seismic tests. The peak value, the test number (numbered consecutively) and the test data date are used to identify each curve. Note that several tests were conducted in-between the identification tests shown in Figure 4-6. The changing properties of the frame are evident in Figure 4-6.

#### 4.4 Earthquake Simulator Test Results

A summary of selected results in the earthquake simulator testing is presented in Table 4-4. The table contains the following:

1. The system tested and test number. The models tested are identified as M2R-S for Model 2 with Rigid-Simple connections on the left and right, respectively, M2S-R for Model 2 with Simple-Rigid connections, M3R-sR for Model 3 with Rigid-Semi-Rigid connections,



**Figure 4-6 Transfer Functions of Model 2 Rigid-Simple Configuration in Several Tests**

etc. Note that systems M1S-R, M2S-R, M2R-S, M2R-R and M3R-sR were tested, whereas system M1R-S was not tested as it was known to have a low magnification factor. Description of seismic excitation, which includes the excitation name, component, and acceleration amplitude scale. For example, EL CENTRO S00E 50% implies that the record was component S00E of the El Centro earthquake, scaled in amplitude of acceleration to 50-percent of the actual record.

3. Peak values of the earthquake simulator displacement, velocity and acceleration. The peak simulator displacement was obtained from instrument DBE (see Table 4-2), the peak velocity was derived from numerical differentiation of the displacement record, and the peak acceleration was obtained from instrument AEXTX (see Table 4-2).

4. Peak frame response (average of the south and north frame) in terms of drift (displacement of the beam-to-column joint with respect to column base), beam-to-column acceleration, damper displacement and damper force.
5. Values of the magnification factor determined separately for motion towards the left and for motion towards the right, then using the maximum value between the two and then averaging the values at south and north frames so that any torsion effects are removed. More details of values of the magnification factor are presented in Appendix C.

The peak frame response values are the average of the two quantities measured at the two frames of the model. The two values differed due to asymmetry in the model caused by slight variations in stiffness of the two frames, slippage in the joints (which was not the same in the two frames), degree of tightening of the bolts of joints of the two frames and amount of tension in the transverse cross-bracing of the structure. The reported magnification factor is simply the ratio of the peak damper displacement to the peak frame drift. As discussed earlier in this report when the frame testing was described, the magnification factor is dependent on the frame deformations and on slippage in the joints. The latter is affected by the direction and the amplitude of motion. That is, the magnification factor changes during motion and the reported value should be viewed as a representative single value. Other selected results are presented in Appendix C. The results in the appendix include histories of the joint acceleration, frame drift and damper deformation, and loops of damper force versus damper deformation. Also, Appendix D presents drawings of the model as assembled on the earthquake simulator.

The measured values of magnification factor lie in the range of 0.65 to 0.77 for Model 2 R-S, 0.48 to 0.56 for Model 2 S-R, 0.49 to 0.56 for Model 1 S-R, 0.54 to 0.63 for Model 2 R-R and 0.82 to 0.84 for Model 3 R-sR. The values of the magnification factor for the tested systems are consistent with those reported in Table 3-2 as obtained in the cyclic testing of individual frames under dynamic conditions (0.75 for M2R-S, 0.67 for M2S-R, 0.49 for M1S-R). The values measured in the shake table testing of system M2S-R are lower than those from the individual frame testing with the likely reason being differences in the condition of the simple connections. Moreover, for system Model 3 R-sR, which has not been cyclically tested, the magnification factor is higher than the other systems due to the fact that it is unaffected by the beam deformations. Measured values of magnification factor for the Model 3 R-sR

configuration are in the range of 0.82 and 0.84 compared to the value of 0.91 predicted by a computational model of the frame including the effects of frame deformations due to the inertia and damping forces. The difference between the experimental and computational values is due again to slippage in the bolted joints that could not be analytically accounted for, and due to differences in the stiffness of the frame between the physical model and the computational model.

**Table 4-4 Peak Response of Model Structure in Earthquake-Simulator Testing**

System	Test #	Excitation	Peak Earthquake Simulator Motion			Drift (inch)	Accel (g)	Damper Displ. (inch)	Damper Force (kip)	Magnif. Factor
			Displ. (inch)	Veloc. (inch/sec)	Accel. (g)					
M2R-S	11	TAFT N21E 200%	1.97	7.42	0.29	0.51	0.37	0.35	2.38	0.69
	13	EL CENTRO S00E 50%	0.87	4.30	0.18	0.46	0.32	0.30	1.82	0.65
	14	EL CENTRO S00E 100%	1.96	8.58	0.33	0.86	0.55	0.61	3.22	0.71
	19	PACOIMA S74W 50%	3.12	13.44	0.45	0.44	0.34	0.33	1.92	0.75
	23	PACOIMA S74W 75%	4.70	20.16	0.72	0.68	0.50	0.51	2.95	0.75
	70	PACOIMA S74W 100%	6.32	27.17	1.01	1.05	0.65	0.79	3.70	0.75
	71	NEWHALL 90 75%	2.43	12.32	0.60	0.87	0.54	0.67	4.22	0.77
M2S-R	38	TAFT N21E 200%	1.94	7.36	0.29	0.90	0.52	0.44	2.53	0.51
	35	EL CENTRO S00E 50%	0.99	4.41	0.18	0.55	0.32	0.26	1.43	0.48
	63	EL CENTRO S00E 100%	1.95	8.71	0.30	1.03	0.56	0.58	2.90	0.56
	36	PACOIMA S74W 50%	3.12	13.60	0.45	0.48	0.31	0.21	1.48	0.49
	64	PACOIMA S74W 75%	4.72	20.18	0.71	0.78	0.49	0.42	2.12	0.55
M1S-R	42	EL CENTRO S00E 50%	0.99	4.35	0.17	0.52	0.29	0.26	1.34	0.50
	44	PACOIMA S74W 50%	3.12	13.40	0.44	0.47	0.31	0.25	1.49	0.54
	45	PACOIMA S74W 75%	4.69	20.16	0.70	0.75	0.48	0.40	2.05	0.53
	48	NEWHALL 90 50%	1.59	8.12	0.36	0.66	0.40	0.32	2.27	0.49
	54	TAFT N21E 200%	1.94	7.32	0.29	0.91	0.50	0.49	2.17	0.55
	55	PACOIMA S74W 75%	4.73	20.42	0.71	0.79	0.48	0.44	1.90	0.56
M2R-R	26	EL CENTRO S00E 50%	0.85	4.65	0.19	0.33	0.32	0.18	1.46	0.54
	66	TAFT N21E 200%	1.98	7.39	0.28	0.55	0.50	0.31	2.35	0.58
	67	PACOIMA S74W 75%	4.76	20.16	0.75	0.77	0.68	0.48	3.45	0.63
M3R-sR	83	EL CENTRO S00E 100%	1.95	8.70	0.34	0.77	0.61	0.64	NA	0.82
	84	PACOIMA S74W 75%	4.74	20.20	0.71	0.74	0.54	0.62	NA	0.84

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## SECTION 5

### ANALYTICAL PREDICTION OF RESPONSE

#### 5.1 Analytical Model

Dynamic analysis of the tested structure was performed in computer program SAP2000. Figure 5-1 depicts the analytical model used in the cases of Model 1 and Model 2, Rigid-Simple configuration. Note that each simple connection (beam-to-column and the two column bases) was modeled as a pin with a rotational spring (partial fixity in SAP2000) of which the stiffness was determined so that the fundamental frequency was close to the one measured in the identification tests of the structure without the damping system (see Table 4-3). All connections of the damping system were modelled as true pins. Also, the connection of each column top to the concrete mass was modelled as a true pin (in reality it is not). Inherent damping of 2% of critical in each mode of vibration was assigned to the model. Only one of the two frames was modeled. Masses, calculated from the added concrete blocks and the tributary weights of the elements, were lumped at the joints, as shown in Figure 5-2. Joint coordinates including lumped masses are listed in Table 5-1. Element properties in the SAP2000 model are listed in Table 5-2. The viscous damper was modeled as nonlinear link element (Damper-Exponential in SAP2000) with a damping coefficient of 0.36 kip-sec/in and damping exponent of unity. Nonlinear modal time history analysis (known as fast nonlinear analysis) was used for the solution, which is limited to small deformation theory.

#### 5.2 Response History Analysis Results

Figure 5-3 to 5-6 present comparisons of analytical and experimental results in eight selected tests of the five tested systems. The compared results are acceleration and drift histories, damper force-displacement loops and base shear normalized by weight  $W$  vs drift loops ( $W$  is the tributary weight of one frame=16.4kip or 72.95kN) versus drift. The predicted acceleration and drift histories and the base shear-drift loops are in good agreement with the experimental response. The damper force-displacement loops were not predicted well by the analytical model due to primary overestimation of the damper displacement (and thus also damper velocity). This

is the results of overestimation of the magnification factor due to inability to account for joint slippage and for hysteretic behavior in the “simple” frame joints (which were dependent on the degree of bolt tension, and kept changing during testing) in the analytical model. It may be noted in Figure 5-3 to 5-6 that the analytical model predicts a damper displacement of as much as 0.2inches (5mm) more than the observed value, which is consistent with what was possible slippage in the oversize-hole connections (3/16th inch or 5mm).

Slippage in the joints together with oversized holes was a characteristic of the tested model while in actual applications the connections will be welded or with standard size holes, for which slippage in the joints will be of the order of 1/16<sup>th</sup> inch (1.5mm) rather than 3/16<sup>th</sup> inch (5mm). The importance of joint slippage is better appreciated when one considers that the tested model was at length scale of 2, so that the drift and damper displacement were half of those of actual buildings, whereas the slippage was as much as three times larger.



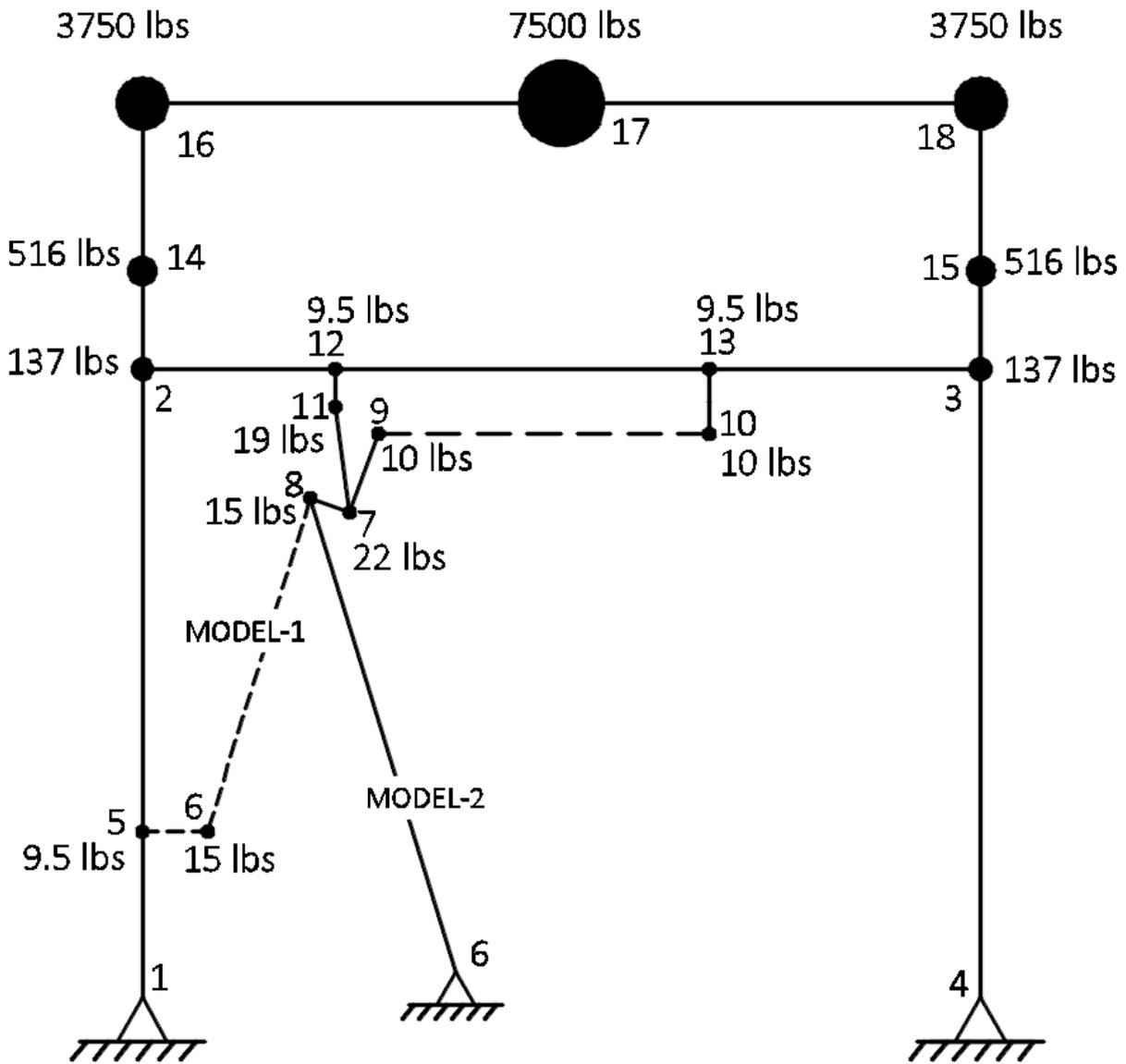


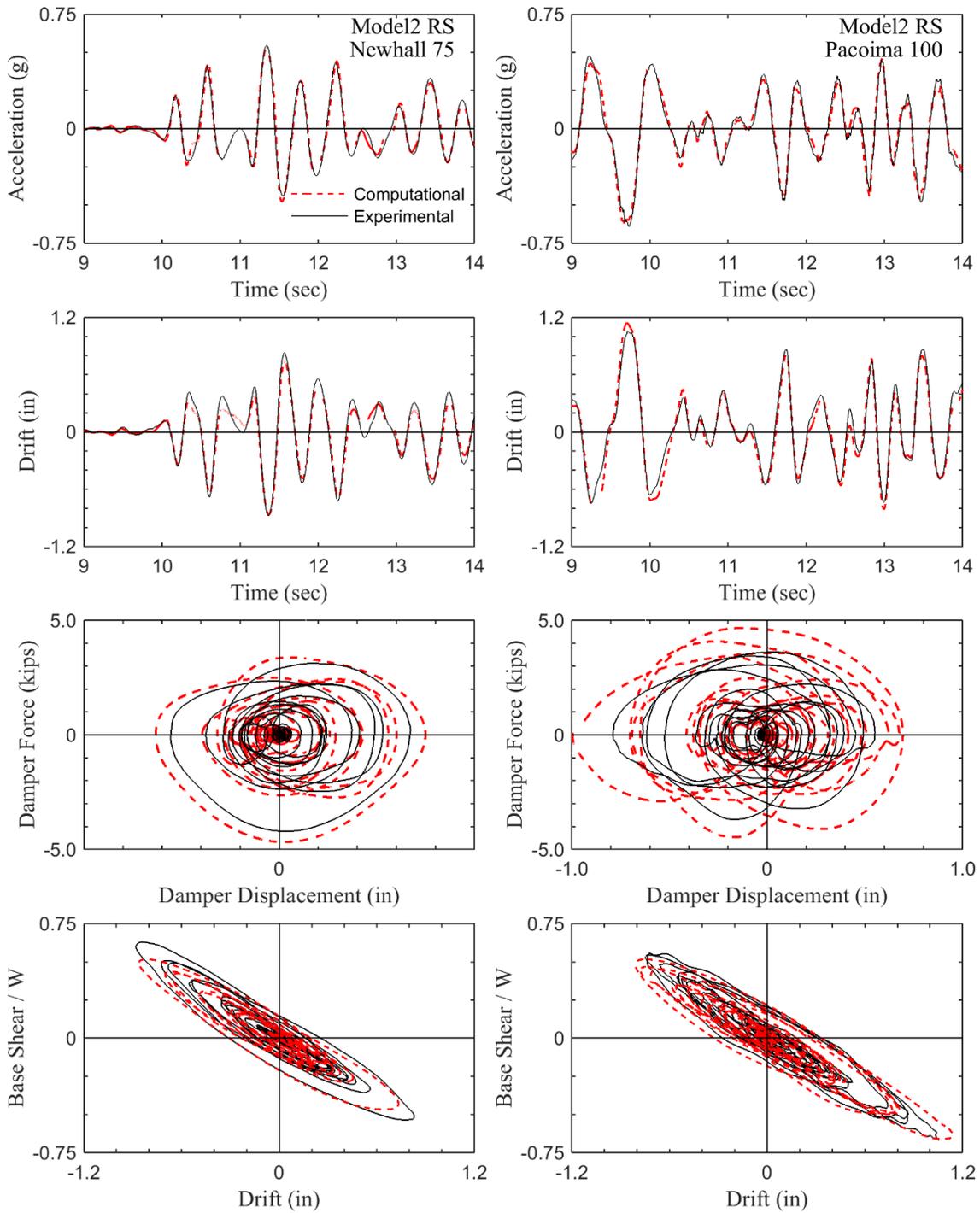
Figure 5-2 Lumped Weights in SAP2000 Model of Tested Structure

**Table 5-1 Joint Coordinates and Lumped Joint Weights in SAP2000 Model**

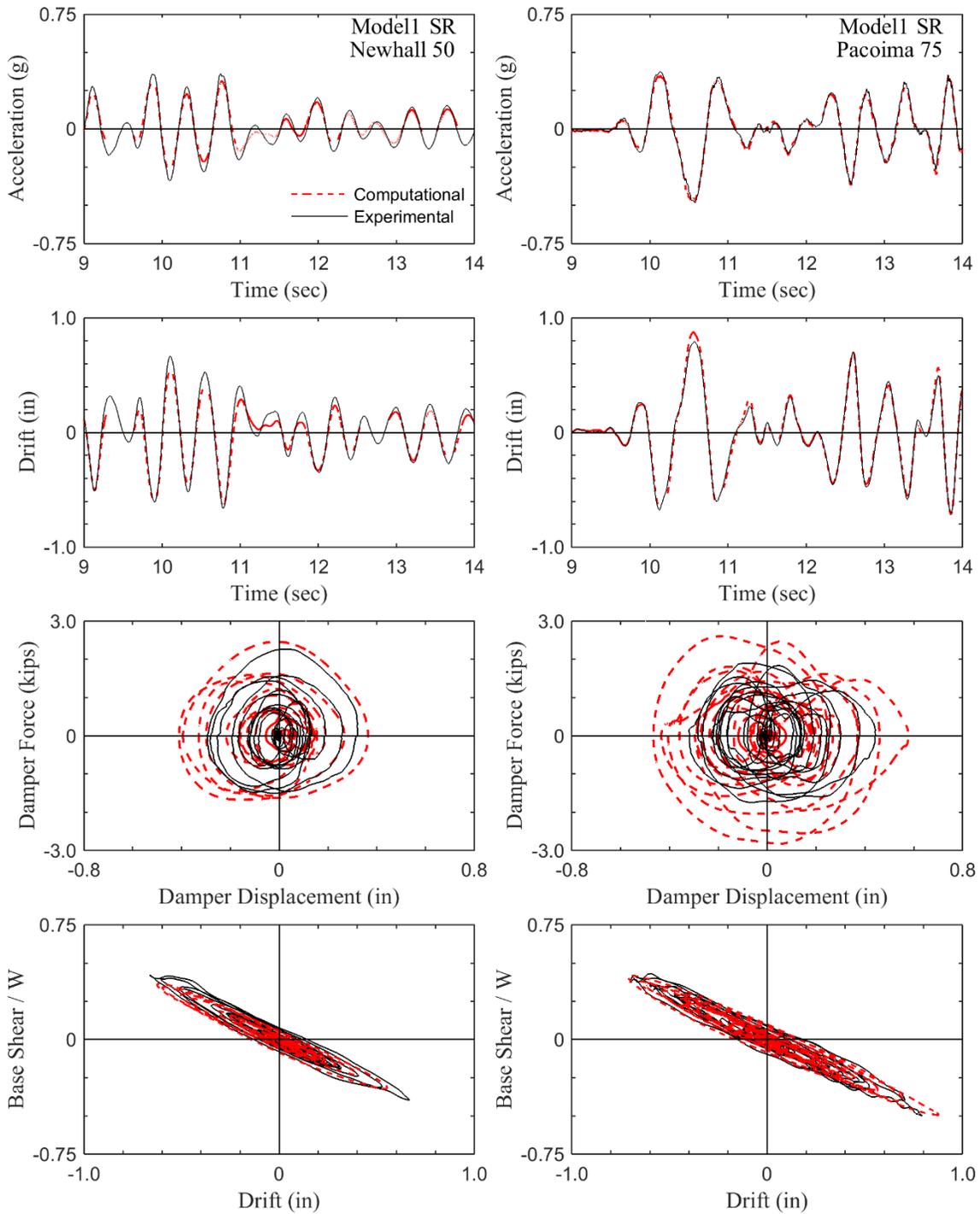
<b>Joint</b>	<b>X (in)</b>	<b>Z (in)</b>	<b>Weight (lb)</b>
1	0	0	0
2	0	75.125	137
3	100	75.125	137
4	100	0	0
5	*0/NA	*19.832/ NA	*9.5/NA
6	*0/37.478	*19.832/3.05	*15/0
7	24.57	57.995	22
8	19.881	59.73	15
9	28.046	67.357	10
10	67.627	67.375	10
11	22.827	71.125	19
12	22.827	75.125	9.5
13	67.627	75.125	9.5
14	0	90.625	516
15	100	90.625	516
16	0	116.425	3750
17	50	116.425	7500
18	100	116.425	3750
*First value is for Model 1, second value is for Model 2			

**Table 5-2 Element Properties in SAP2000 Model**

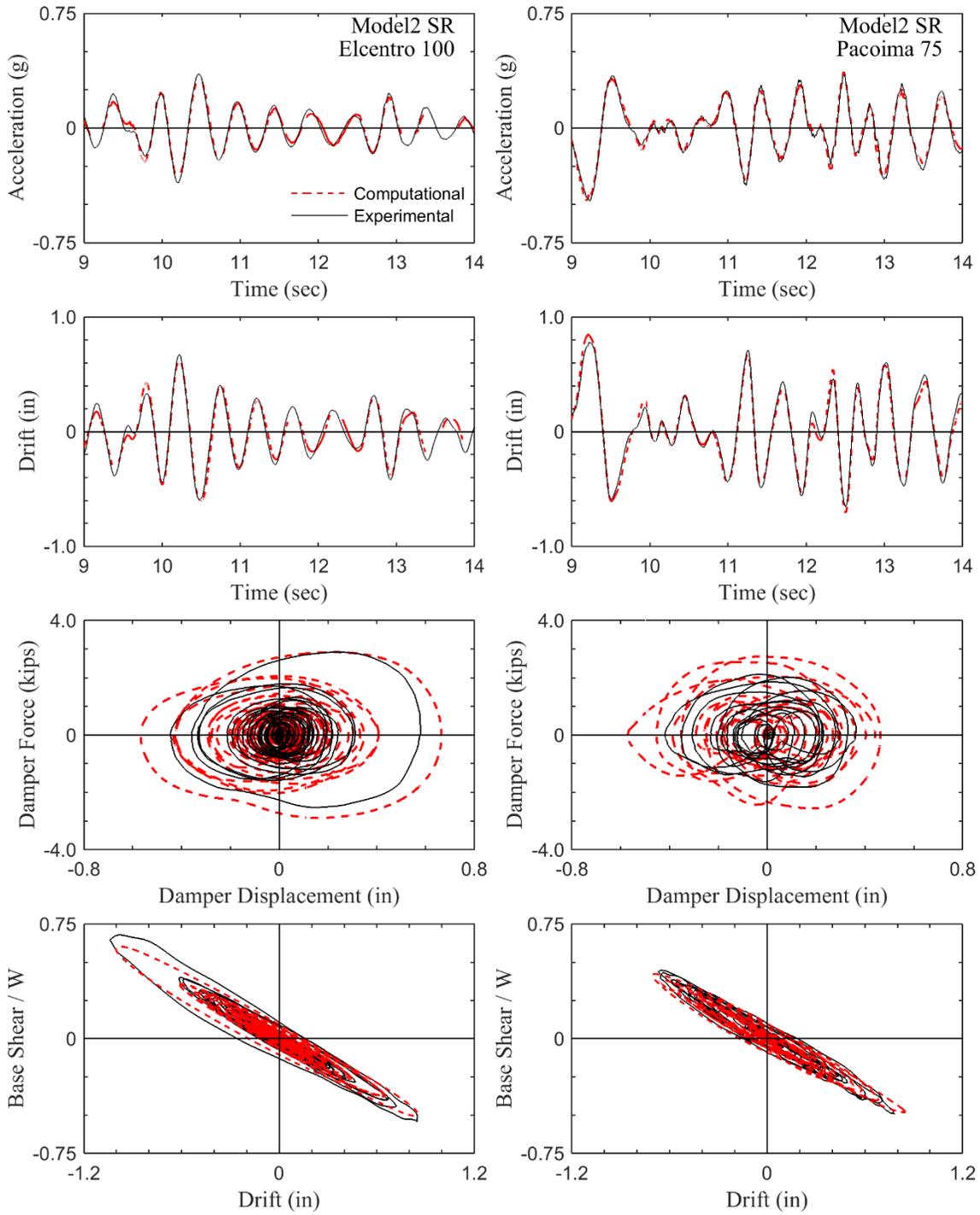
<b>Element</b>	<b>Start Joint</b>	<b>End Joint</b>	<b>Section</b>	<b>Area (in<sup>2</sup>)</b>	<b>I<sub>zz</sub> (in<sup>4</sup>)</b>	<b>Shear Area (in<sup>2</sup>)</b>
1	1	2	W8X15	4.44	48	1.99
2	2	3	W8X13	3.84	39.6	1.84
3	3	4	W8X15	4.44	48	1.99
4	5	6	RIGID	100	100	100
5	7	8	RIGID	100	100	100
6	7	9	RIGID	100	100	100
7	7	11	PLATE	2	3.6	100
8	6	8	BEAM	3.14	10	10
9	10	13	RIGID	100	100	100
10	11	12	RIGID	100	100	100
11	2	14	W8X15	4.44	48	1.99
12	3	15	W8X15	4.44	48	1.99
13	14	16	RIGID	1000	10000	1000
14	15	18	RIGID	1000	10000	1000
15	16	17	RIGID	1000	10000	1000
16	17	18	RIGID	1000	10000	1000
NLINK	6	10	C = 0.36 kip-sec/in			
SPRING	3	-	K <sub>rot</sub> = 20000 kip-in/radian			
SPRING	1	-	K <sub>rot</sub> = 6000 kip-in/radian			
SPRING	4	-	K <sub>rot</sub> = 6000 kip-in/radian			



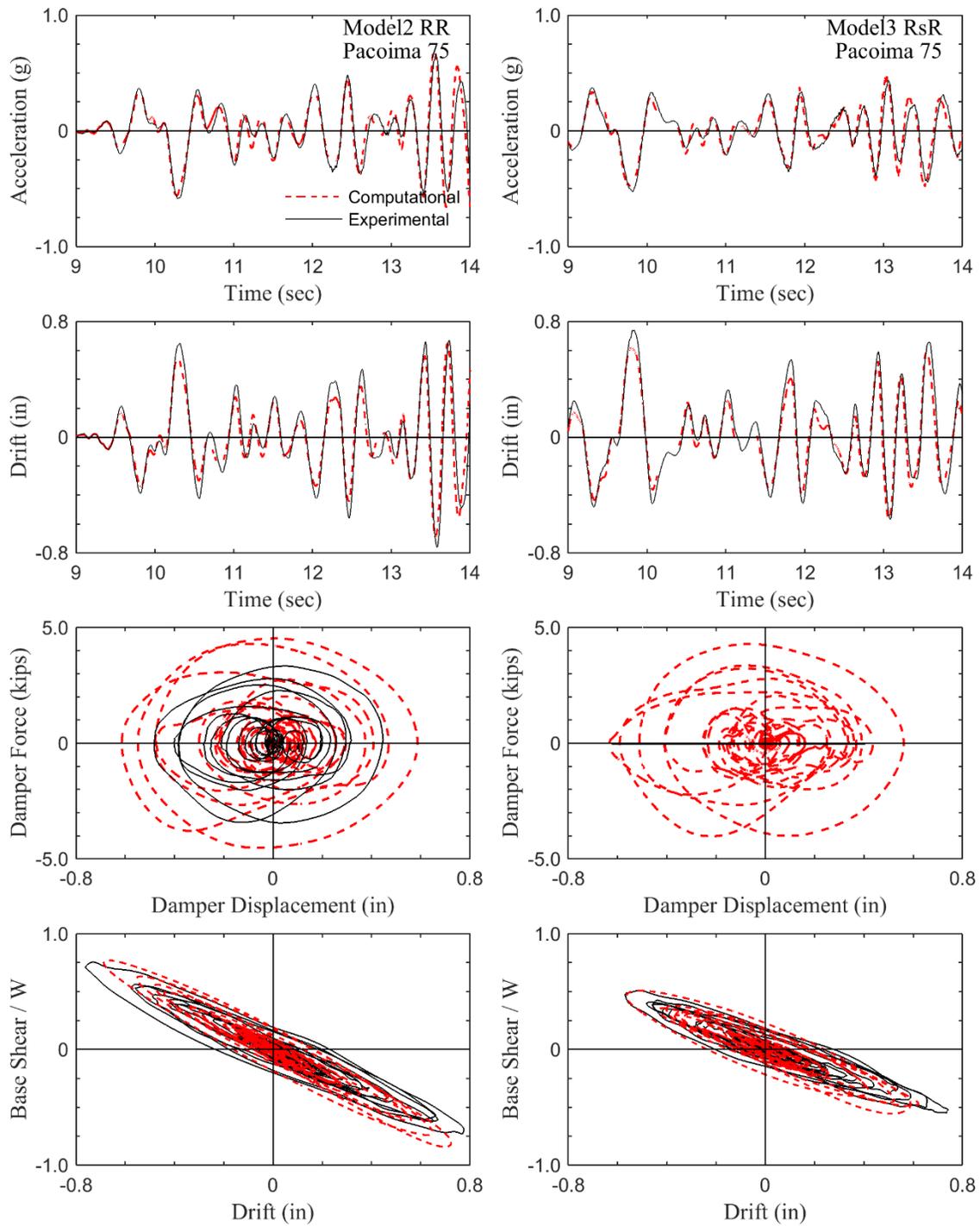
**Figure 5-3 Comparison of Experimental and Analytical Results for Model 2 R-S in Two Tests**



**Figure 5-4 Comparison of Experimental and Analytical Results for Model 1 S-R in Two Tests**



**Figure 5-5 Comparison of Experimental and Analytical Results for Model 2 S-R in Two Tests**



**Figure 5-6 Earthquake Simulator Test Results for Model 2 R-R and Model 3 R-sR in Pacoima Motion**

## SECTION 6

### SUMMARY AND CONCLUSIONS

A damping system has been described which allows for open space configuration that is most desirable by architects, owners and engineers. The configuration features a damper that may be installed parallel to the beam and which is connected to a column or the beam below through an inclined lever mechanism and a vertically inclined brace. The magnification factor accomplished by this configuration is close to that of the commonly used diagonal configuration, although larger values may be achieved at the expense of reduction in the open space characteristics.

A theory has been presented to predict the magnification factor of the system, defined as the ratio of the damper displacement to the frame drift, which is needed in predicting the damping ratio of the structure. It has been shown that the magnification factor is significantly affected by the beam deformations at the locations where the system is attached. However, the magnification factor is practically unaffected by the level of the damper forces (as large as 25% of the base shear force) and by large deformation effects up to a story drift of 4% of the story height.

Verification of the theory has been presented by comparison of theoretical predictions of the magnification factor to results of computational analysis of a sample frame in program SAP2000. This frame is a half-length scale model of a portal frame built for testing of the open space damping system.

An experimental study of the open space damping system has been presented. The experiments were conducted in order to demonstrate the increase in damping afforded by the damping system and to acquire data on dynamic response for validating the developed computational models for analysis. On the basis of the presented results, it may be concluded that the behavior of structures with the open space damping system can be predicted with sufficient accuracy for practical applications using readily available computational tools. In general, the prediction of drift and acceleration histories of response was in good agreement with the recorded response. However, damper displacements were generally over-predicted by as much as 0.2in (5mm), a value which is consistent with what was possible slippage in the oversize-hole connections of the tested frame ( $3/16^{\text{th}}$  inch or 5mm).

The difficulties encountered with slippage in the joints were a characteristic of the tested model rather than of actual structures of which connections are typically welded or, when simple, they employ standard holes (rather than the slotted holes used in the model) and thus slippage is much less. Nevertheless, any uncertainty in properties needs to be accounted for by bounding analysis in which more than one models of analysis are used and the maximum response is utilized in design.

## SECTION 7

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## APPENDIX A

### DERIVATION OF MAGNIFICATION FACTOR

Consider Figure 1-6. The movement of brace EC and rocker plate CAB is further illustrated in Figure A-1 for the case without frame deformation effects. Note that the original configuration (prior to frame lateral motion) is shown in dashed lines, and the final configuration (after the frame experiences lateral motion) is shown in solid lines. Members are considered inextensible so that the lengths of brace EC ( $L_{EC}$ ) and of members CA ( $L$ ) and AB ( $h$ ) are constant. Note that the horizontal displacements of points A and D are the same as the drift  $u$ . Expressions for the displacements of points A, B, C and D, obtained entirely on the basis of kinematics, are shown in the Figure 12. Note also that counter-clockwise rotations are positive.

The coordinates of points  $C$  and  $C'$  are, respectively, given by  $(X_C, Y_C) = (L_{EC} \sin \theta_2, L_{EC} \cos \theta_2)$  and  $(X_{C'}, Y_{C'}) = (L_{EC} \sin \theta'_2, L_{EC} \cos \theta'_2)$ . It follows from the figure above that  $Y_{C'} = L_{EC} \cos \theta'_2 = Y_C + L \sin(\theta + \theta_3) - L \sin \theta$ . Also,  $X_{C'} = L_{EC} \sin \theta'_2 = X_C + u + L \cos \theta_3 - L \cos(\theta + \theta_3)$ . The cosine and sine of angle  $\theta'_2$  are given by the following equations after using  $X_C = L_{EC} \sin \theta_2$  and  $Y_C = L_{EC} \cos \theta_2$ :

$$\cos(\theta'_2) = \frac{Y_{C'}}{L_{EC}} = \cos \theta_2 + \frac{L}{L_{EC}} \sin(\theta + \theta_3) - \frac{L}{L_{EC}} \sin \theta \quad (\text{A-1})$$

$$\sin \theta'_2 = \frac{X_{C'}}{L_{EC}} = \sin \theta_2 + \frac{u}{L_{EC}} + \frac{L \cos \theta_3}{L_{EC}} - \frac{L}{L_{EC}} \cos(\theta + \theta_3) \quad (\text{A-2})$$

Angle  $\theta'_2$  is eliminated from Equations (A-1) and (A-2) by use of  $\cos^2(\theta'_2) + \sin^2(\theta'_2) = 1$  and after some algebra, the following is derived:

$$\begin{aligned} \frac{u^2}{L_{EC}} + \frac{2uL}{L_{EC}} (\cos \theta_3 - \cos(\theta_3 - \theta)) + 2u \sin \theta_2 + 2L \sin(\theta - \theta_2 + \theta_3) \\ + 2L \sin(\theta_2 - \theta_3) = 0 \end{aligned} \quad (\text{A-3})$$

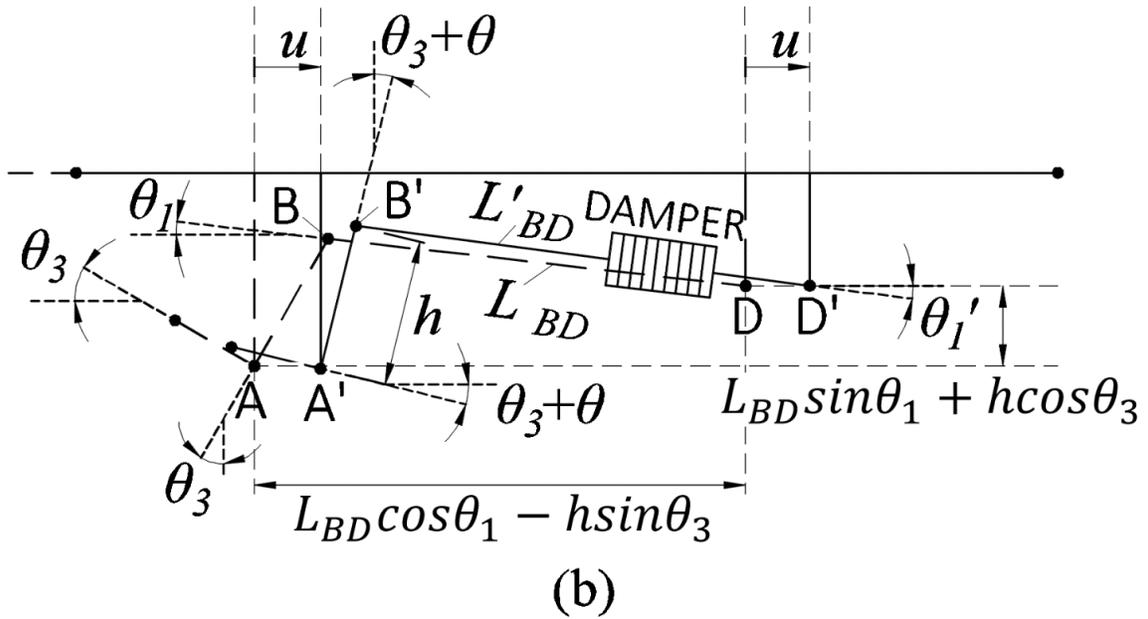
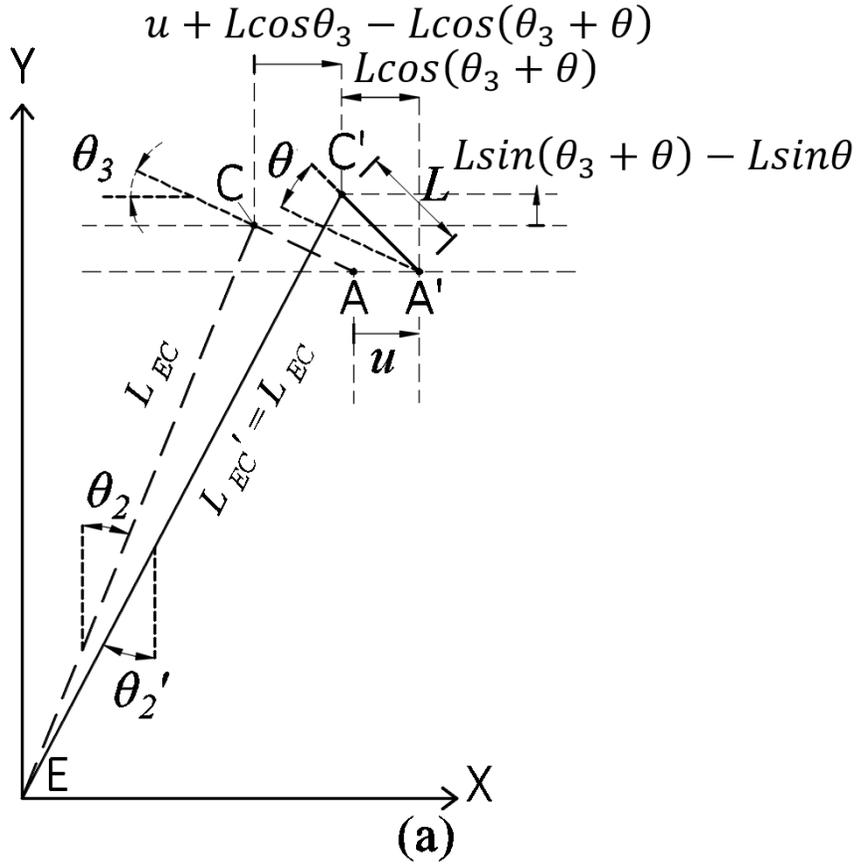


Figure A-1: Illustration of an Initial and Deformed Geometry of an Open Space System without Frame Deformation: (a) Rotation of Point A; (b) Damper Motion

Assuming small rotations so that  $\sin(\theta) \sim \theta$  and  $\cos(\theta) \sim 1$ , Equation (A-3) reduces to

$$\frac{u^2}{L_{EC}} + 2u\theta \frac{L}{L_{EC}} \sin\theta_3 + 2L\theta \cos(\theta_2 - \theta_3) + 2u \sin\theta_2 = 0 \quad (\text{A-4})$$

Terms involving  $u^2$  and  $u\theta$  are higher order terms so that for small displacements and rotations, Equation (A-4) reduces to

$$u \sin\theta_2 + L\theta \cos(\theta_2 - \theta_3) = 0 \quad (\text{A-5})$$

Solving for  $\theta$  yields

$$\theta = -\frac{u \sin\theta_2}{L \cos(\theta_2 - \theta_3)} \quad (\text{A-6})$$

The magnification factor is  $f = (L_{B'D'} - L_{BD})/u$ , where  $(L_{B'D'} - L_{BD})$  is the damper deformation  $u_D$  and  $u$  is the drift, which is related to angle  $\theta$  through Equation (A-6). Consider now the coordinates of points  $D$  and  $D'$ . Per Figure A-1(b), they are  $(X_D, Y_D) = (X_A - h \sin\theta_3 + L_{BD} \cos\theta_1, Y_A + h \cos\theta_3 + L_{BD} \sin\theta_1)$  and  $(X'_D, Y'_D) = (X'_A - h \sin(\theta_3 + \theta) + L_{B'D'} \cos\theta'_1, Y'_A + h \cos(\theta_3 + \theta) + L_{B'D'} \sin\theta'_1)$ . Note that from the kinematics of the Figure A-2(b),  $X'_D - X'_A = X_D - X_A$ , and  $Y'_D - Y'_A = Y_D - Y_A$ . Using these relations, the following equations are derived.

$$L_{B'D'} \cos\theta'_1 = L_{BD} \cos\theta_1 + h \sin(\theta + \theta_3) - h \sin\theta_3 \quad (\text{A-7})$$

$$L_{B'D'} \sin\theta'_1 = L_{BD} \sin\theta_1 - h \cos(\theta + \theta_3) + h \cos\theta_3 \quad (\text{A-8})$$

Angle  $\theta'_1$  is eliminated from Equations (A-7) and (A-8) by use of  $\cos^2(\theta'_1) + \sin^2(\theta'_1) = 1$  and after some algebra, the following is derived:

$$L_{B'D'}^2 - L_{BD}^2 = 2h^2 - 2h^2 \cos\theta + 2L_{BD}h \sin(\theta_1 - \theta_3) + 2L_{BD}h \sin(\theta - \theta_1 + \theta_3) \quad (\text{A-9})$$

Expanding the terms in Equation (A-9) and for small rotations so that  $\sin(\theta) \sim \theta$  and  $\cos(\theta) \sim 1$ , the following is derived

$$(L_{B'D'} - L_{BD})(L_{B'D'} + L_{BD}) = 2L_{BD}h\theta \cos(\theta_1 - \theta_3) \quad (\text{A-10})$$

In (A-10)  $L_{B'D'} - L_{BD}$  is the damper deformation,  $u_D$ . Further recognizing that for small rotations,  $L_{B'D'} + L_{BD} \sim 2L_{BD}$ , the damper deformation is given by

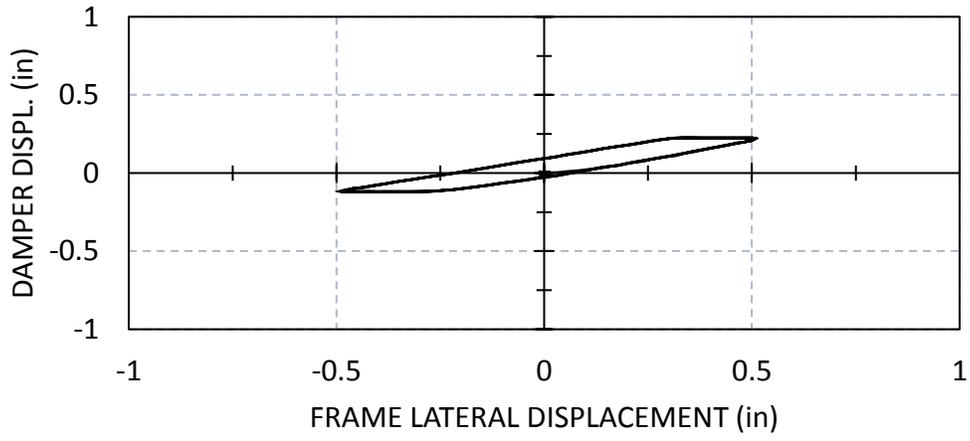
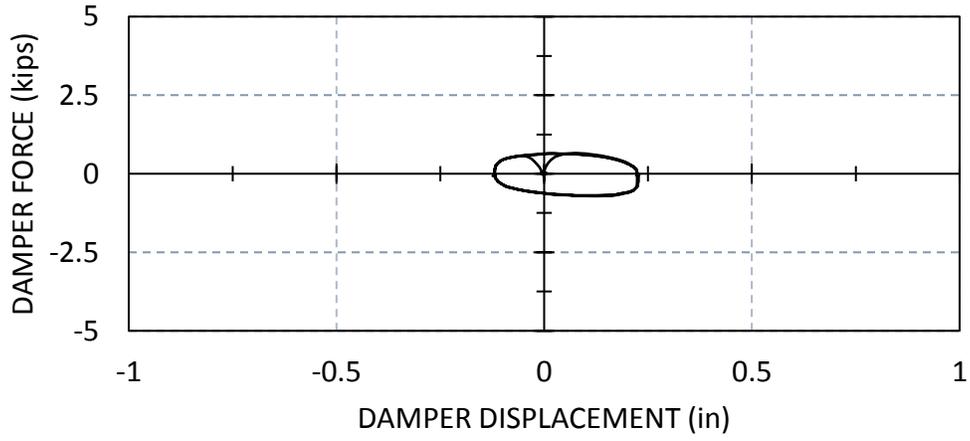
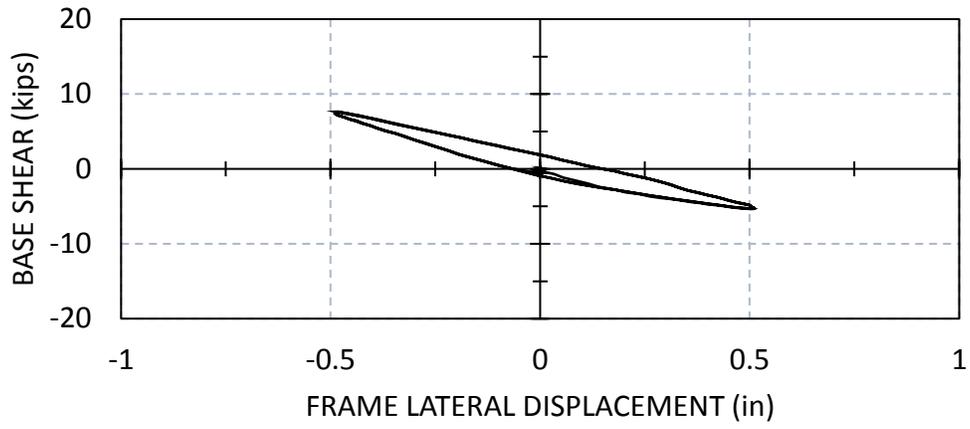
$$u_D = L_{B'D'} - L_{BD} = h\theta \cos(\theta_1 - \theta_3) \quad (\text{A-11})$$

Finally, from Equations (A-10) and (A-11) the magnification factor is obtained as

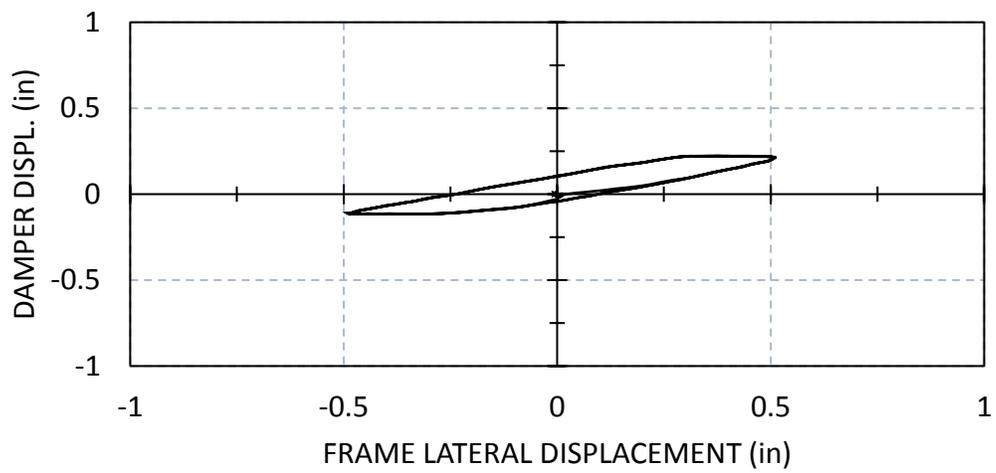
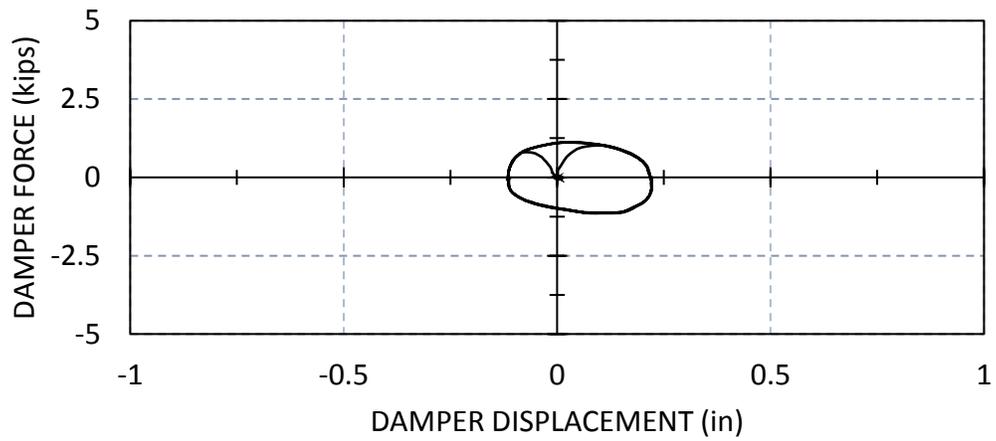
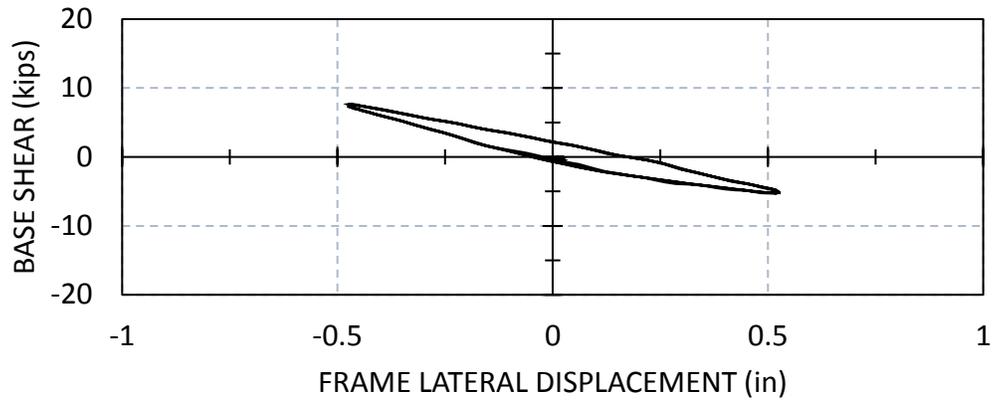
$$f = \frac{u_D}{u} = \left| \frac{h \cos(\theta_1 - \theta_3) \sin\theta_2}{L \cos(\theta_2 - \theta_3)} \right| \quad (\text{A-12})$$

**APPENDIX B**  
**RESULTS OF TESTING OF INDIVIDUAL FRAMES**

MODEL 1, RIGID-SIMPLE CONNECTION  
 $U_o=0.5\text{in}$ ,  $f=1\text{Hz}$ .

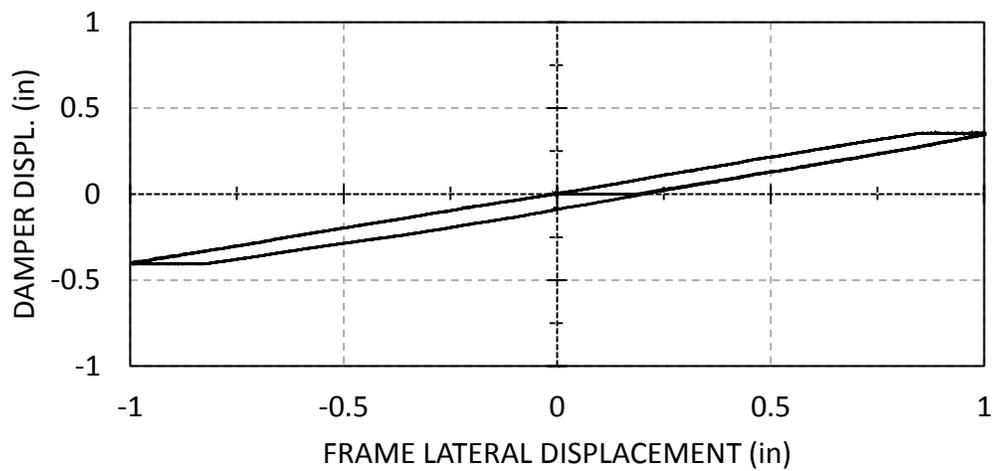
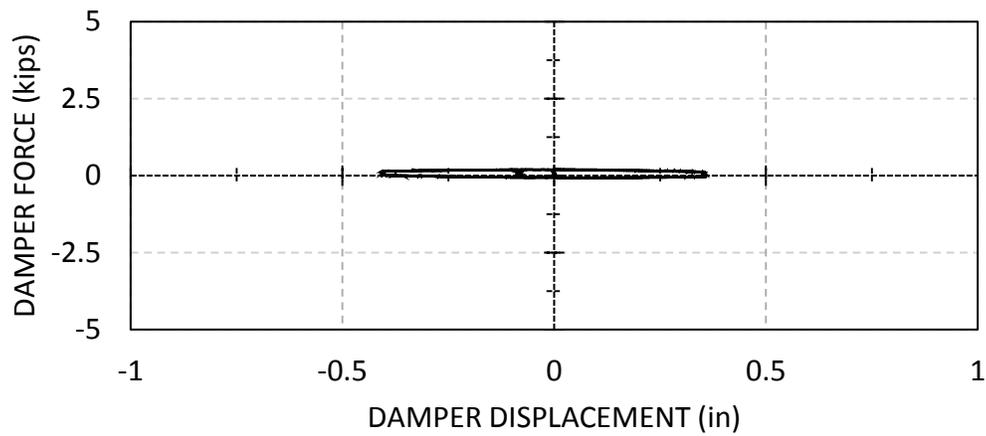
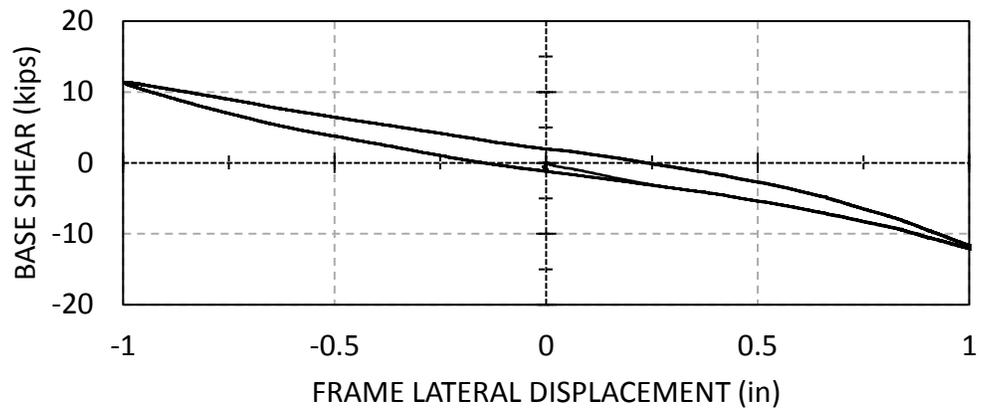


MODEL 1, RIGID-SIMPLE CONNECTION  
 $U_0=0.5\text{in}$ ,  $f=2\text{Hz}$ .

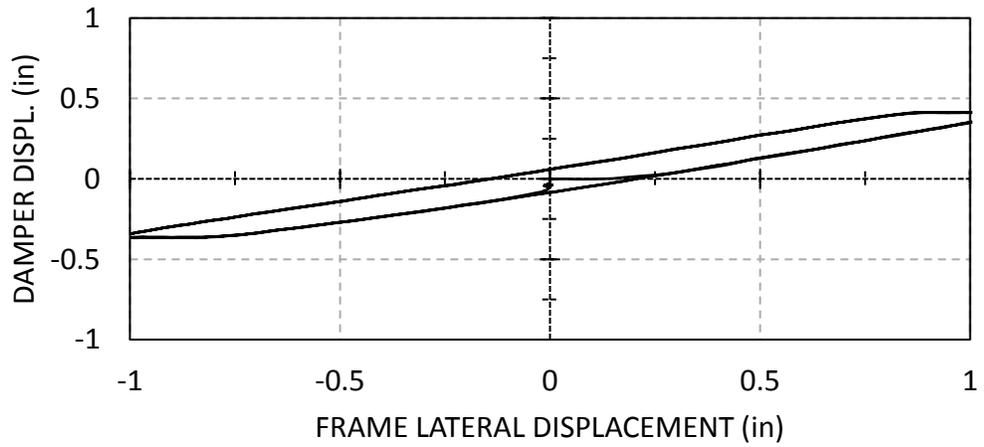
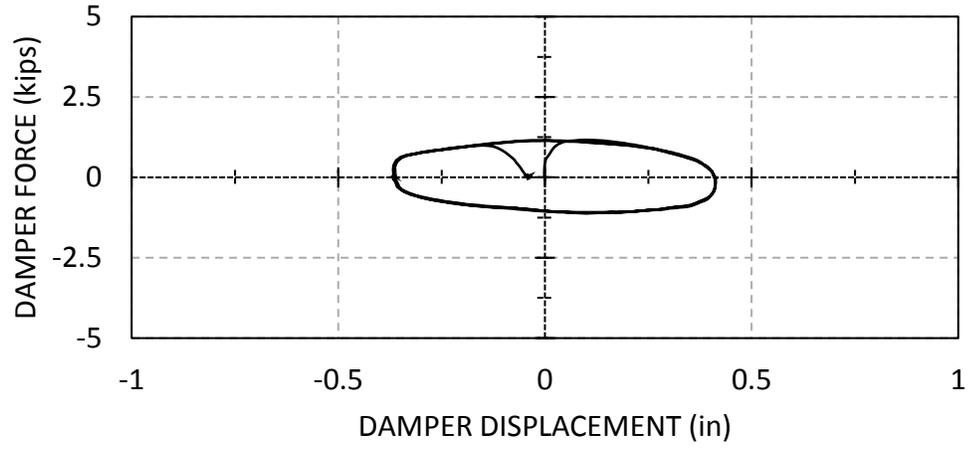
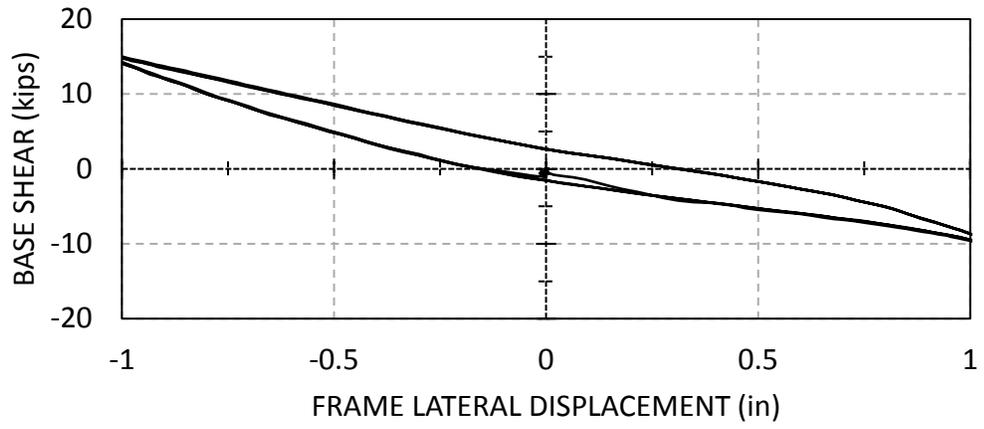


MODEL 1, RIGID-SIMPLE CONNECTION

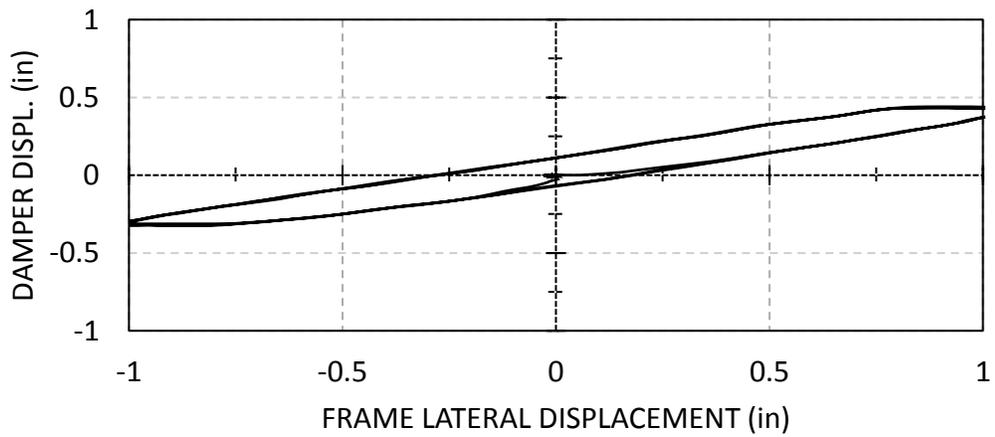
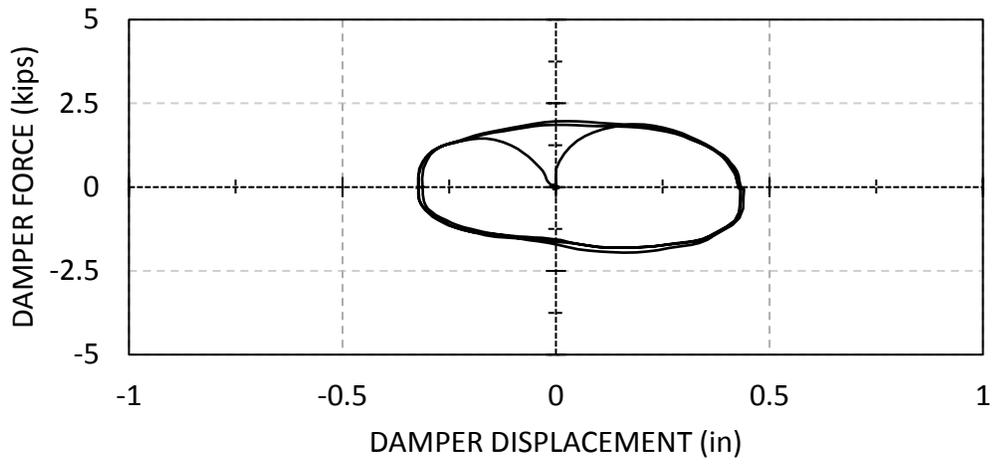
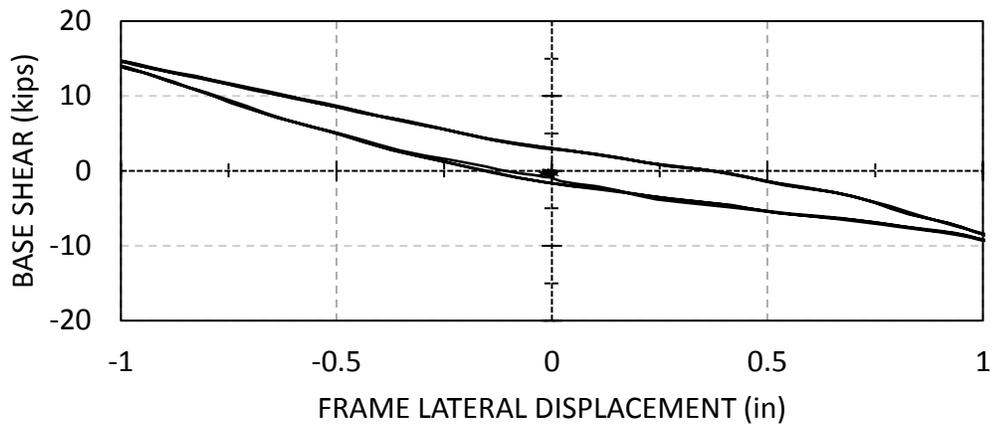
$U_o=1\text{in}$ ,  $f=0.05\text{Hz}$ .



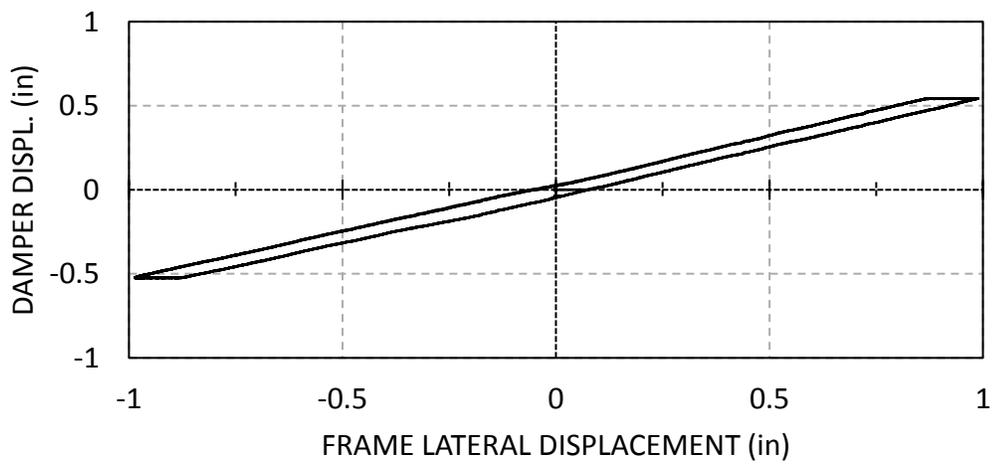
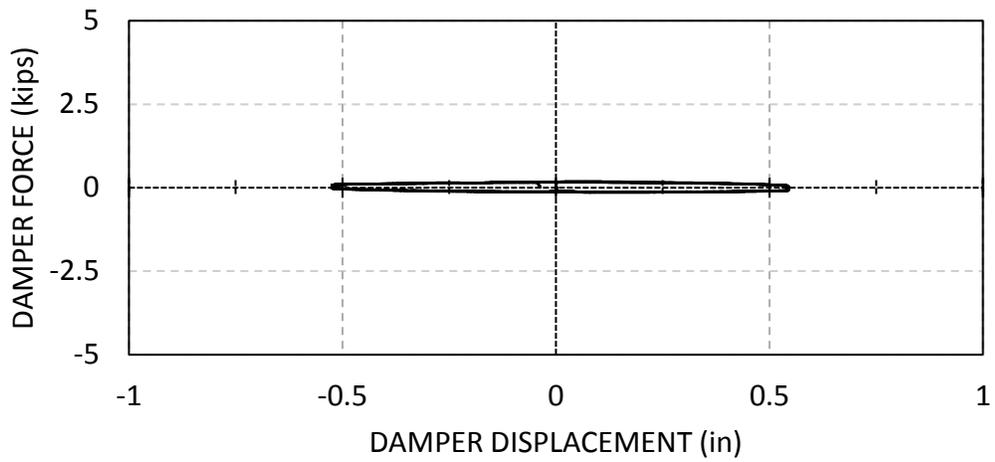
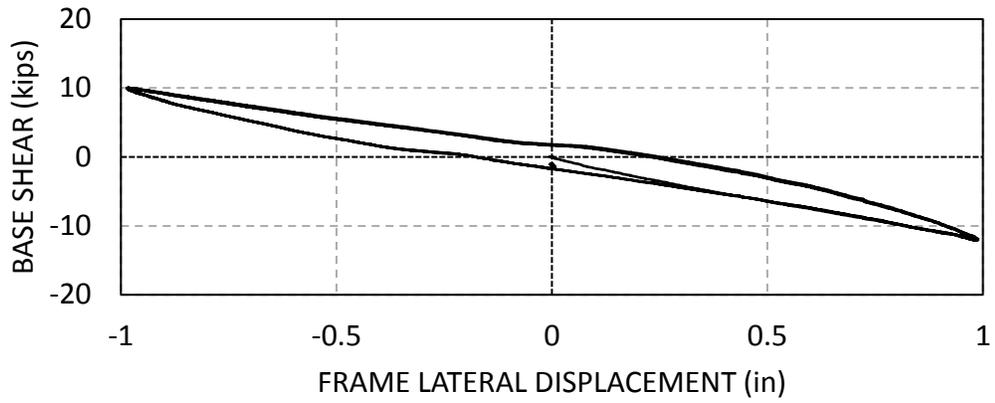
MODEL 1, RIGID-SIMPLE CONNECTION  
 $U_o=1\text{in}$ ,  $f=1\text{Hz}$ .



MODEL 1, RIGID-SIMPLE CONNECTION  
 $U_o=1\text{in}$ ,  $f=2\text{Hz}$ .

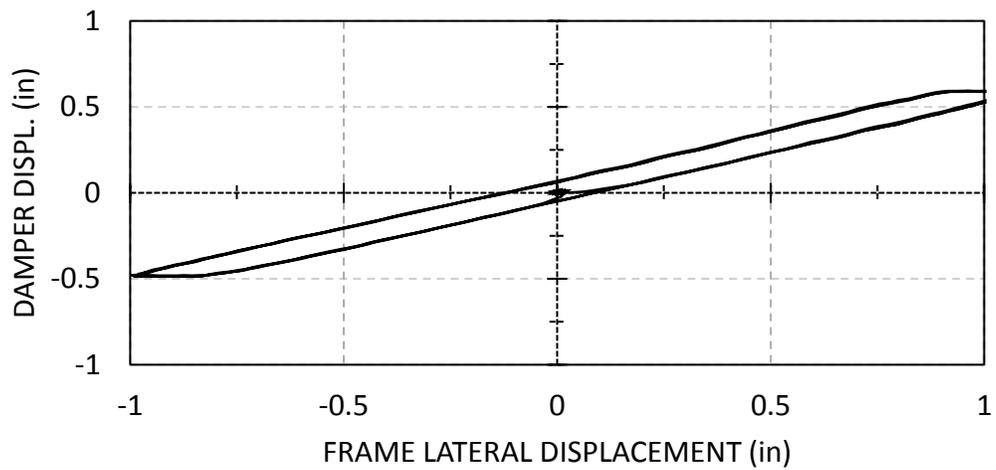
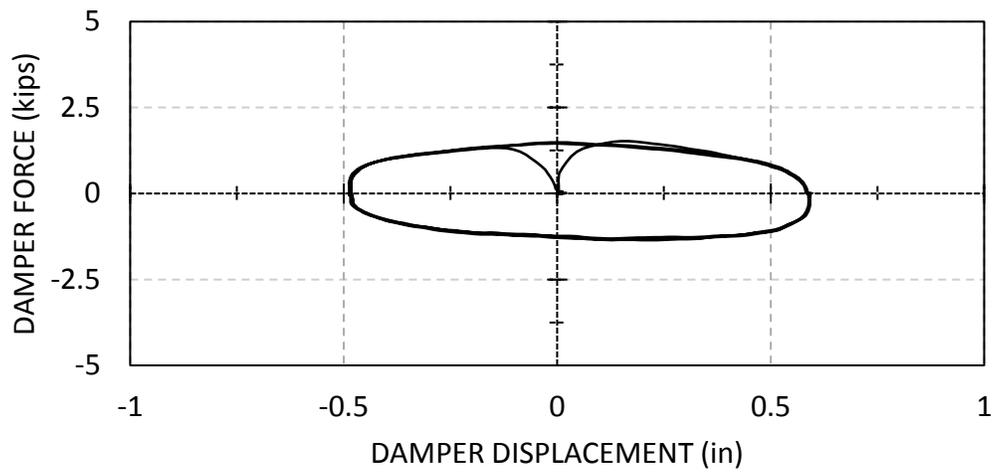
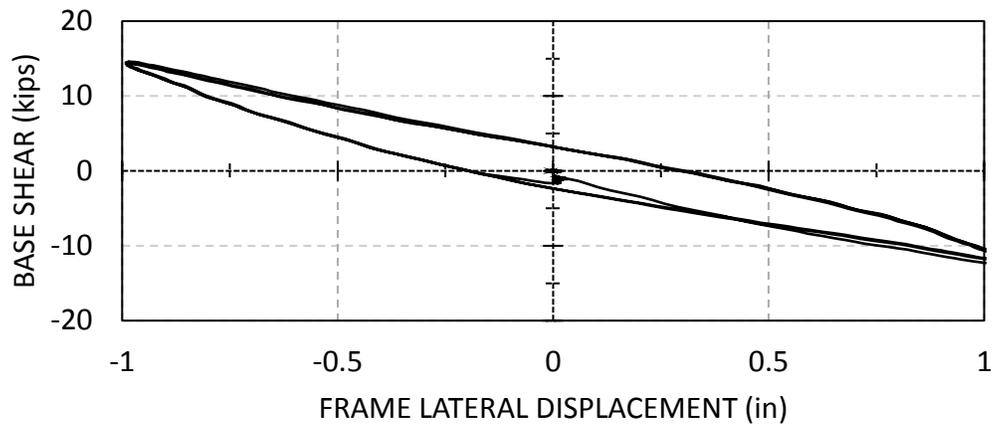


MODEL 1, SIMPLE-RIGID CONNECTION  
 $U_o=1\text{in}$ ,  $f=0.05\text{Hz}$ .



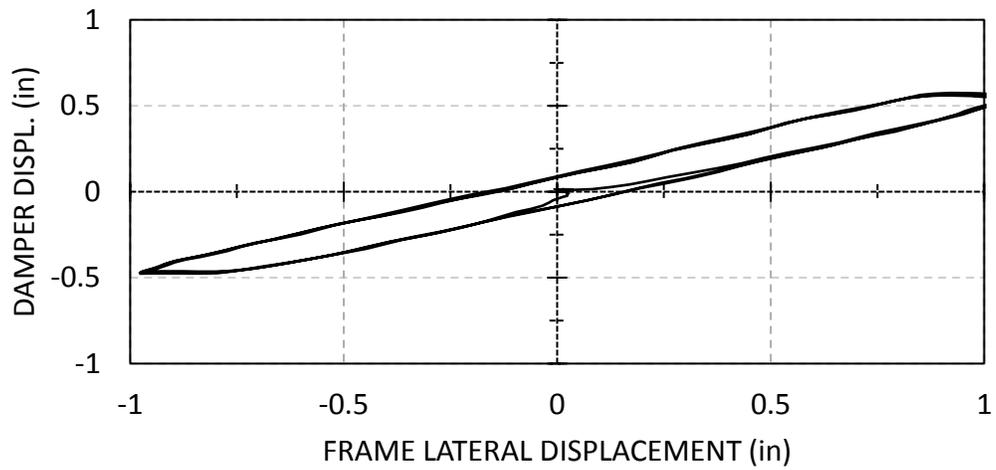
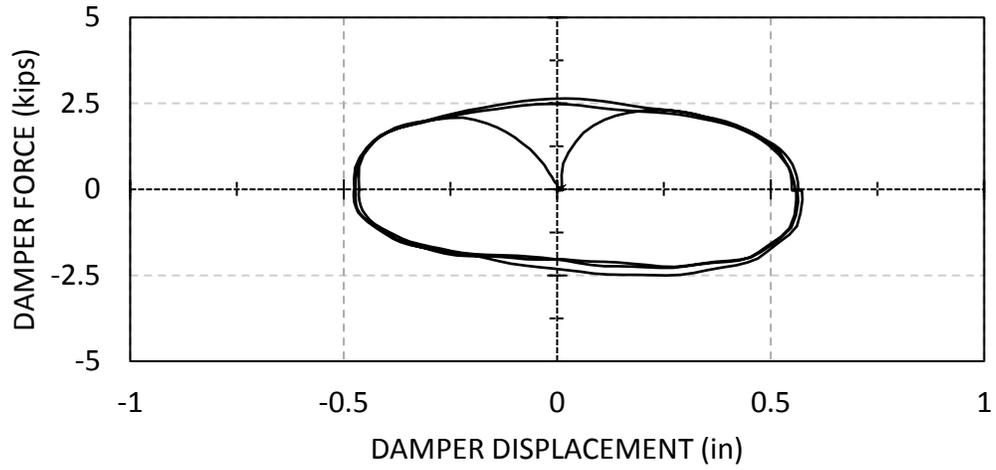
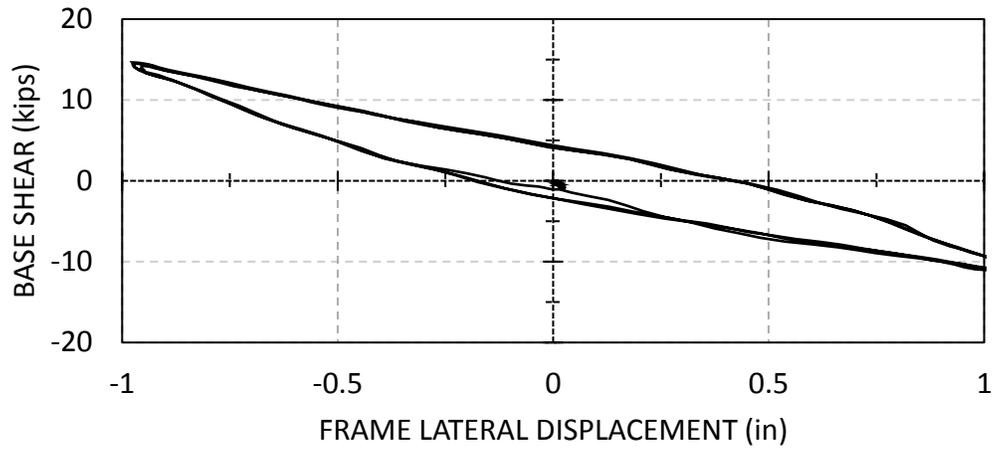
MODEL 1, SIMPLE-RIGID CONNECTION

$U_o=1\text{in}$ ,  $f=1\text{Hz}$ .



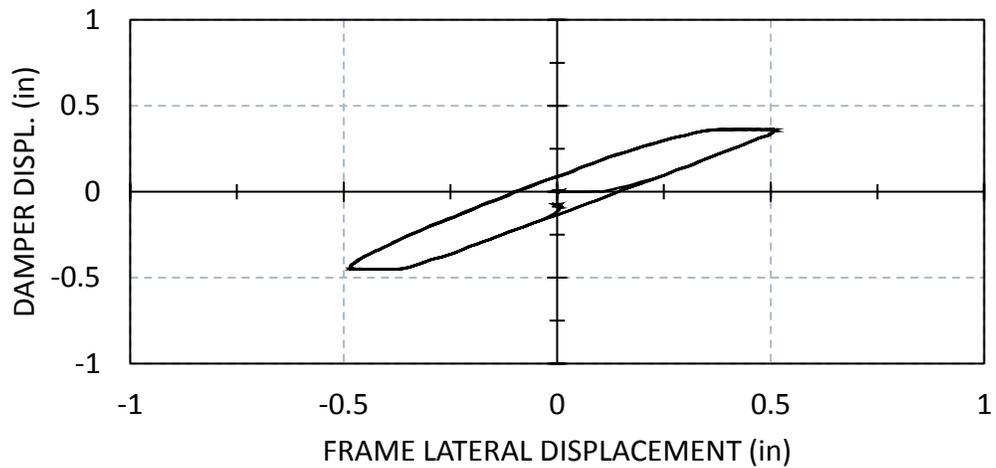
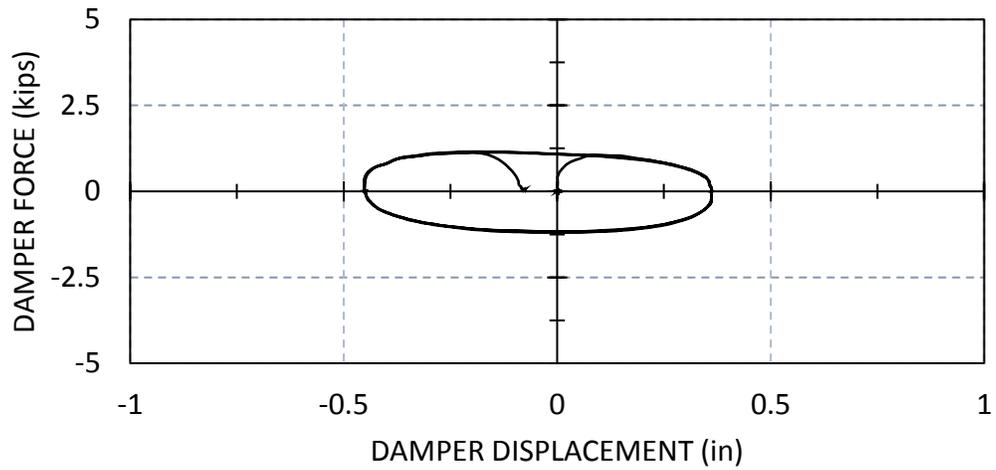
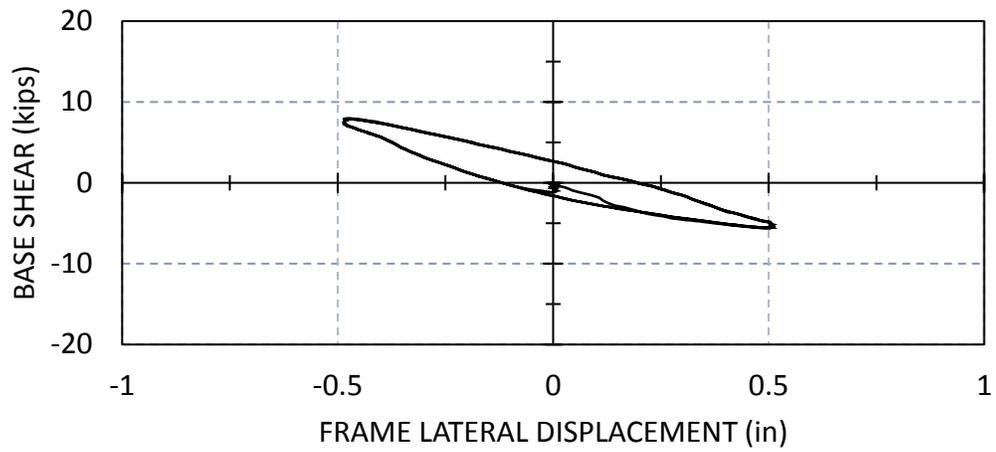
MODEL 1, SIMPLE-RIGID CONNECTION

$U_o=1\text{in}$ ,  $f=2\text{Hz}$ .



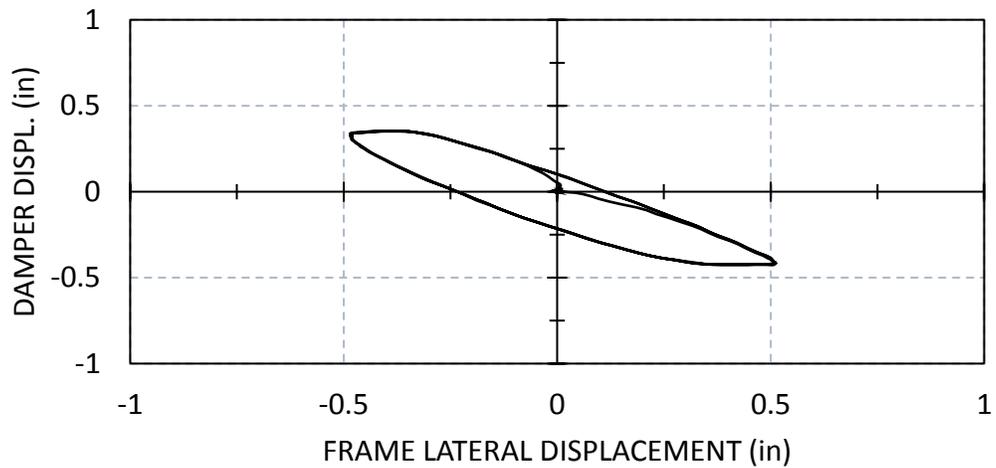
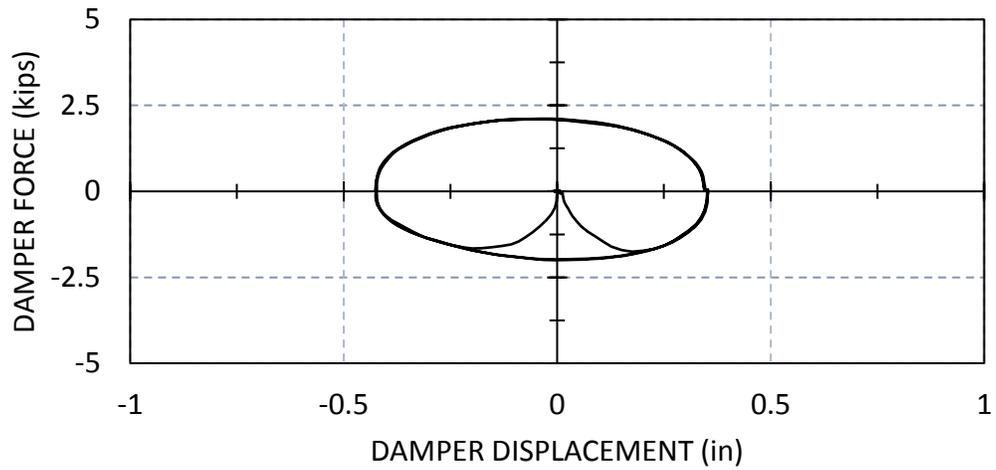
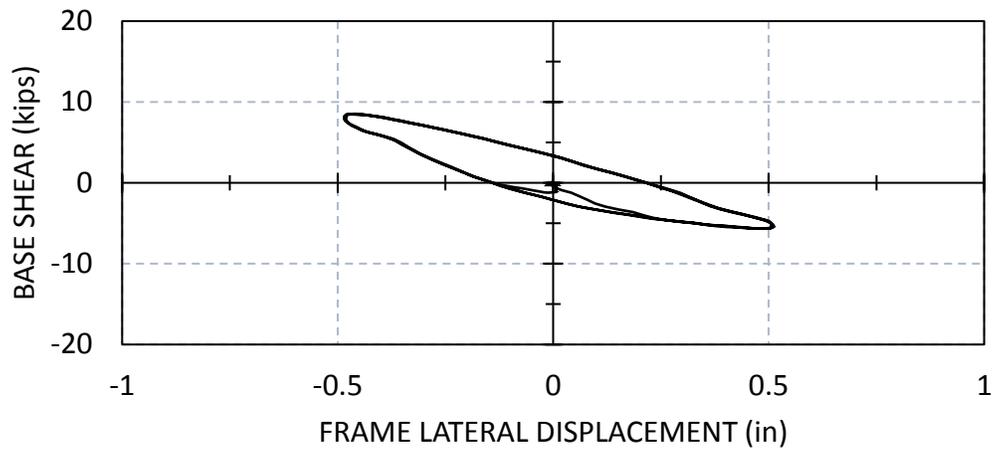
MODEL 2, RIGID-SIMPLE CONNECTION

$U_0=0.5\text{in}$ ,  $f=1\text{Hz}$ .



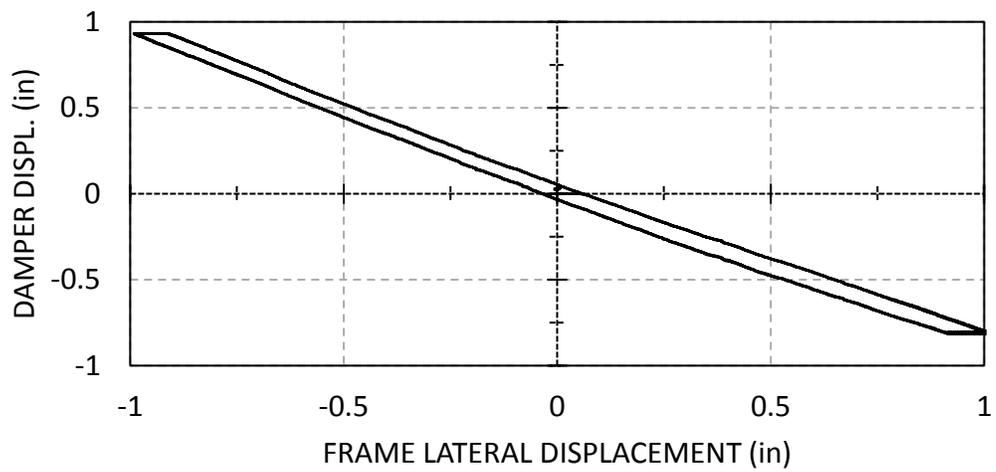
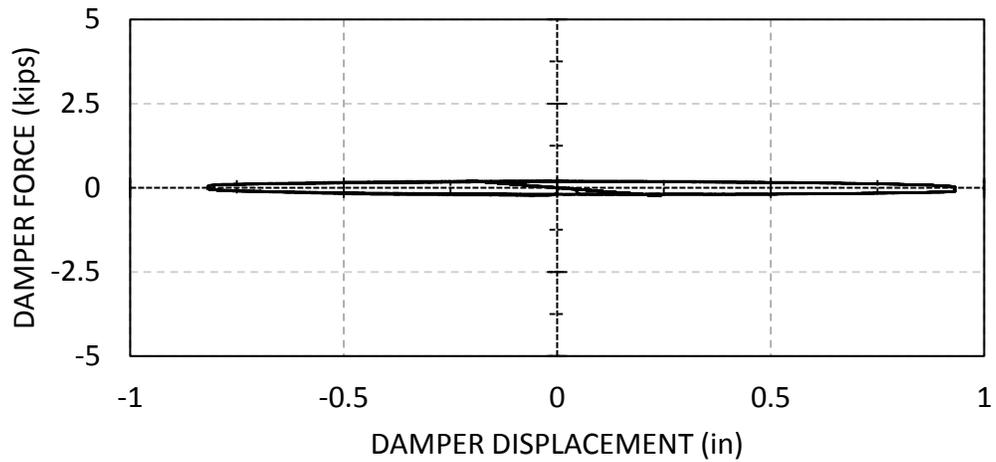
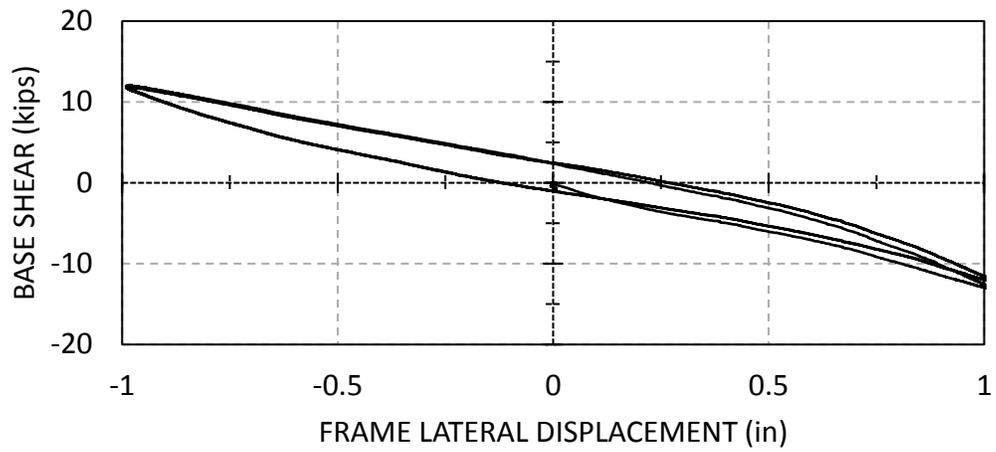
MODEL 2, RIGID-SIMPLE CONNECTION

$U_0=0.5\text{in}$ ,  $f=2\text{Hz}$ .



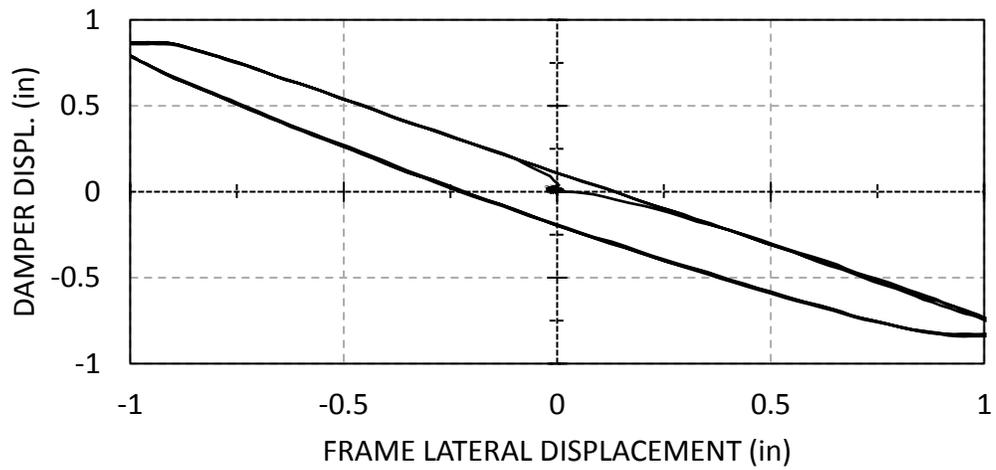
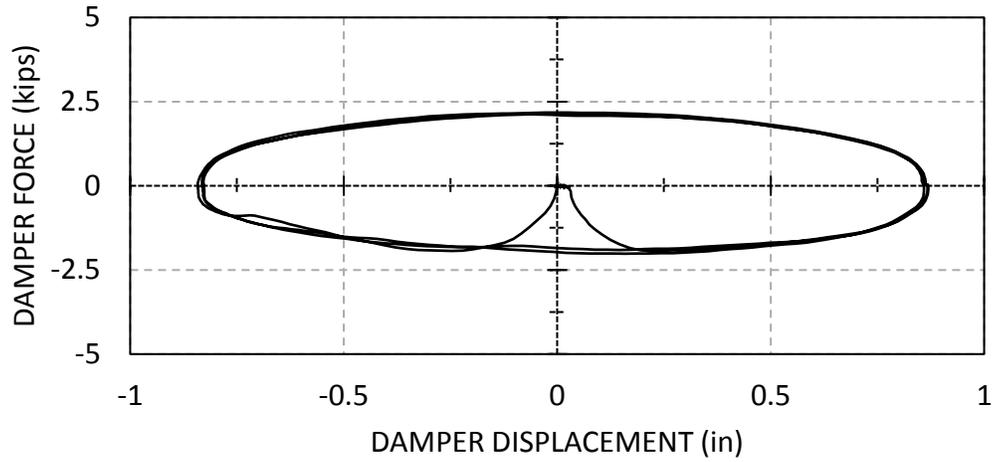
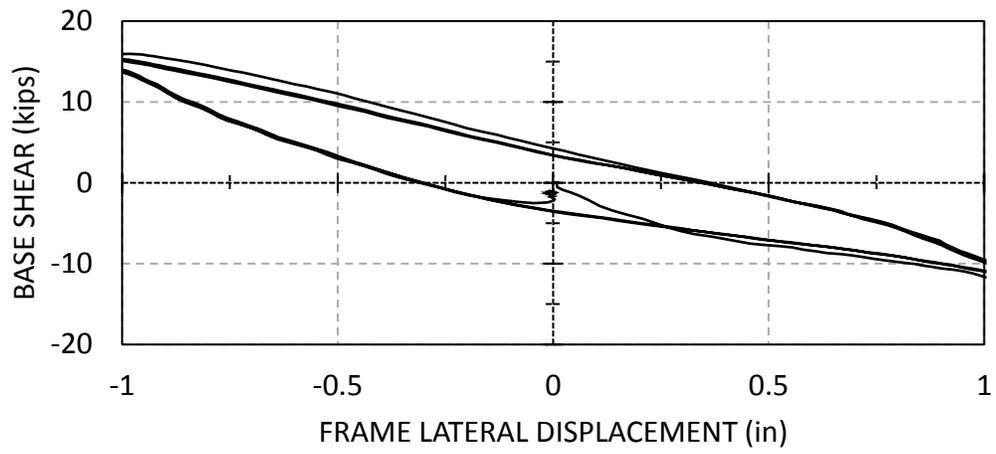
MODEL 2, RIGID-SIMPLE CONNECTION

$U_o=1\text{in}$ ,  $f=0.05\text{Hz}$ .



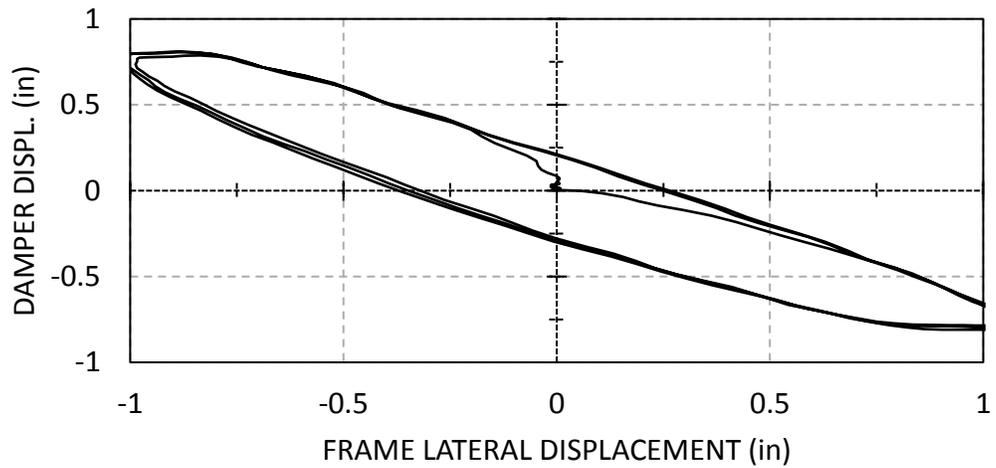
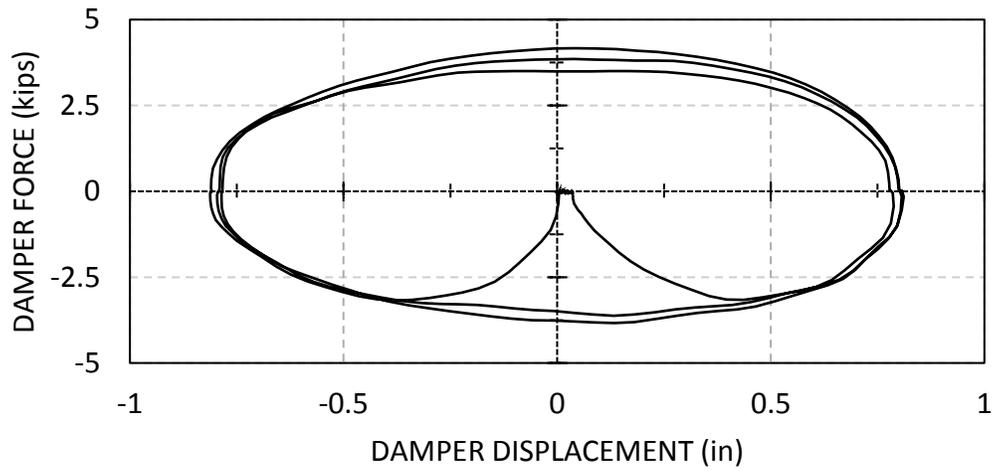
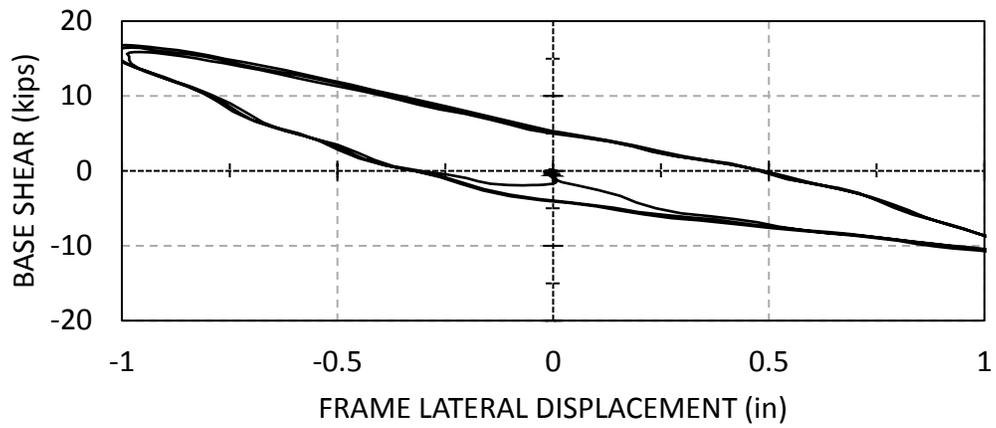
MODEL 2, RIGID-SIMPLE CONNECTION

$U_0=1\text{in}$ ,  $f=1\text{Hz}$



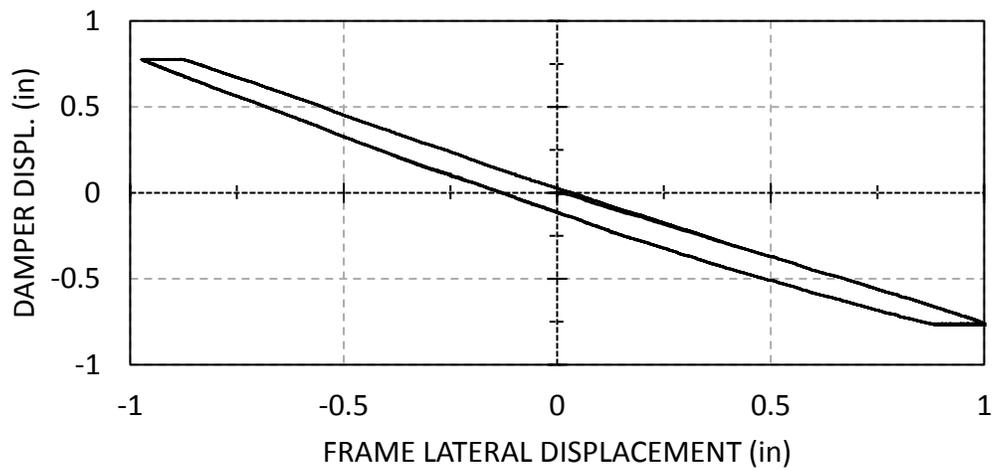
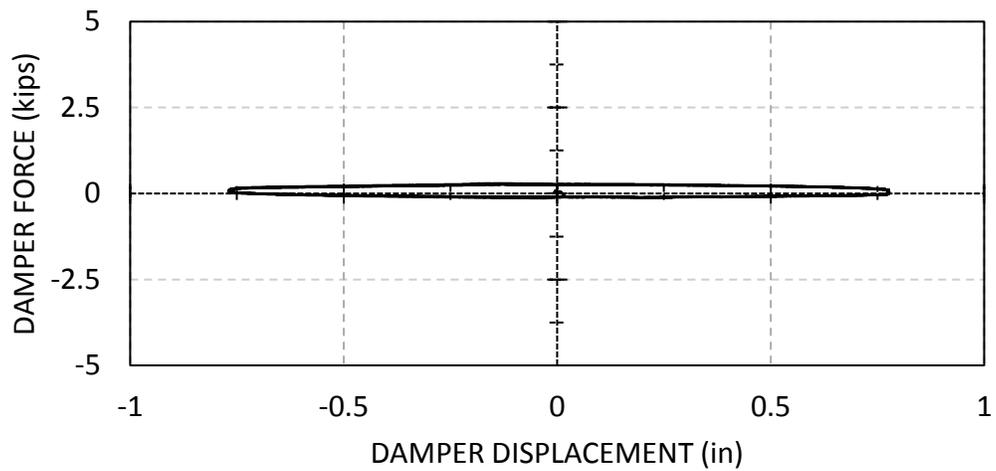
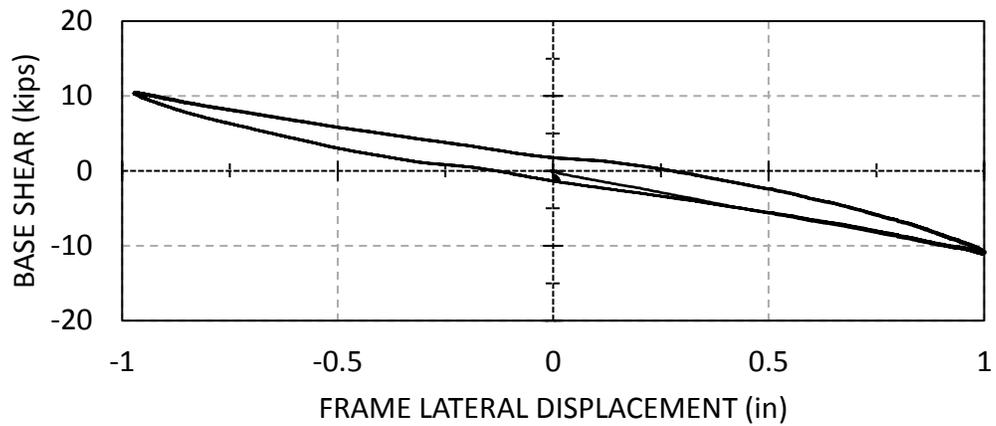
MODEL 2, RIGID-SIMPLE CONNECTIONS

$U_o=1\text{in}$ ,  $f=2\text{Hz}$ .



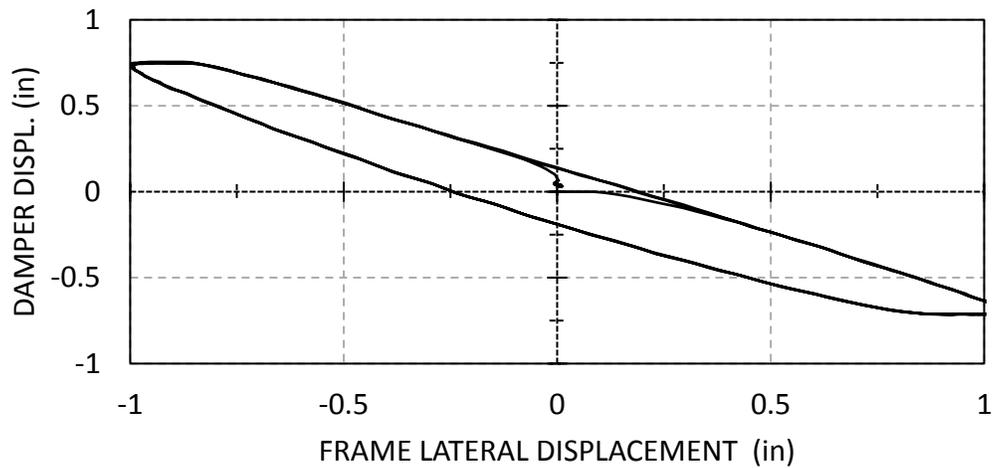
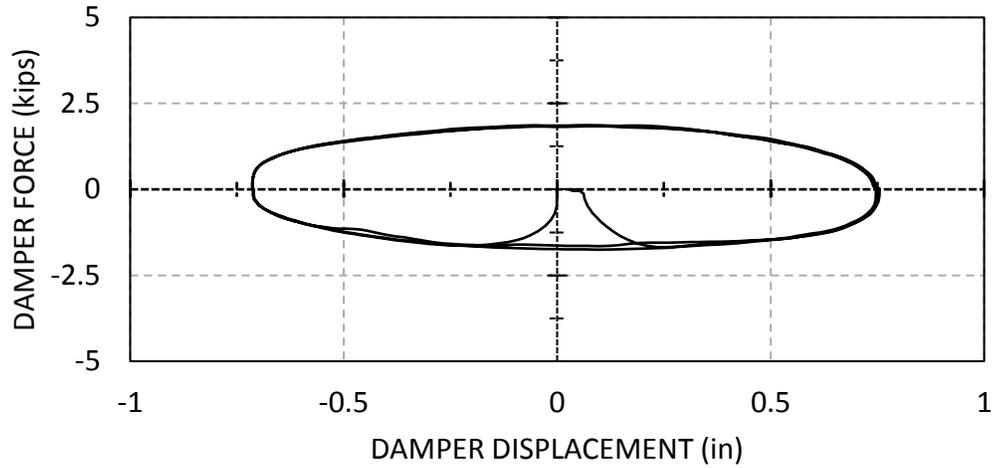
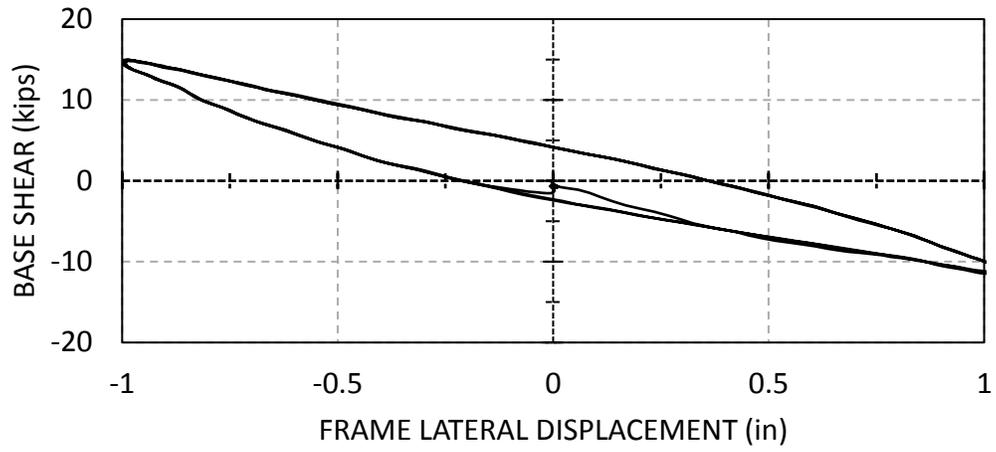
MODEL 2, SIMPLE-RIGID CONNECTION

$U_o=1\text{in}$ ,  $f=0.05\text{Hz}$ .



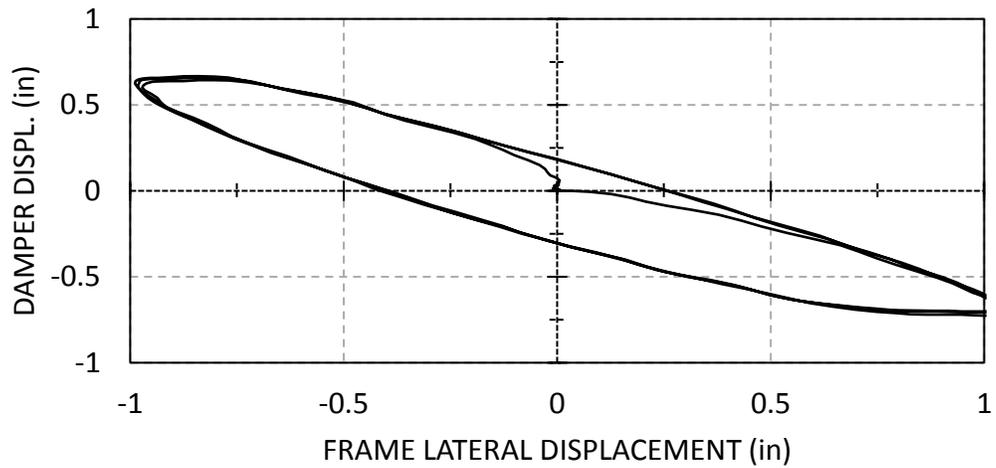
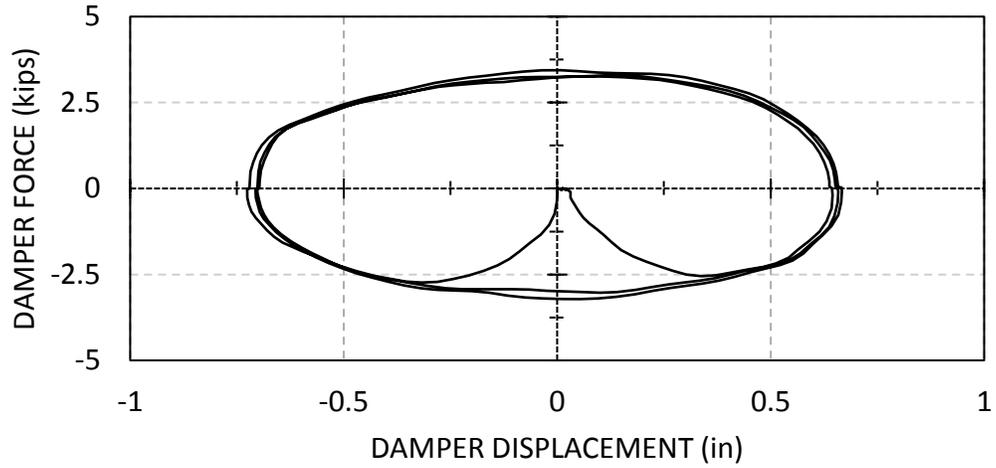
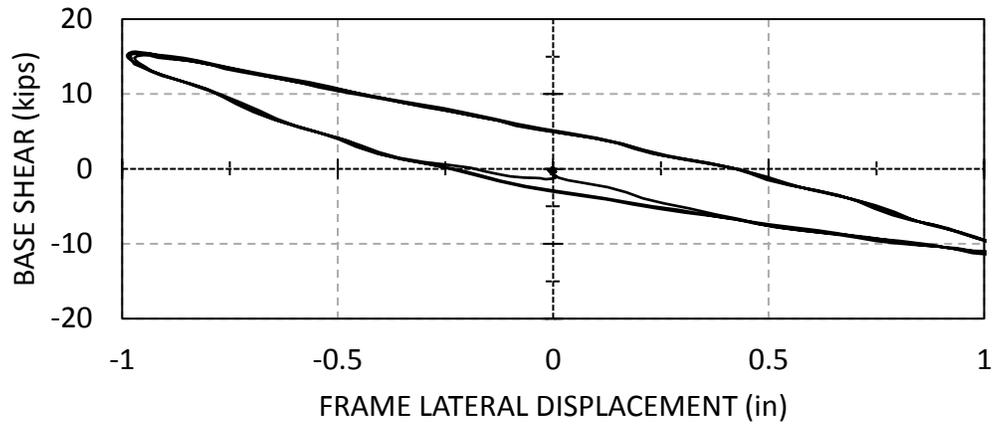
MODEL 2, SIMPLE-RIGID CONNECTION

$U_o=1\text{in}$ ,  $f=1\text{Hz}$ .



MODEL 2, SIMPLE-RIGID CONNECTION

$U_o=1\text{in}$ ,  $f=2\text{Hz}$ .

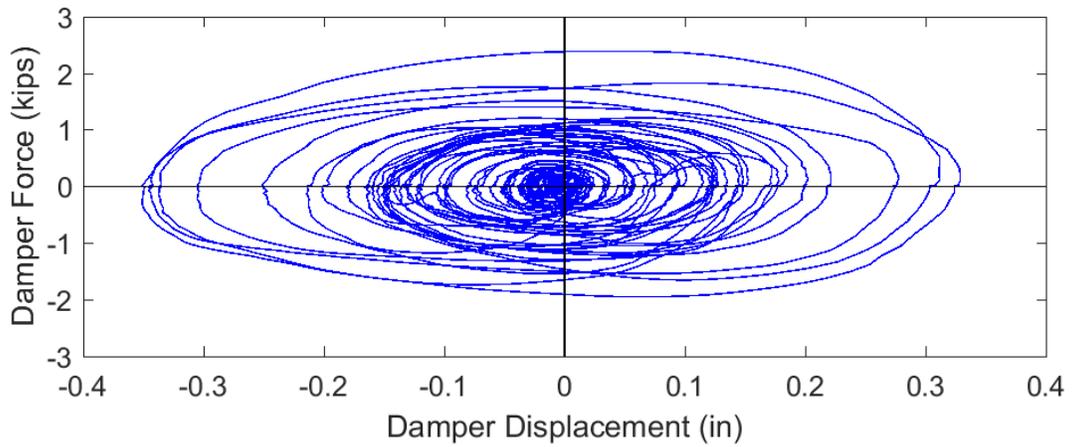
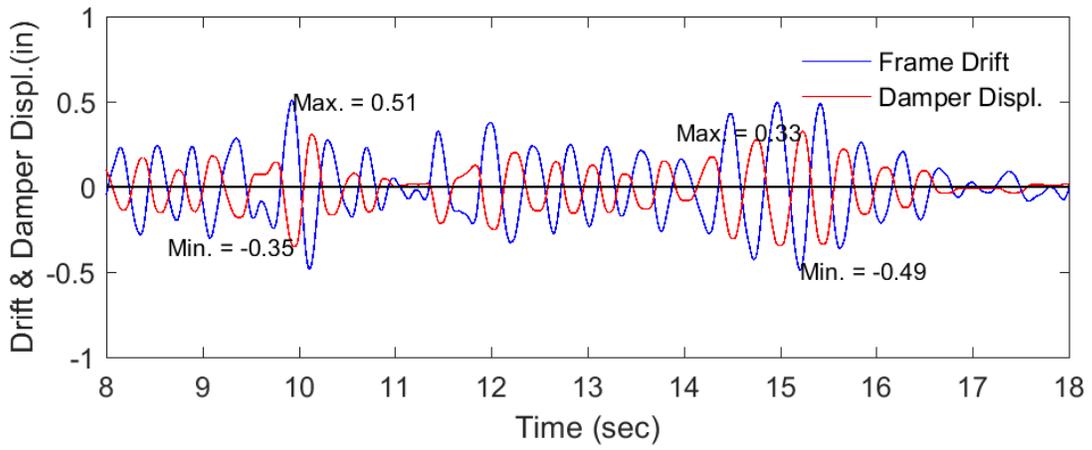
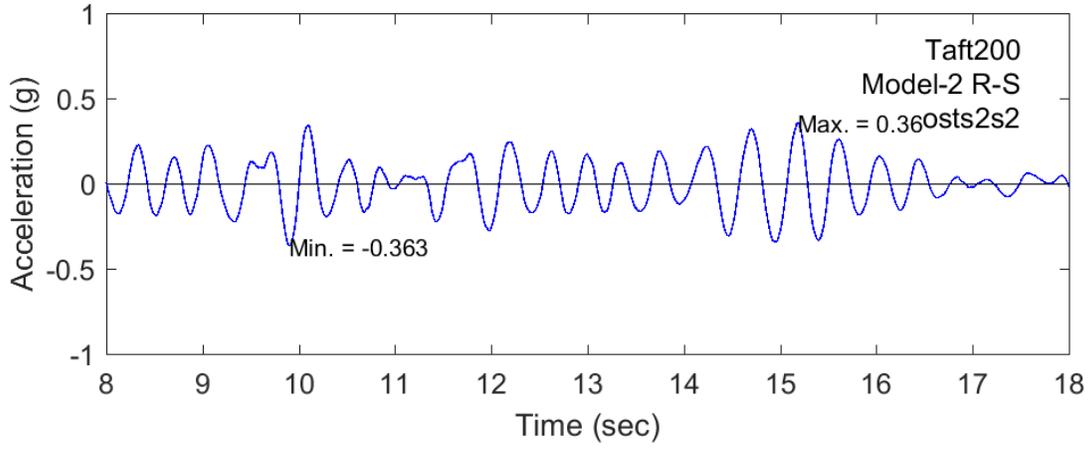


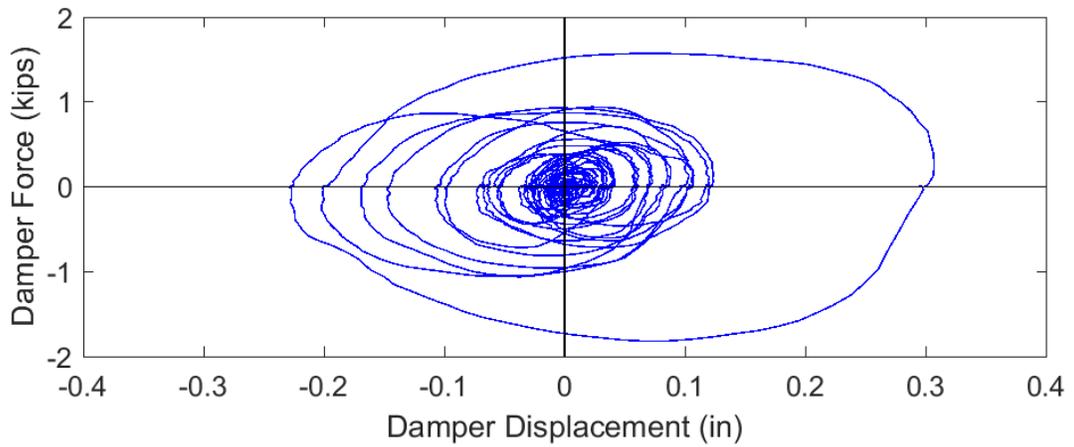
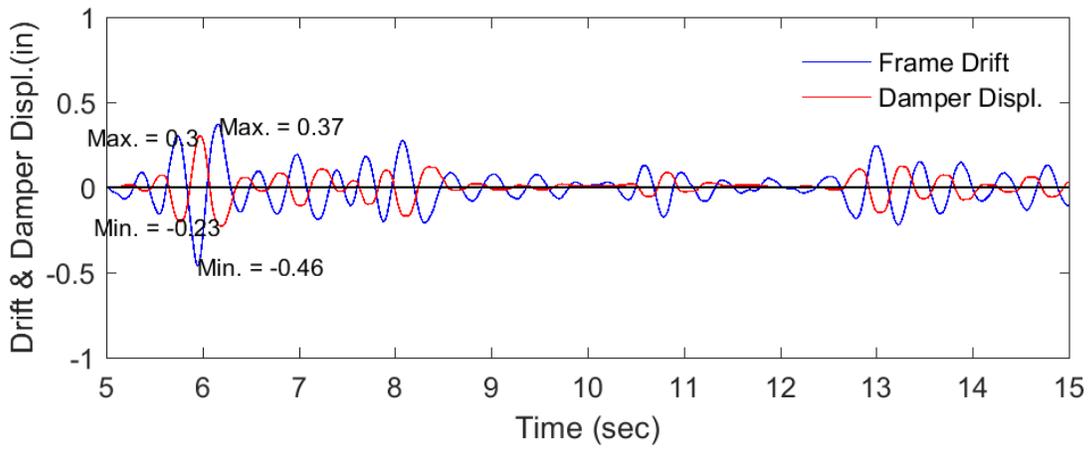
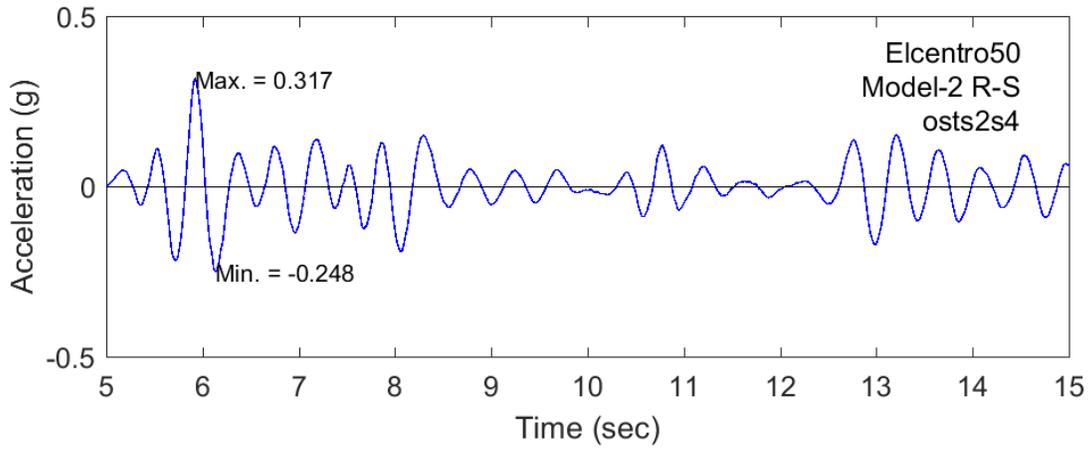
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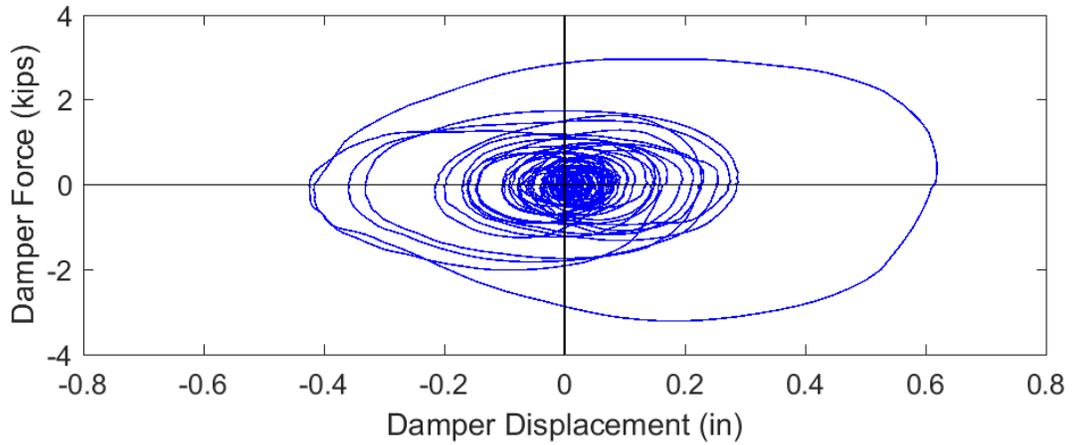
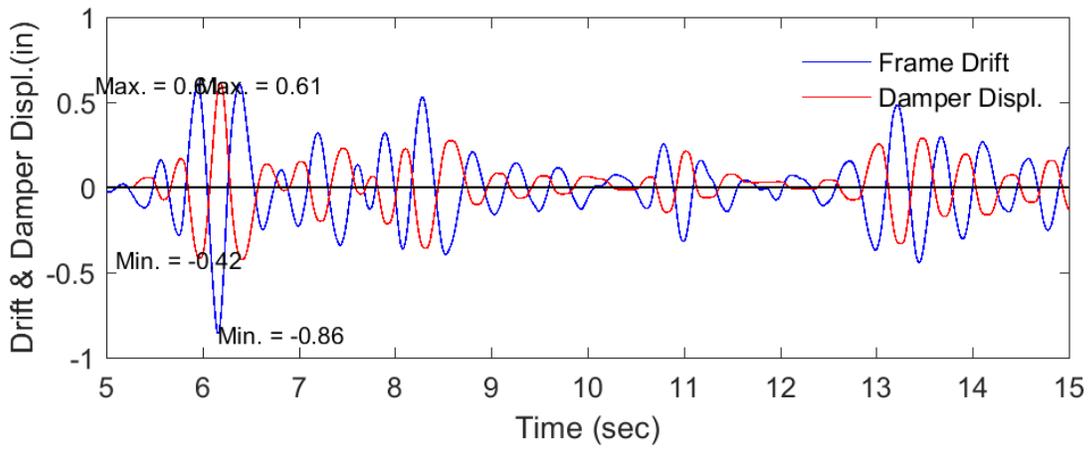
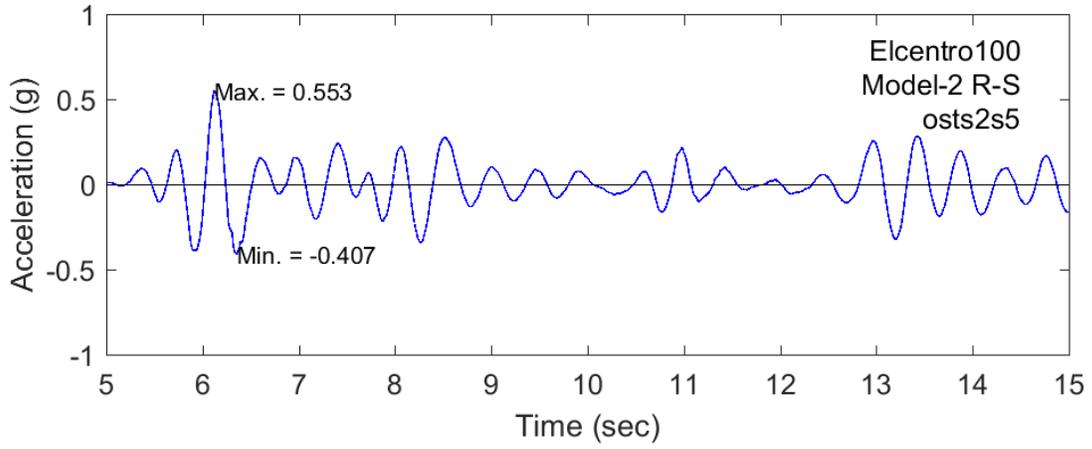
**APPENDIX C**  
**EARTHQUAKE SIMULATOR TEST RESULTS**

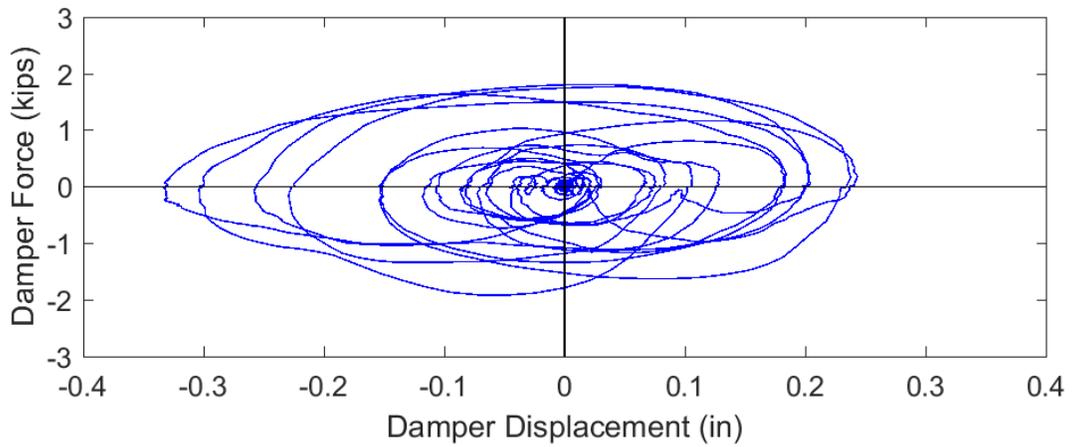
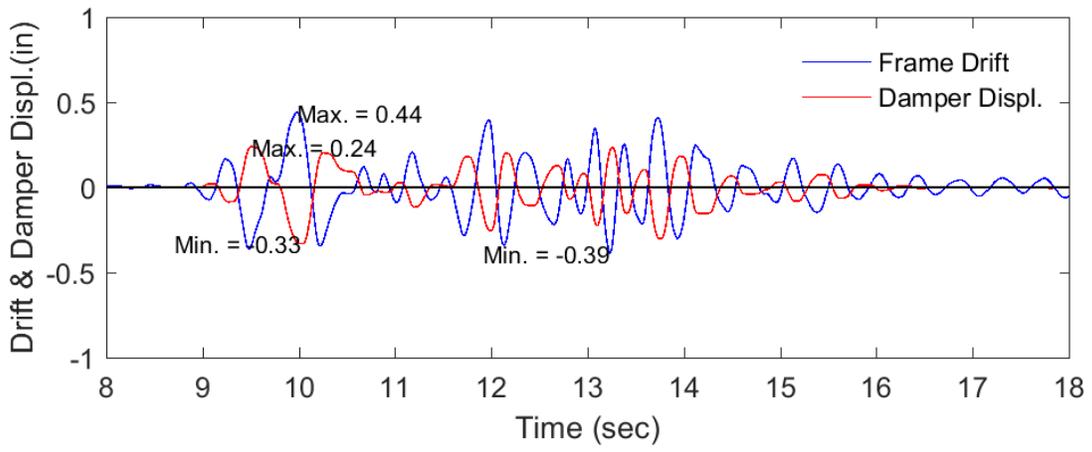
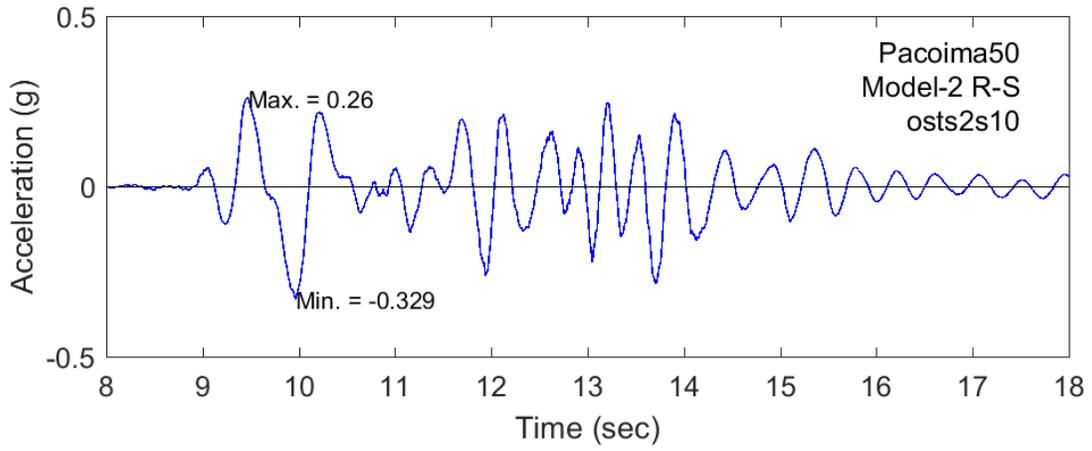
DATE	TEST #	FRAME MODEL	TEST NAME	EXCITATION	EQ. SIMULATOR PEAK VALUES			FRAME PEAK VALUES				DAMPER PEAK VALUES				MAGNIFICATION	
					DISPL.	VELOC.	ACCEL.	DRIFT (in)		ACCELERATION (g)		DISPLACEMENT (in)		FORCE (kips)		FACTOR	
					(in)	(in/sec)	(g)	Avg(+)	Avg(-)	Avg(+)	Avg(-)	Avg(+)	Avg(-)	Avg(+)	Avg(-)	Avg(+)	Avg(-)
10/30/2015	11	M2 R-S	osts2s2	TAFT N21E 200%	1.97	7.42	0.29	0.51	-0.49	0.36	-0.37	0.33	-0.35	2.38	-1.95	0.69	0.67
10/30/2015	13	M2 R-S	osts2s4	EL CENTRO S00E 50%	0.87	4.30	0.18	0.37	-0.46	0.32	-0.26	0.30	-0.23	1.57	-1.82	0.61	0.65
10/30/2015	14	M2 R-S	osts2s5	EL CENTRO S00E 100%	1.96	8.57	0.33	0.61	-0.86	0.55	-0.43	0.61	-0.42	2.95	-3.22	0.70	0.71
10/30/2015	19	M2 R-S	osts2s10	PACOIMA S74W 50%	3.12	13.43	0.45	0.44	-0.39	0.26	-0.34	0.24	-0.33	1.80	-1.92	0.75	0.62
11/2/2015	23	M2 R-S	osts2s12	PACOIMA S74W 75%	4.70	20.15	0.72	0.68	-0.58	0.38	-0.50	0.40	-0.51	2.85	-2.95	0.75	0.68
11/10/2015	70	M2 R-S	osts14s02	PACOIMA S74W 100%	6.32	27.15	1.01	1.05	-0.74	0.48	-0.65	0.55	-0.79	3.62	-3.70	0.75	0.75
11/10/2015	71	M2 R-S	osts14s03	NEWHALL 90 75%	2.43	12.31	0.60	0.82	-0.87	0.54	-0.44	0.67	-0.56	3.12	-4.22	0.68	0.77
11/3/2015	38	M2 S-R	osts6s04	TAFT N21E 200%	1.94	7.35	0.29	0.88	-0.90	0.52	-0.50	0.42	-0.44	2.53	-1.99	0.51	0.46
11/2/2015	35	M2 S-R	osts6s01	EL CENTRO S00E 50%	0.99	4.41	0.18	0.47	-0.55	0.32	-0.29	0.26	-0.21	1.43	-1.38	0.43	0.48
11/10/2015	63	M2 S-R	osts12s01	EL CENTRO S00E 100%	1.95	8.70	0.30	0.85	-1.03	0.56	-0.48	0.58	-0.44	2.90	-2.52	0.52	0.56
11/2/2015	36	M2 S-R	osts6s02	PACOIMA S74W 50%	3.12	13.59	0.45	0.48	-0.44	0.28	-0.31	0.21	-0.20	1.48	-1.22	0.42	0.49
11/10/2015	64	M2 S-R	osts12s02	PACOIMA S74W 75%	4.72	20.16	0.71	0.78	-0.66	0.40	-0.49	0.36	-0.42	2.12	-1.82	0.54	0.55
11/3/2015	42	M1 S-R	osts7s01	EL CENTRO S00E 50%	0.99	4.35	0.17	0.47	-0.52	0.29	-0.26	0.23	-0.26	1.34	-1.32	0.48	0.50
11/4/2015	44	M1 S-R	osts7s03	PACOIMA S74W 50%	3.12	13.39	0.44	0.47	-0.44	0.27	-0.31	0.25	-0.22	1.37	-1.49	0.54	0.50
11/4/2015	45	M1 S-R	osts7s04	PACOIMA S74W 75%	4.69	20.14	0.70	0.75	-0.65	0.39	-0.48	0.40	-0.32	1.98	-2.05	0.53	0.49
11/4/2015	48	M1 S-R	osts7s07	NEWHALL 90 50%	1.59	8.12	0.36	0.66	-0.65	0.40	-0.34	0.32	-0.29	2.27	-1.51	0.49	0.45
11/9/2015	54	M1 S-R	osts9s01	TAFT N21E 200%	1.94	7.32	0.29	0.90	-0.91	0.50	-0.50	0.49	-0.30	2.17	-2.13	0.55	0.33
11/9/2015	55	M1 S-R	osts9s02	PACOIMA S74W 75%	4.73	20.41	0.71	0.79	-0.69	0.37	-0.48	0.44	-0.32	1.90	-1.74	0.56	0.46
11/2/2015	26	M2 R-R	osts3s01	EL CENTRO S00E 50%	0.85	4.64	0.19	0.33	-0.31	0.32	-0.32	0.14	-0.18	1.04	-1.46	0.54	0.45
11/10/2015	66	M2 R-R	osts13s01	TAFT N21E 200%	1.98	7.38	0.28	0.53	-0.55	0.50	-0.47	0.31	-0.31	2.35	-1.83	0.58	0.55
11/10/2015	67	M2 R-R	osts13s02	PACOIMA S74W 75%	4.75	20.15	0.75	0.77	-0.75	0.68	-0.66	0.44	-0.48	3.34	-3.45	0.63	0.59
11/11/2015	83	M3 R-sR	osts18s02	EL CENTRO S00E 100%	1.95	8.69	0.34	0.61	-0.77	0.61	-0.42	0.64	-0.48	-0.03	-0.03	0.77	0.82
11/11/2015	84	M3 R-sR	osts18s03	PACOIMA S74W 75%	4.74	20.19	0.71	0.74	-0.56	0.45	-0.54	0.40	-0.62	-0.03	-0.03	0.84	0.72

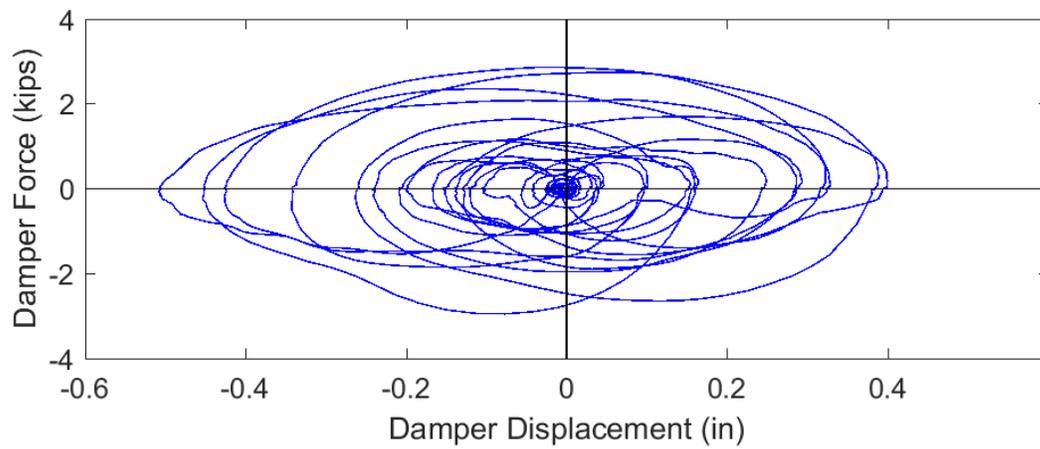
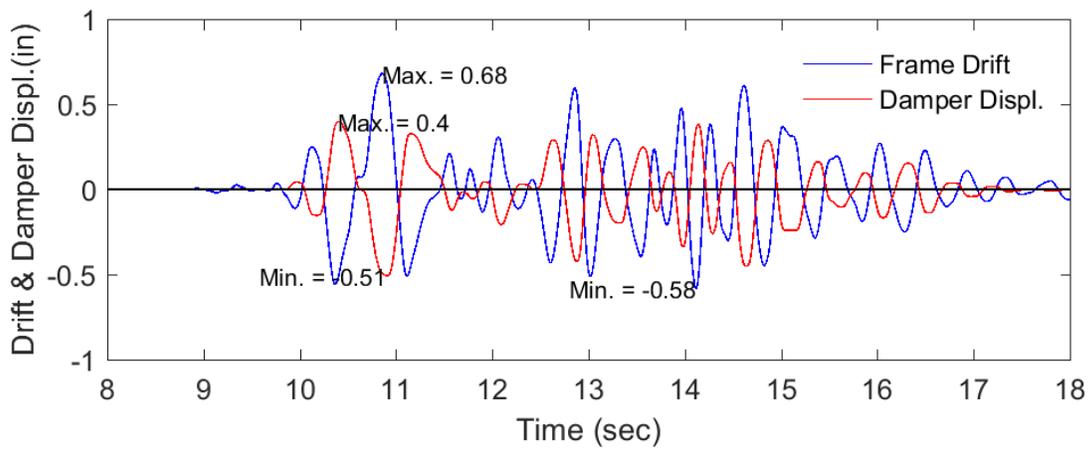
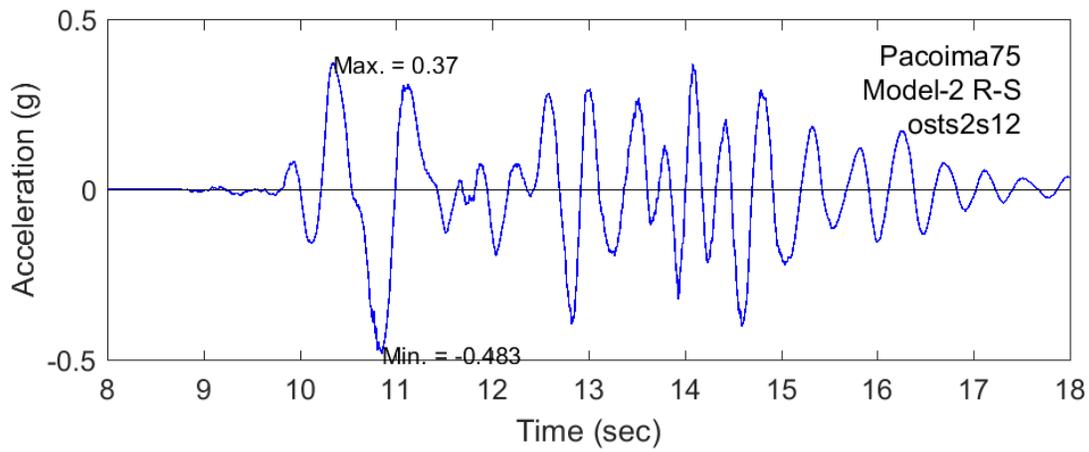
Avg=Average value between two frames, (+): positive is towards the right, (-): negative is towards the left

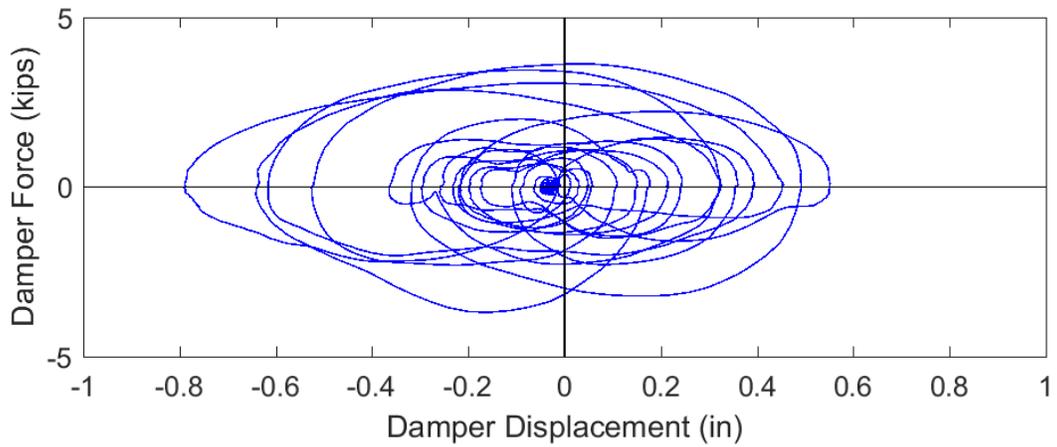
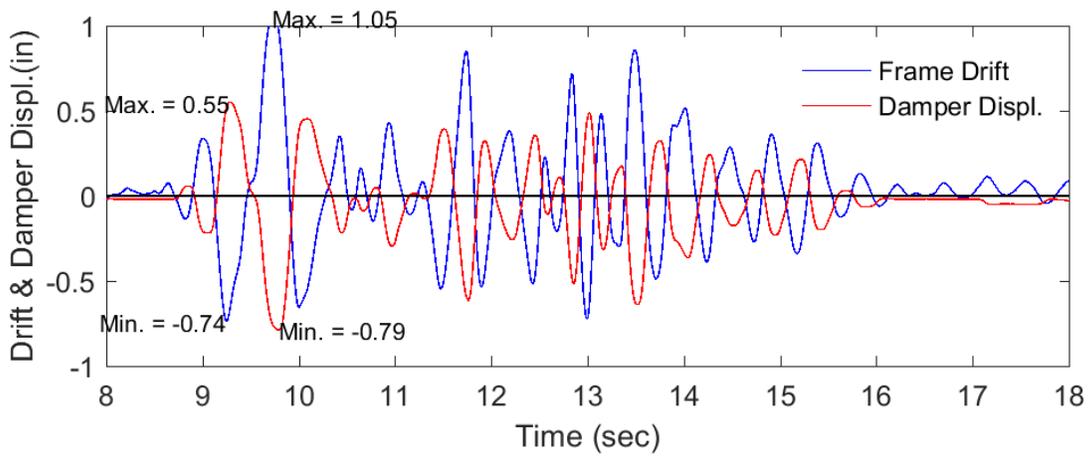
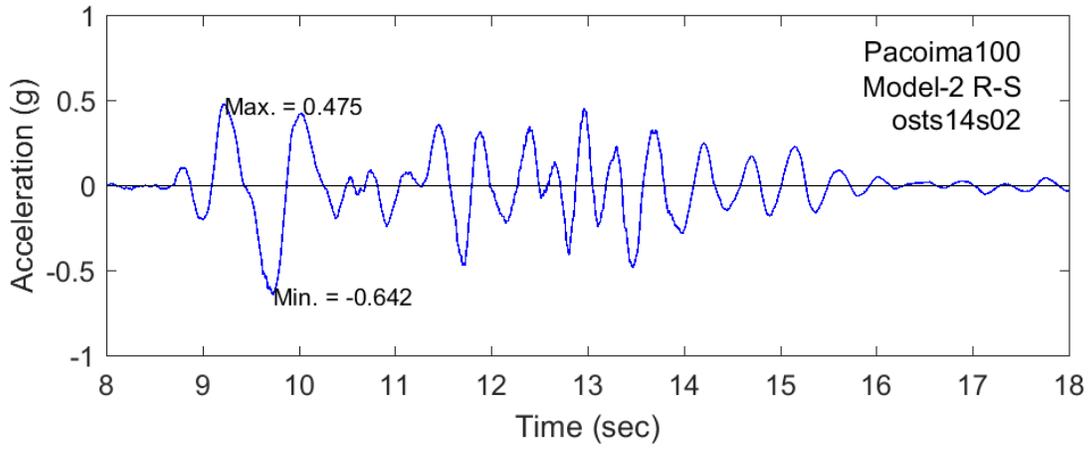


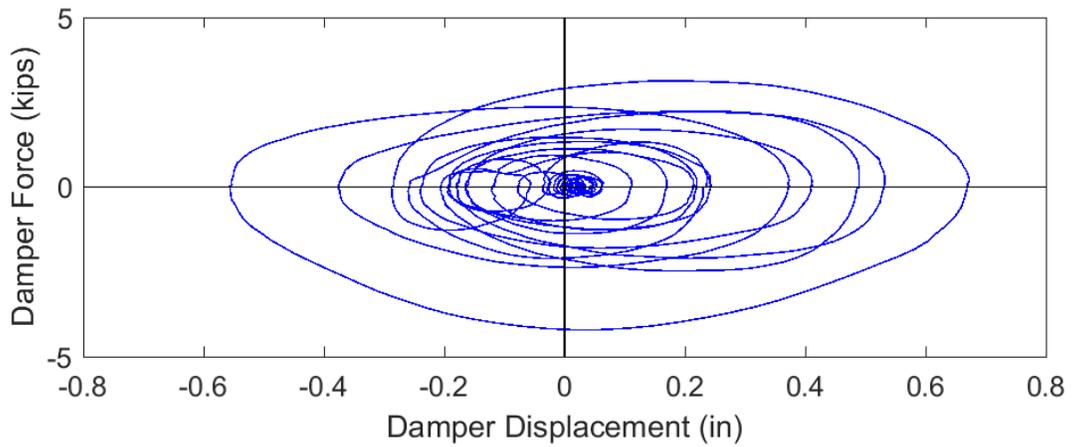
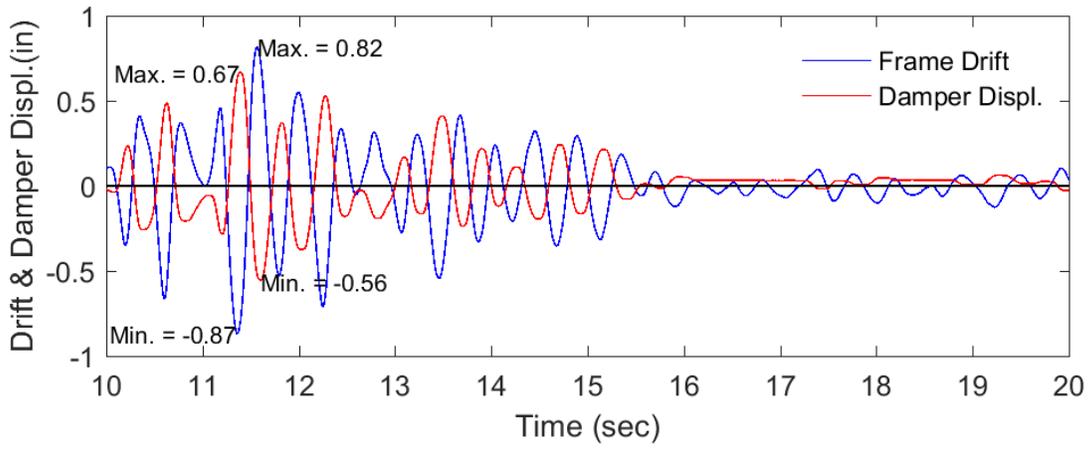
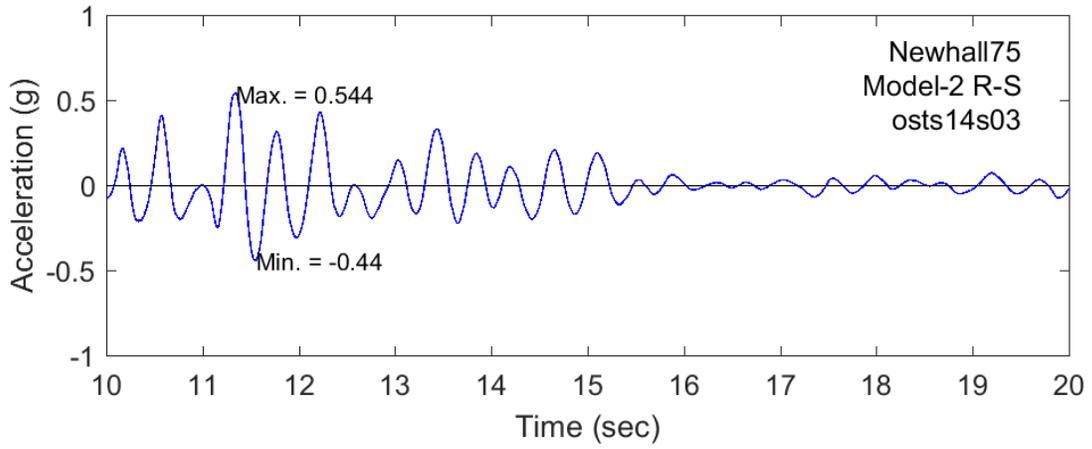


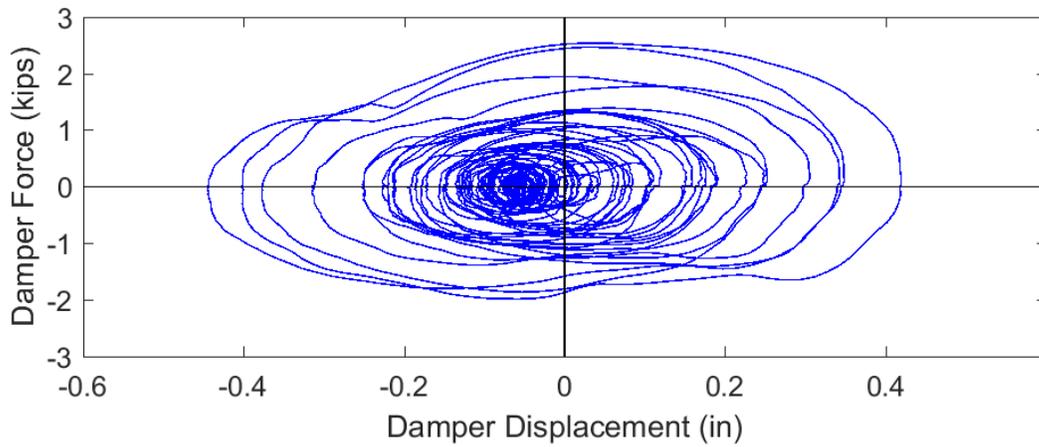
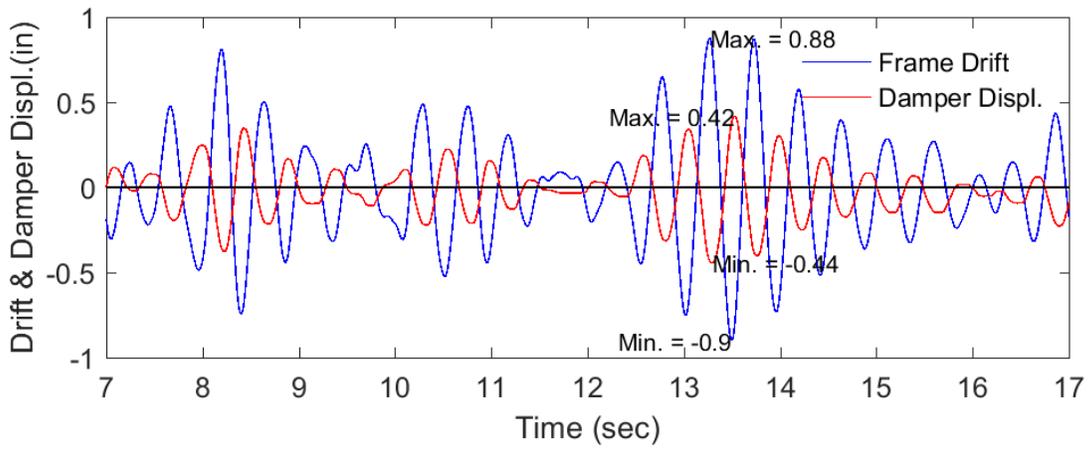
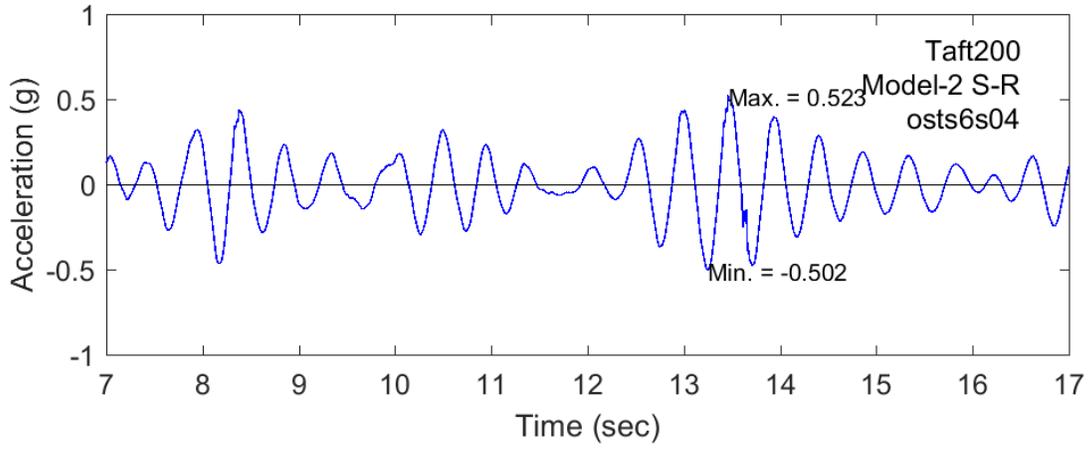


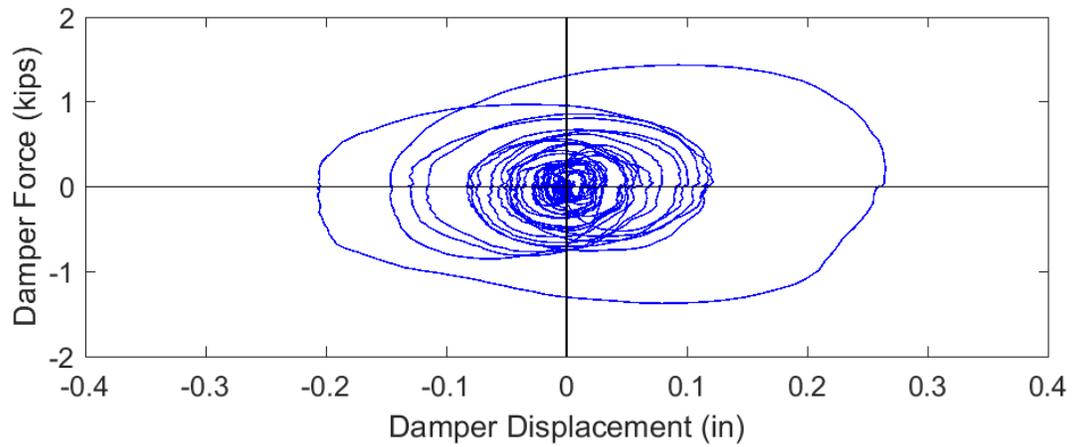
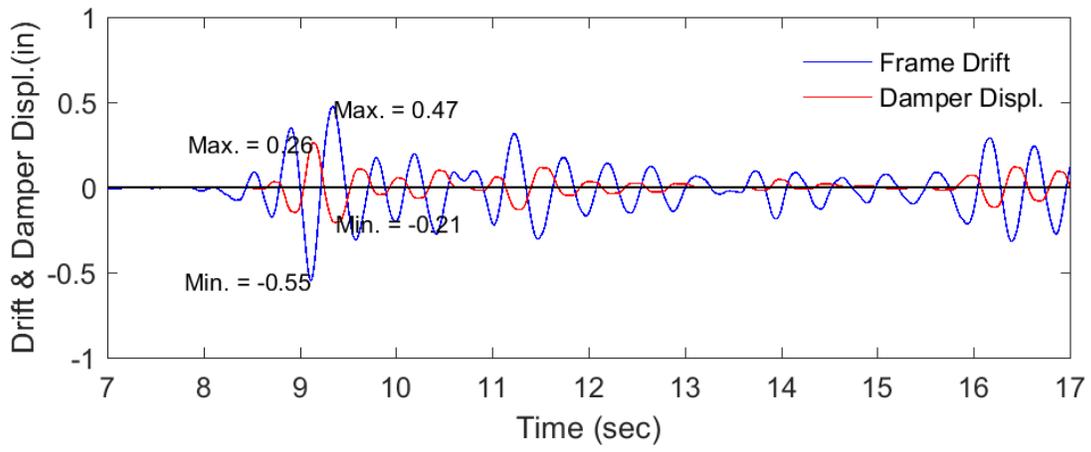
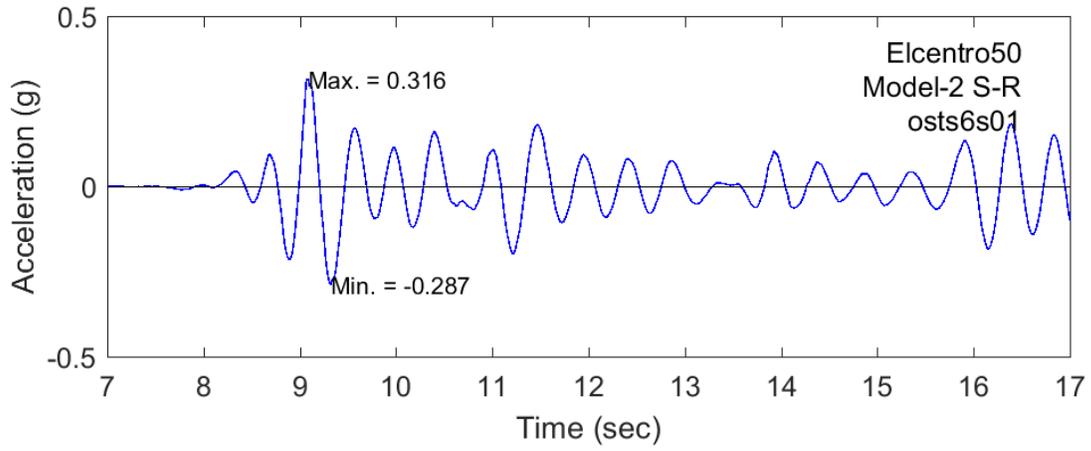


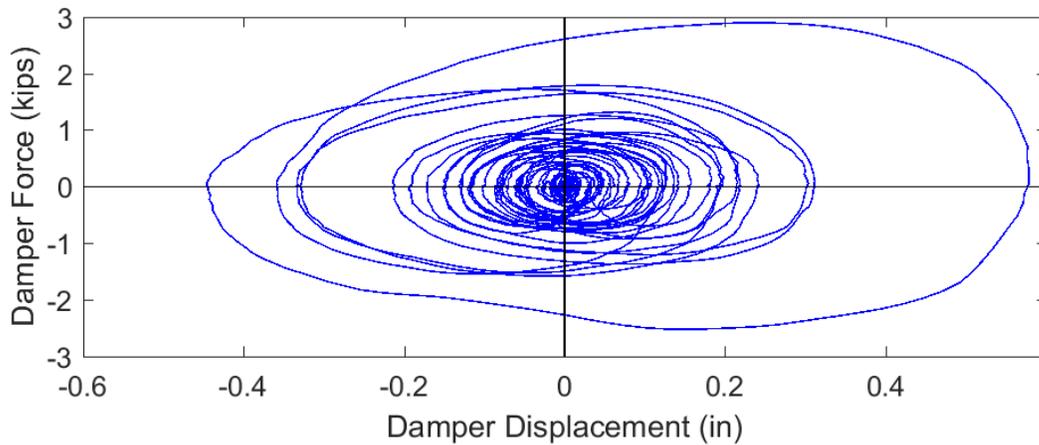
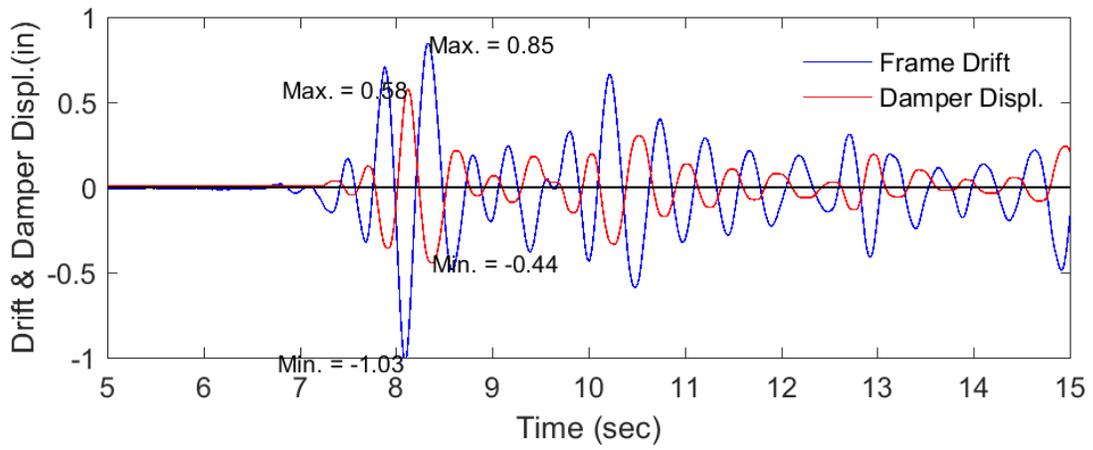
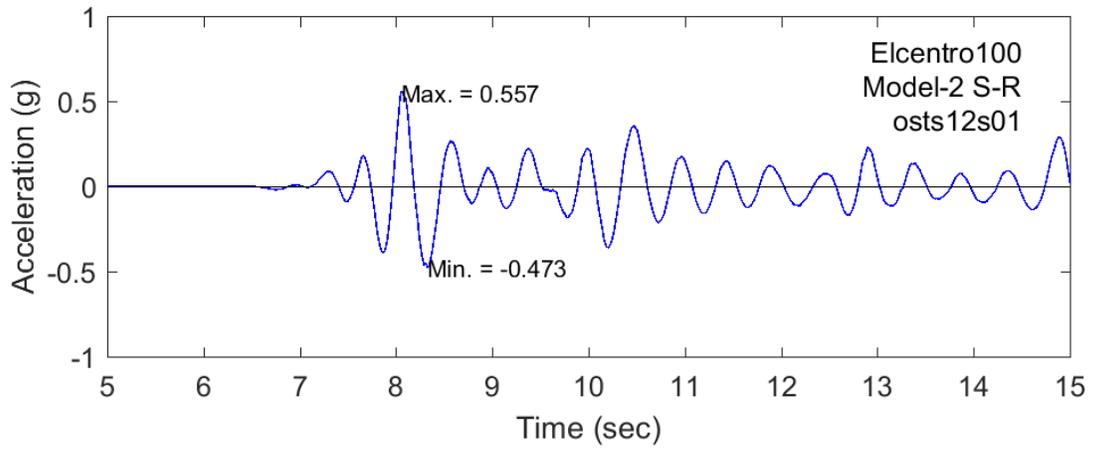


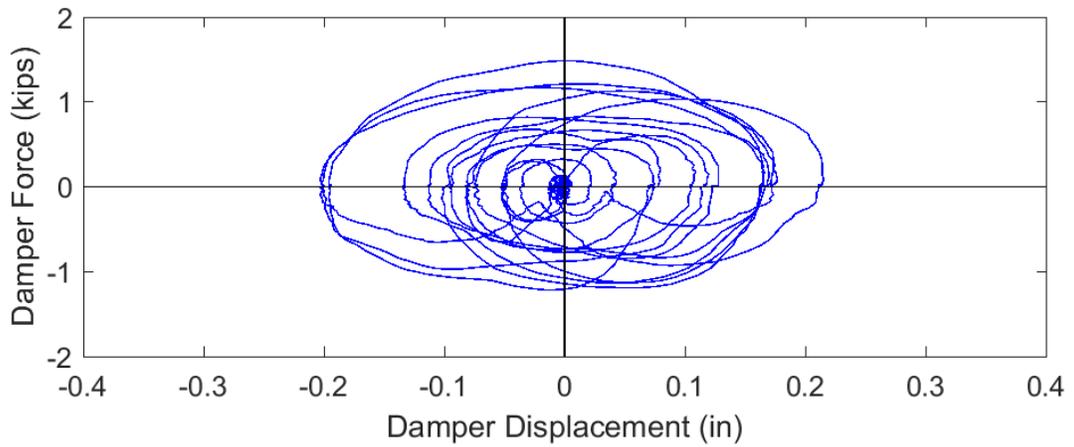
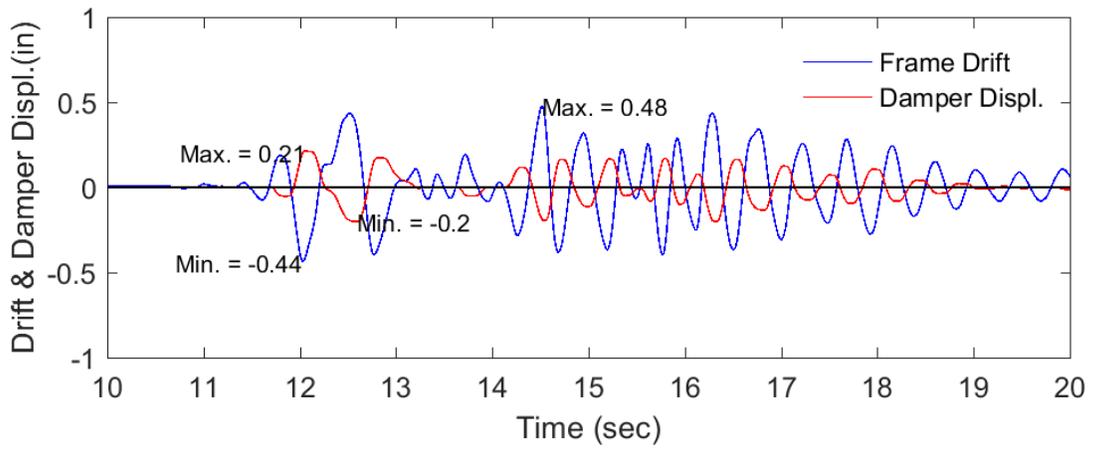
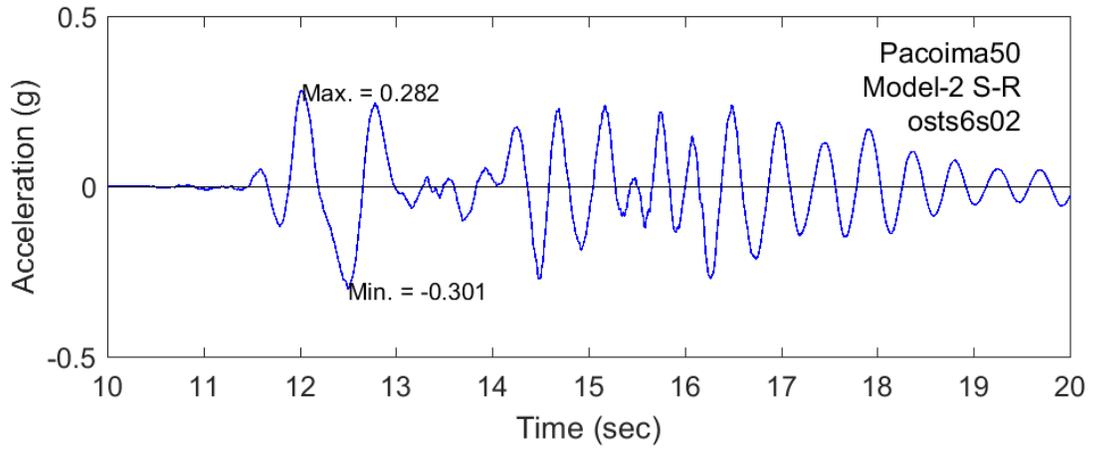


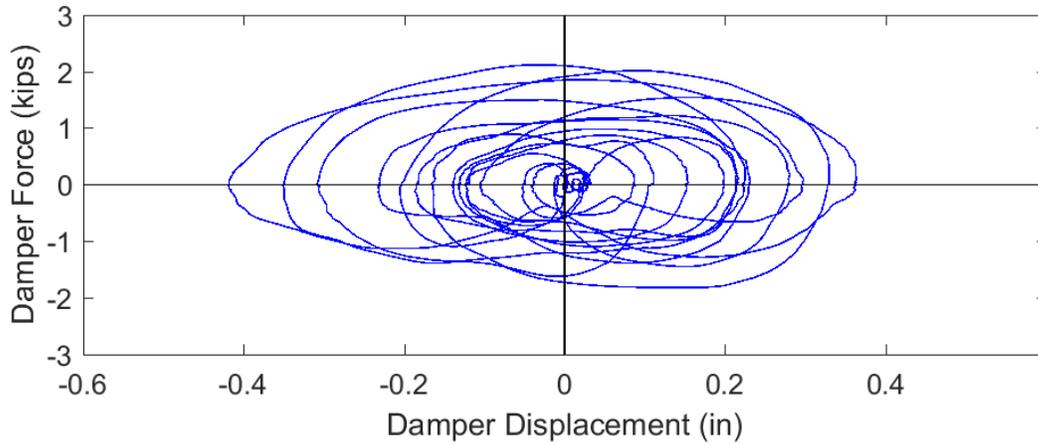
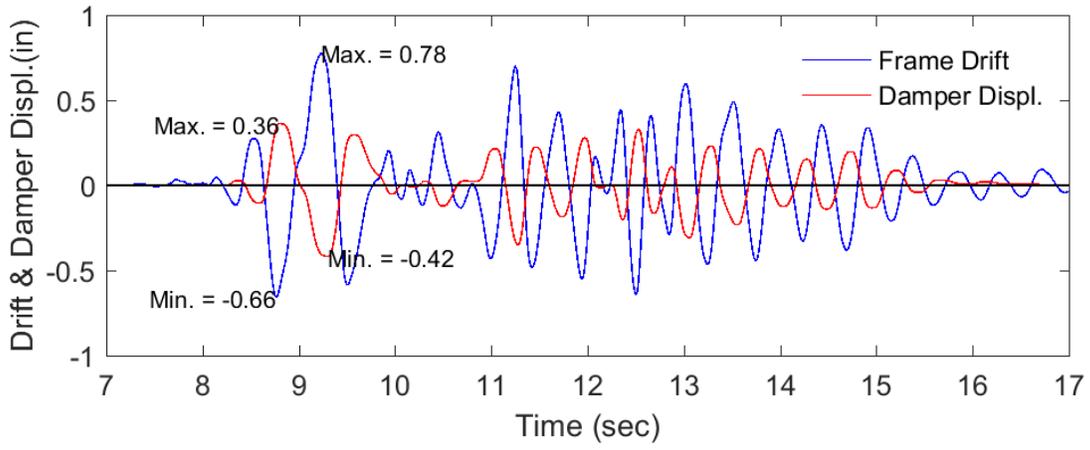
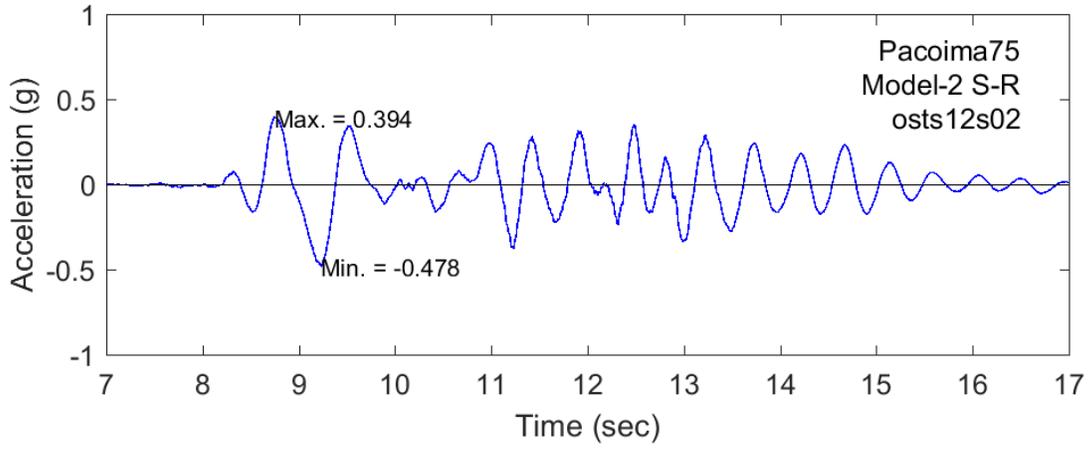


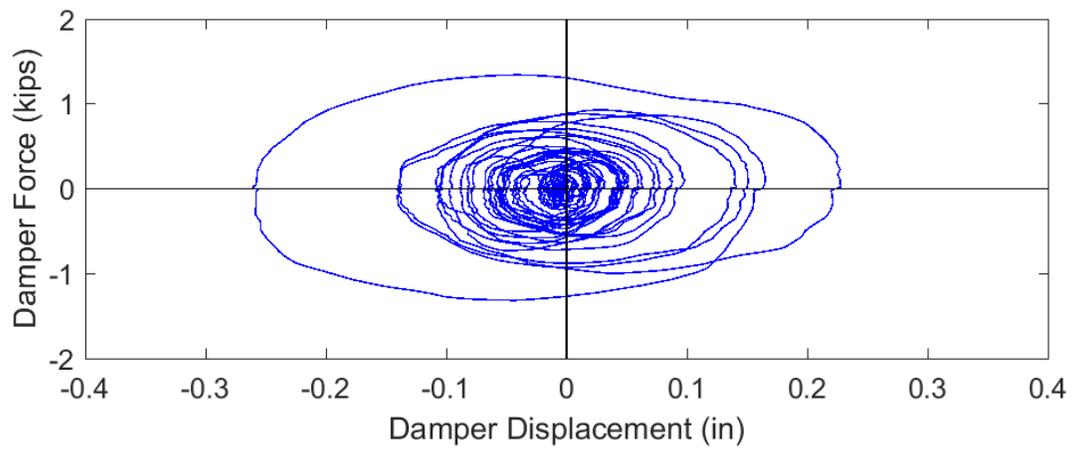
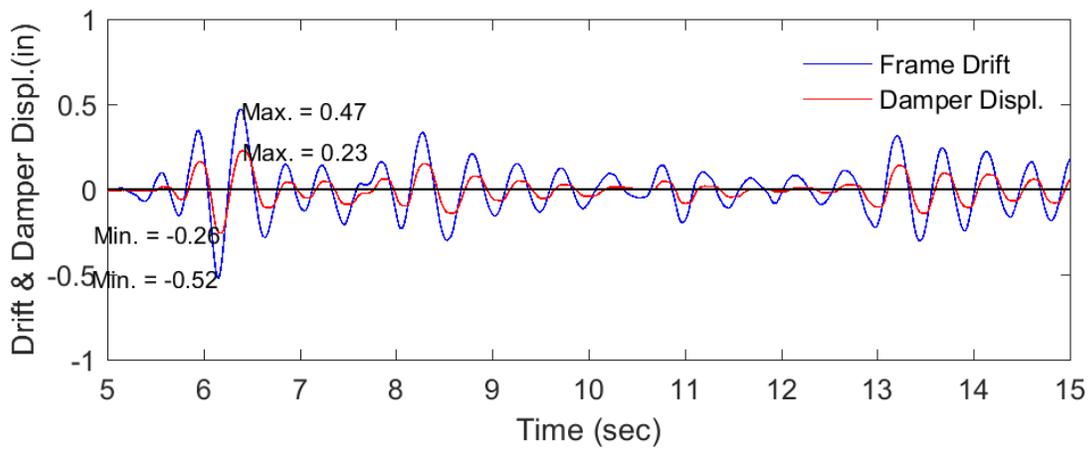
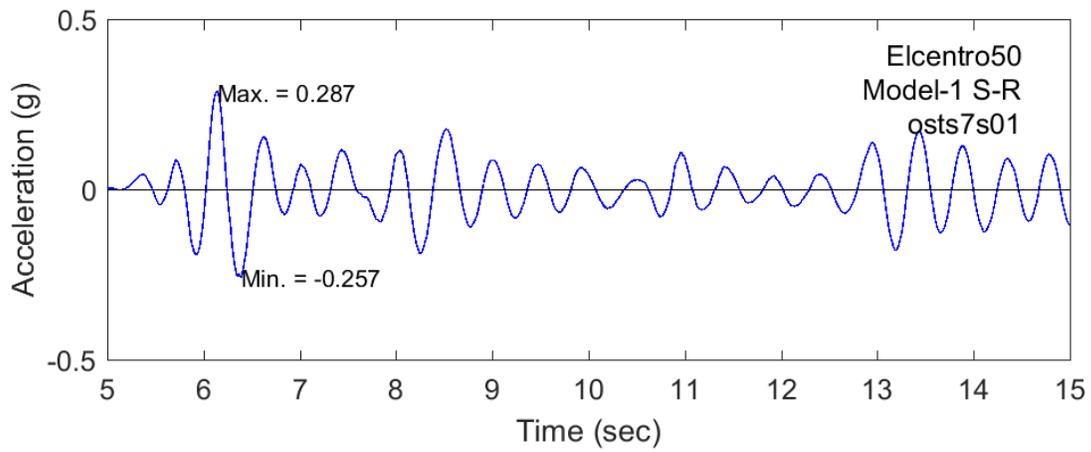


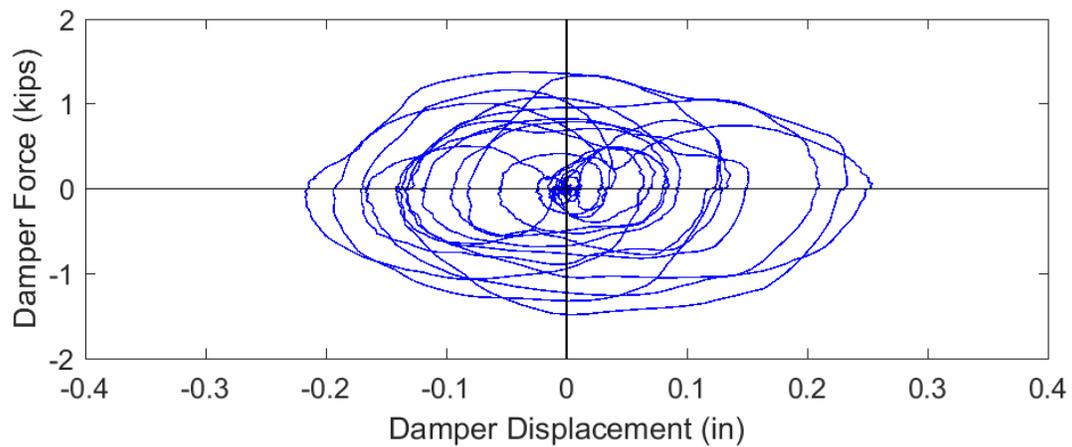
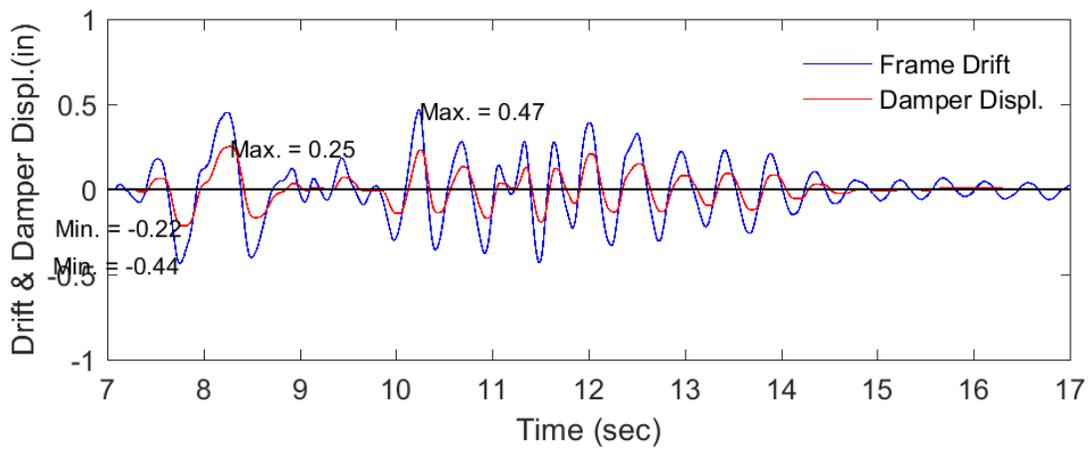
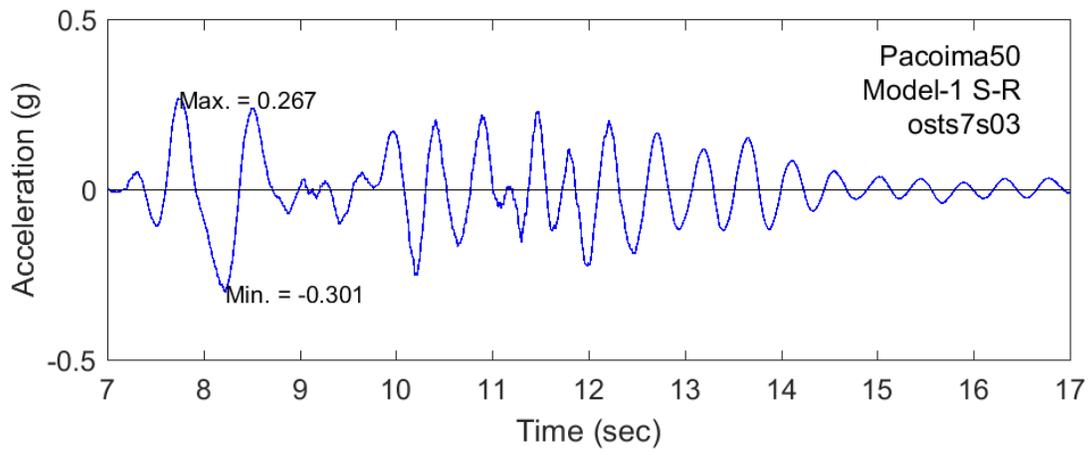


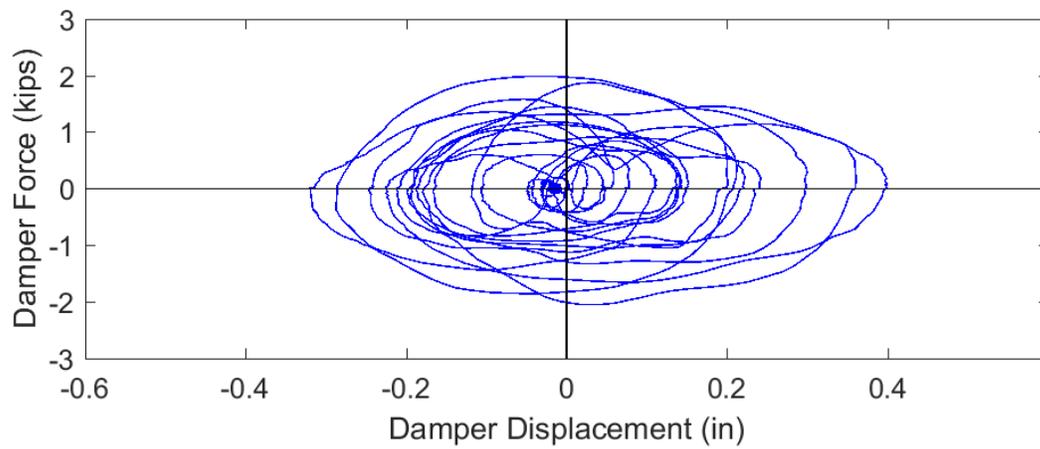
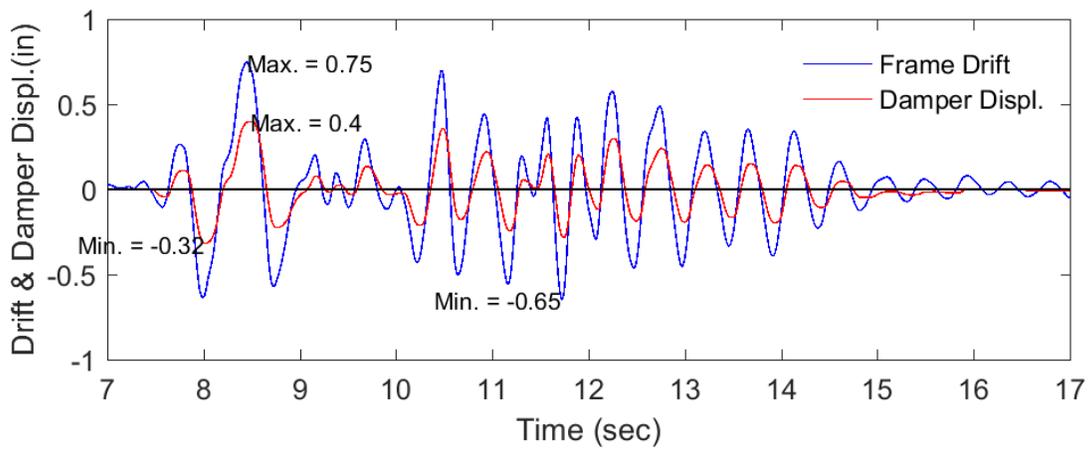
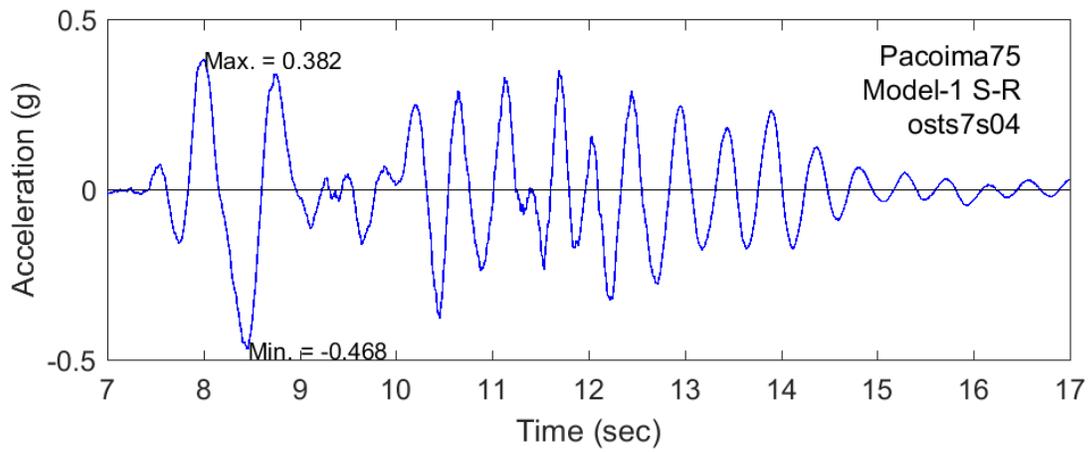


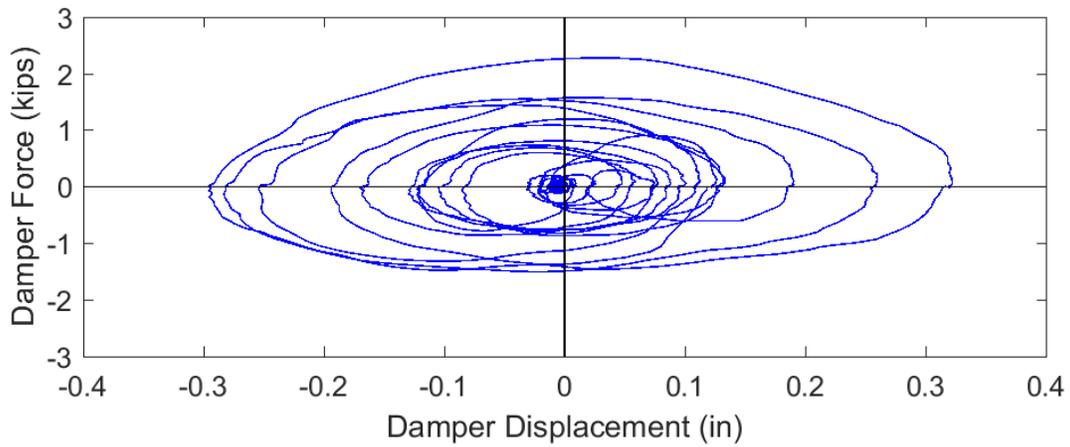
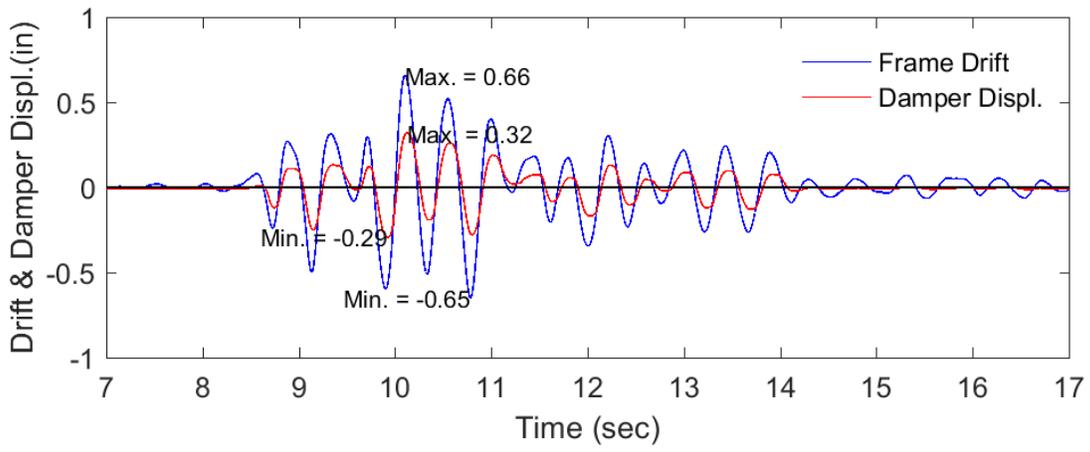
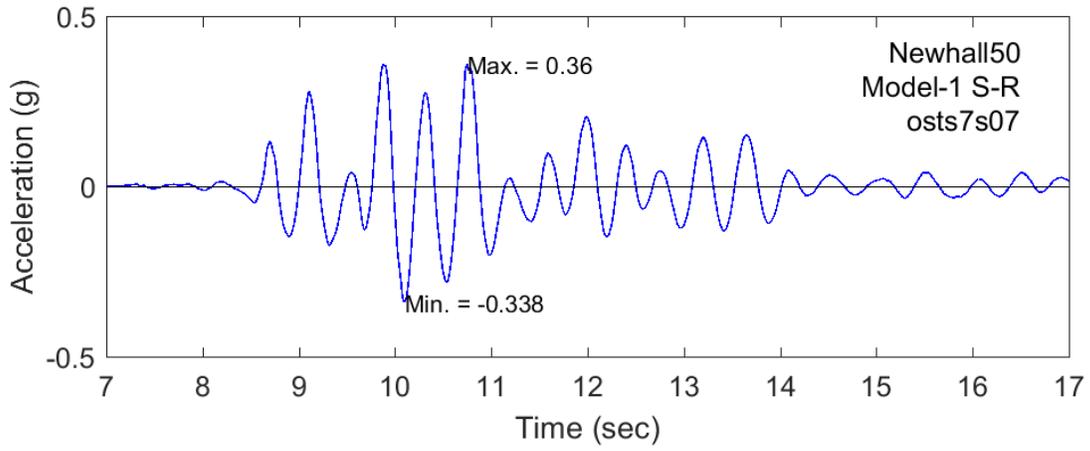


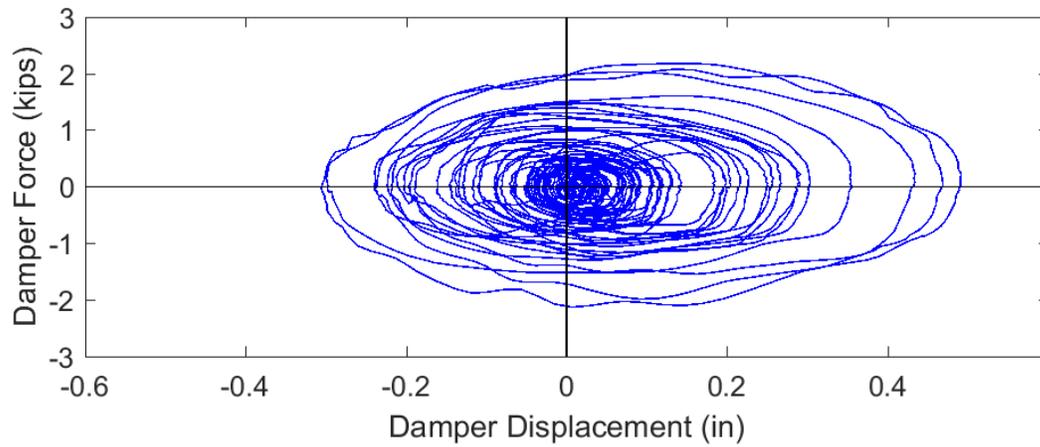
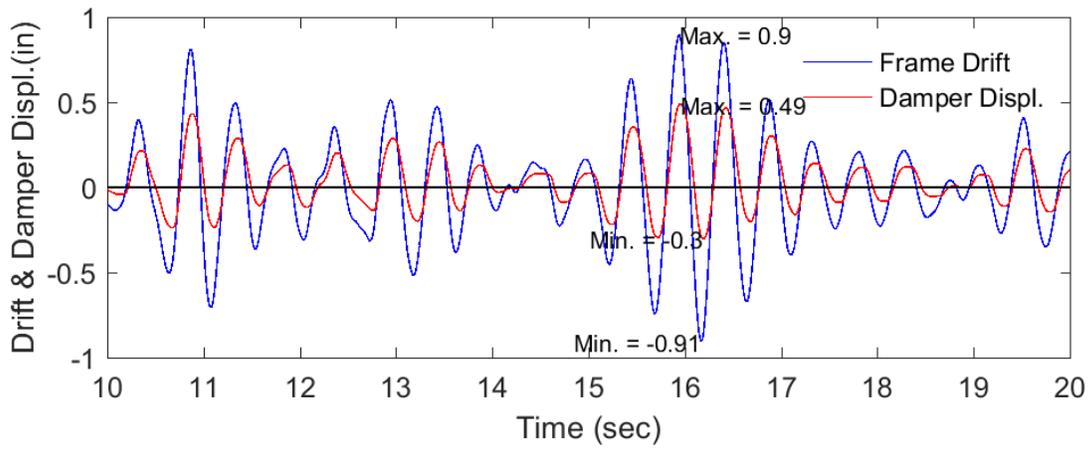
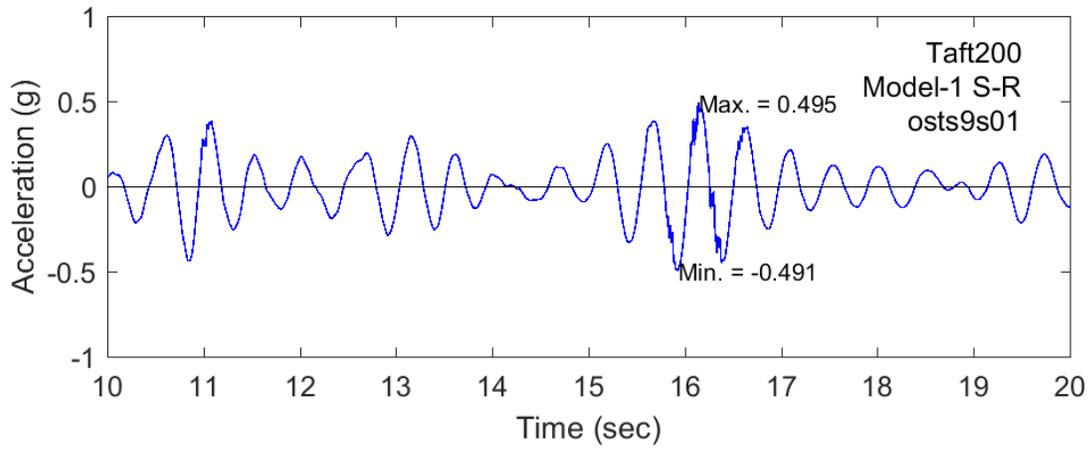


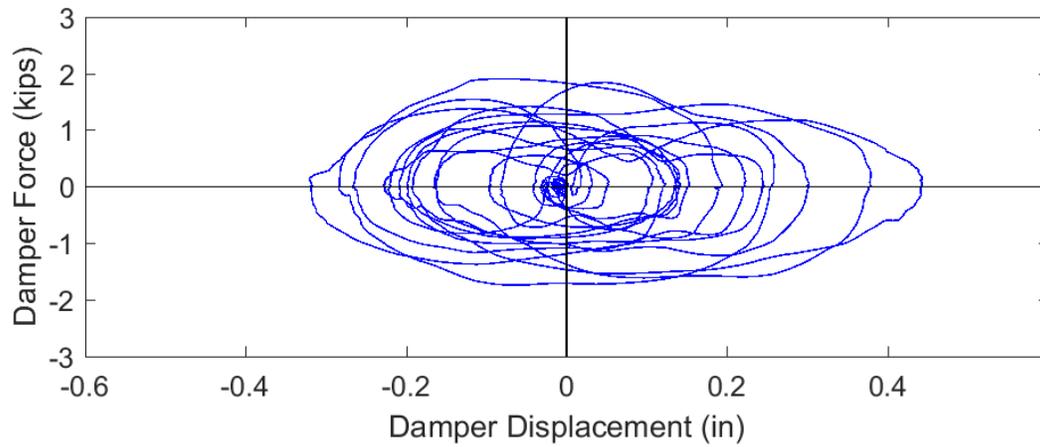
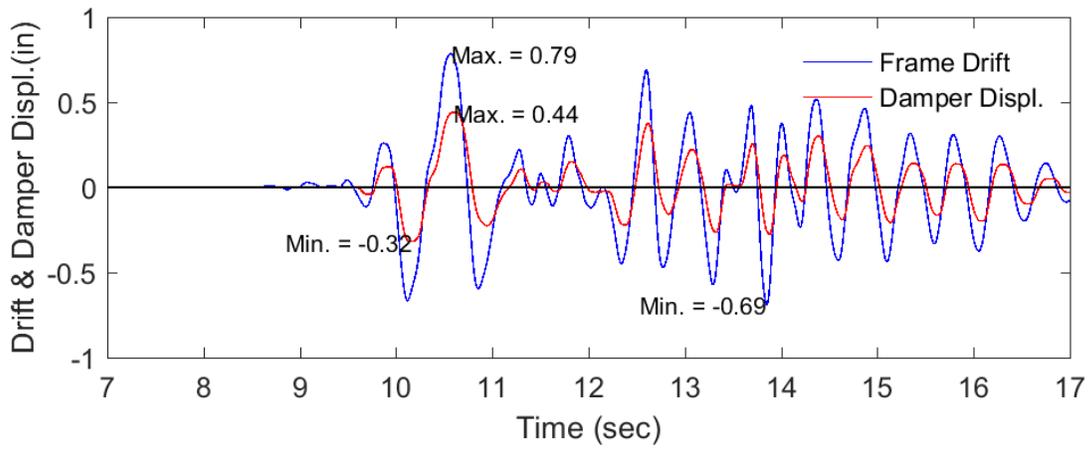
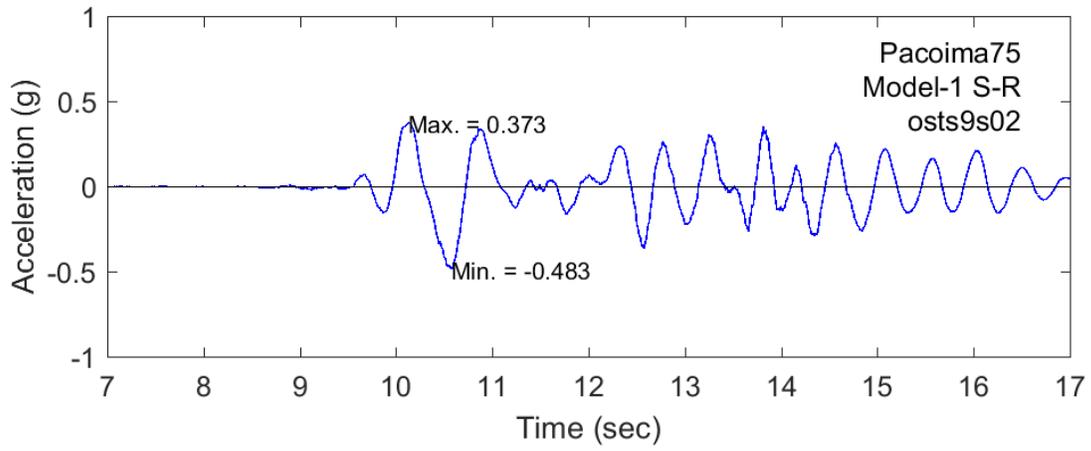


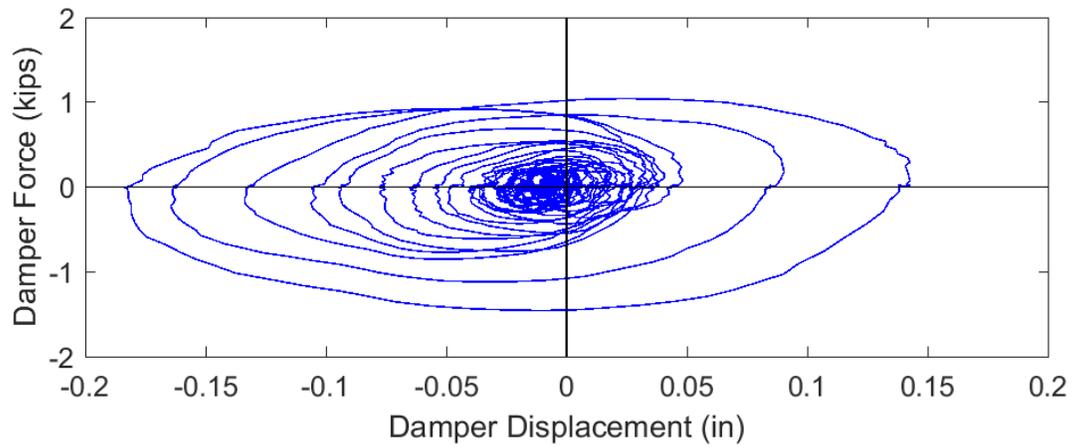
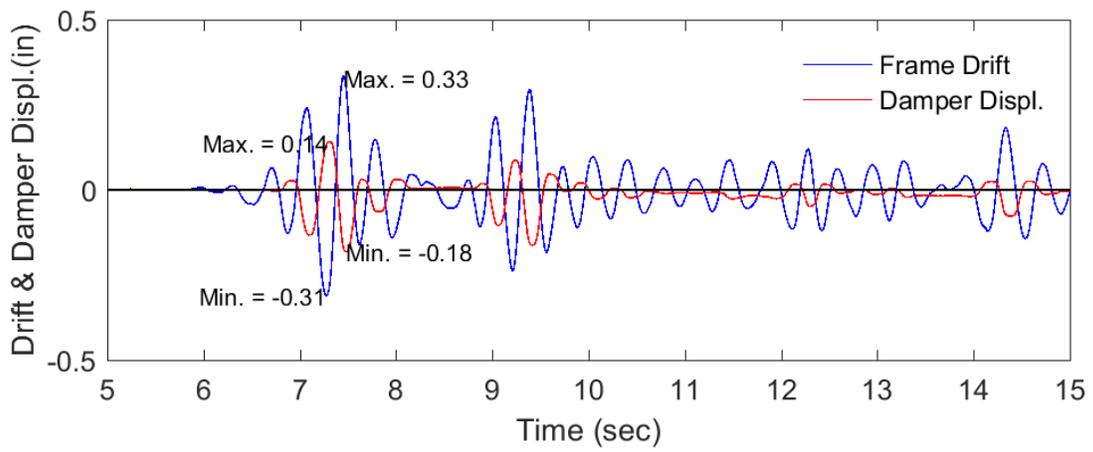
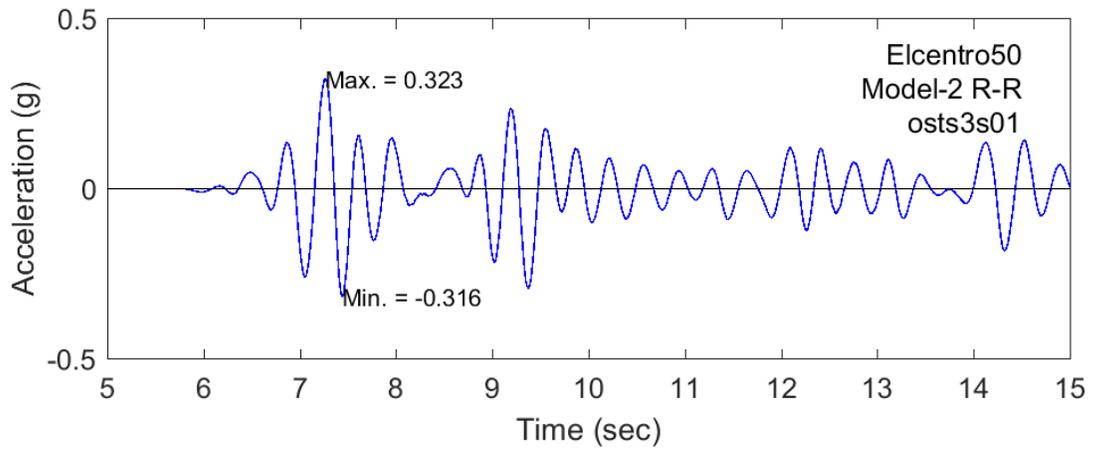


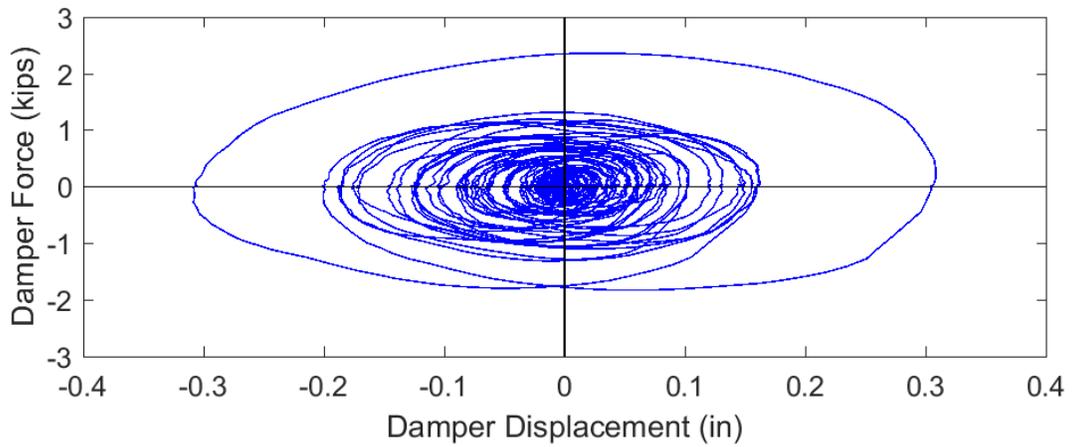
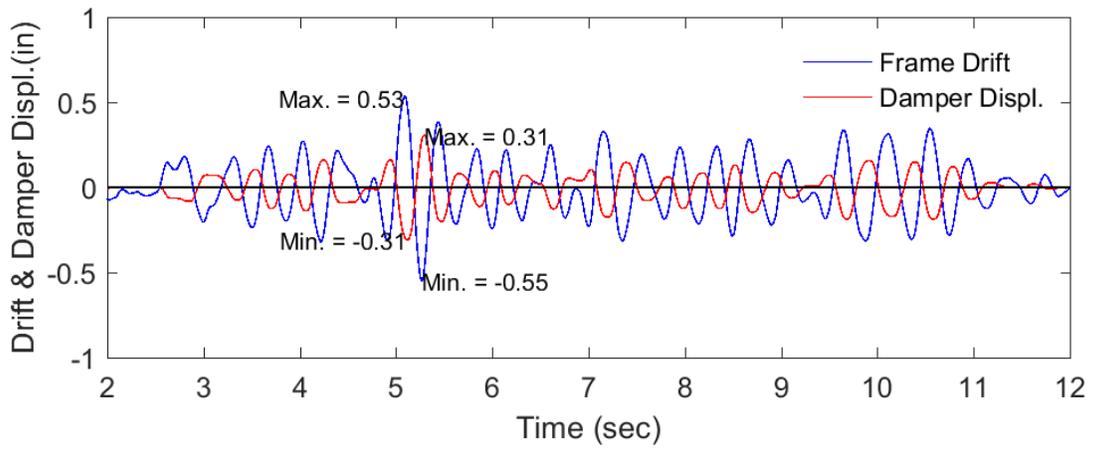
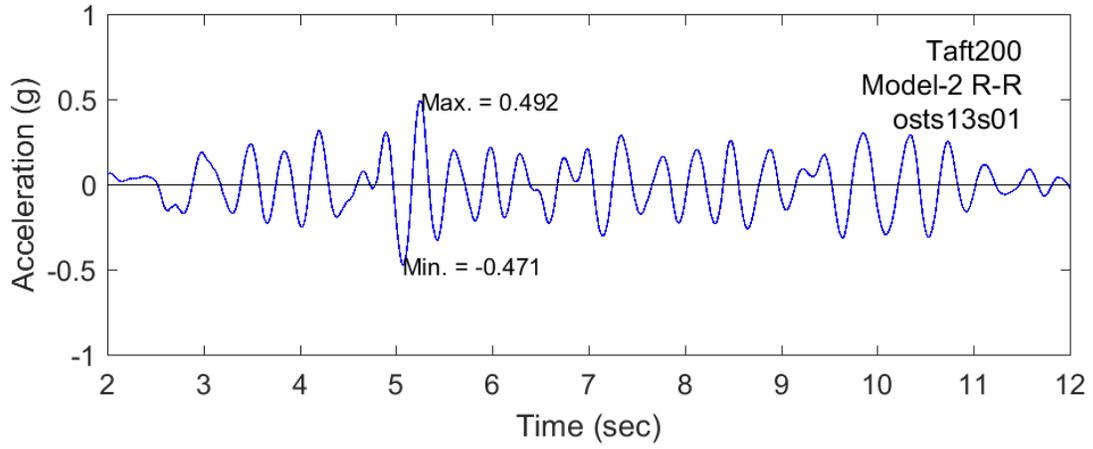


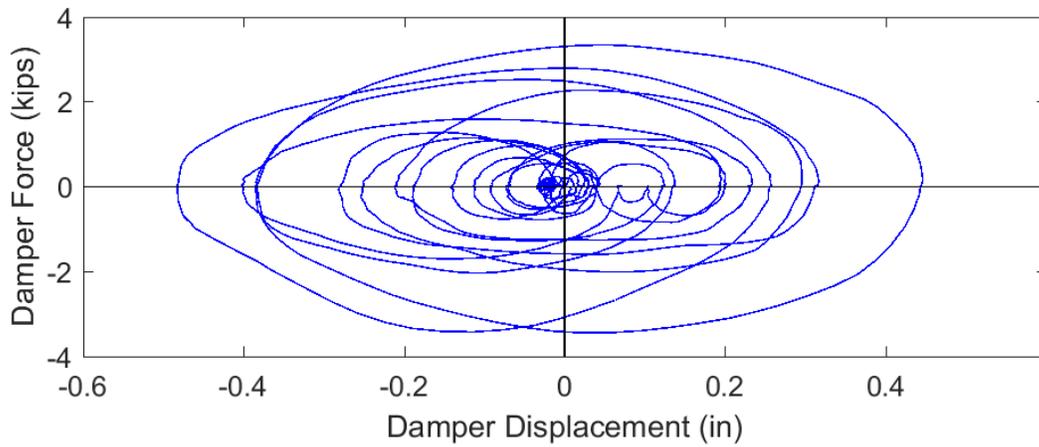
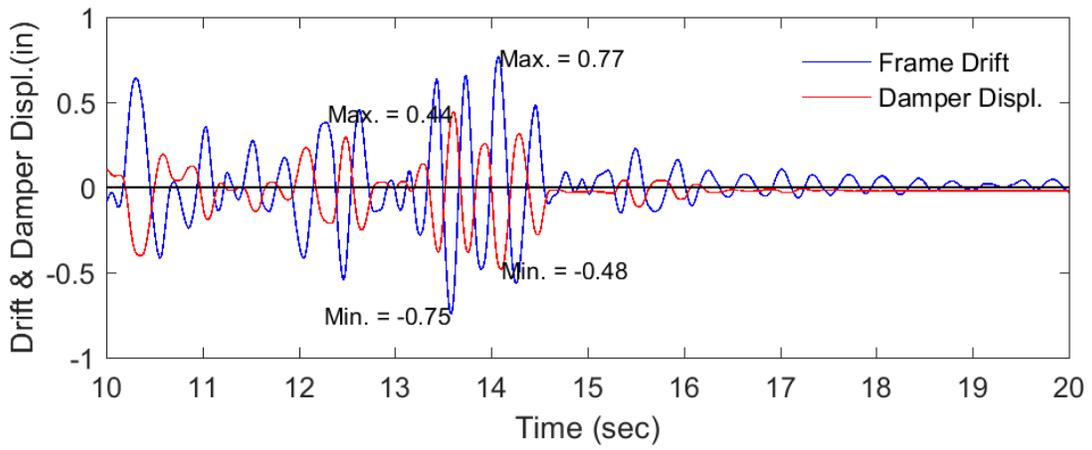
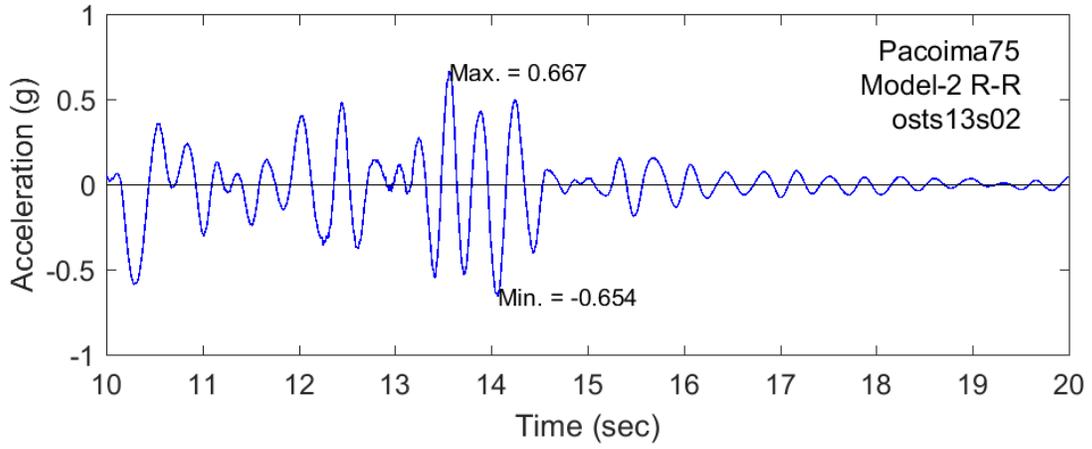


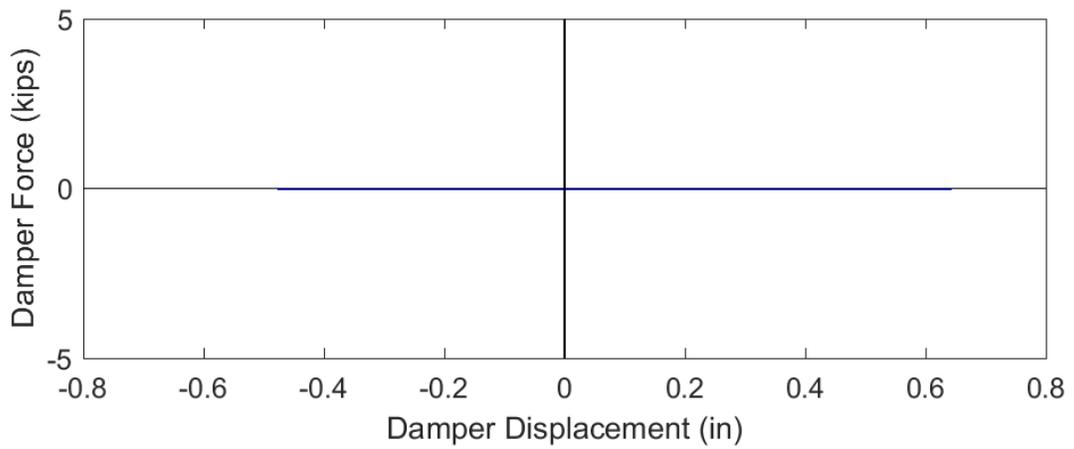
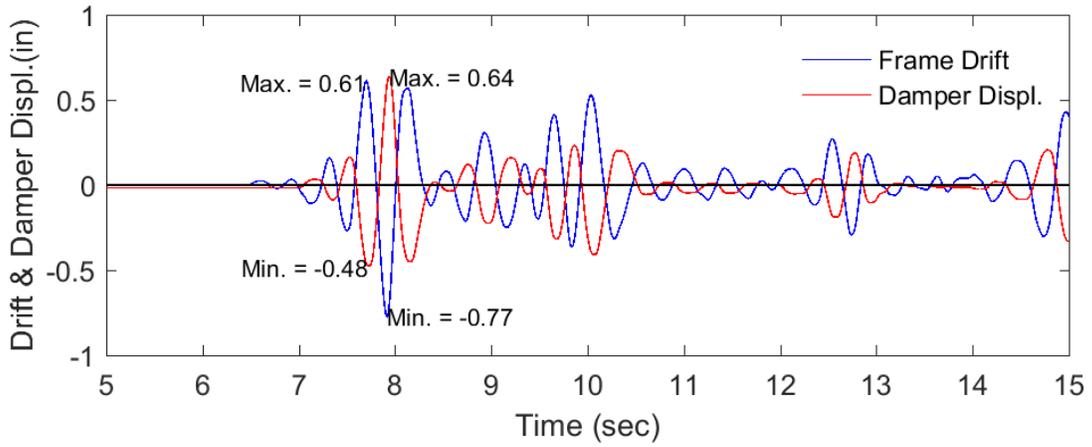
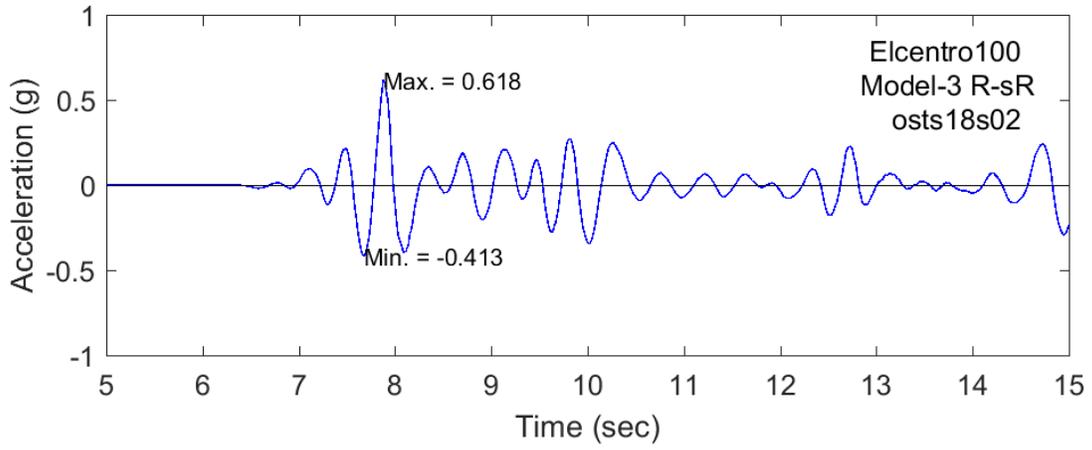


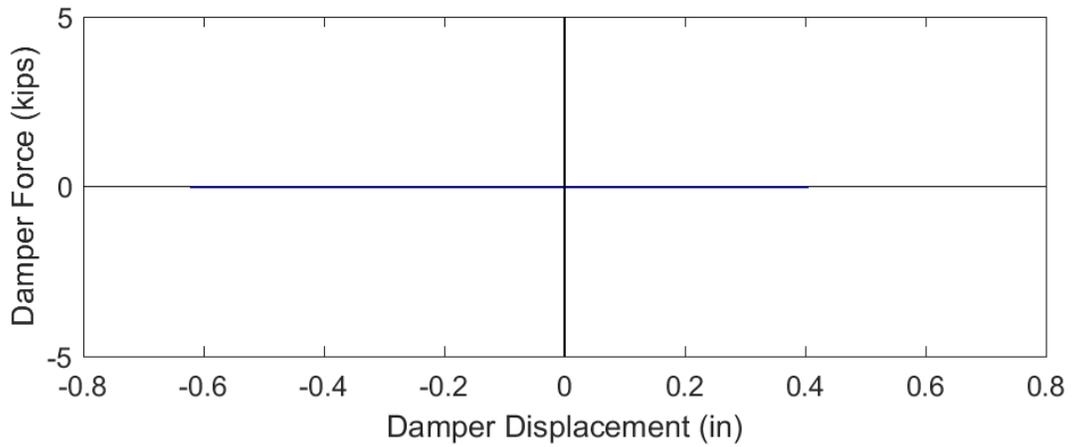
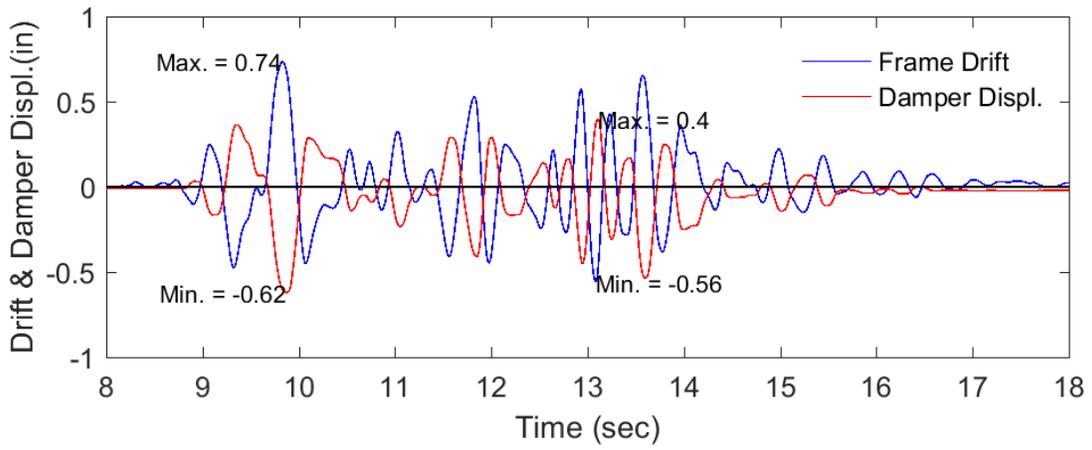
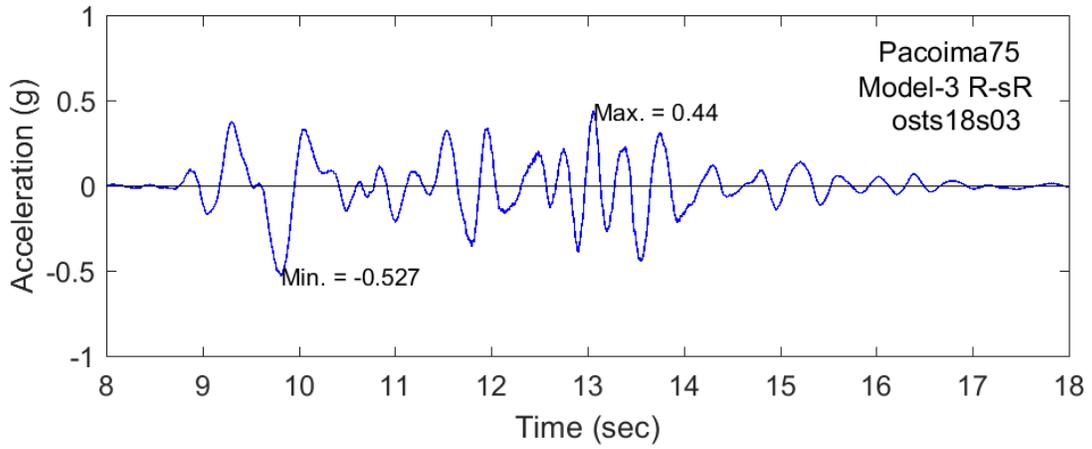






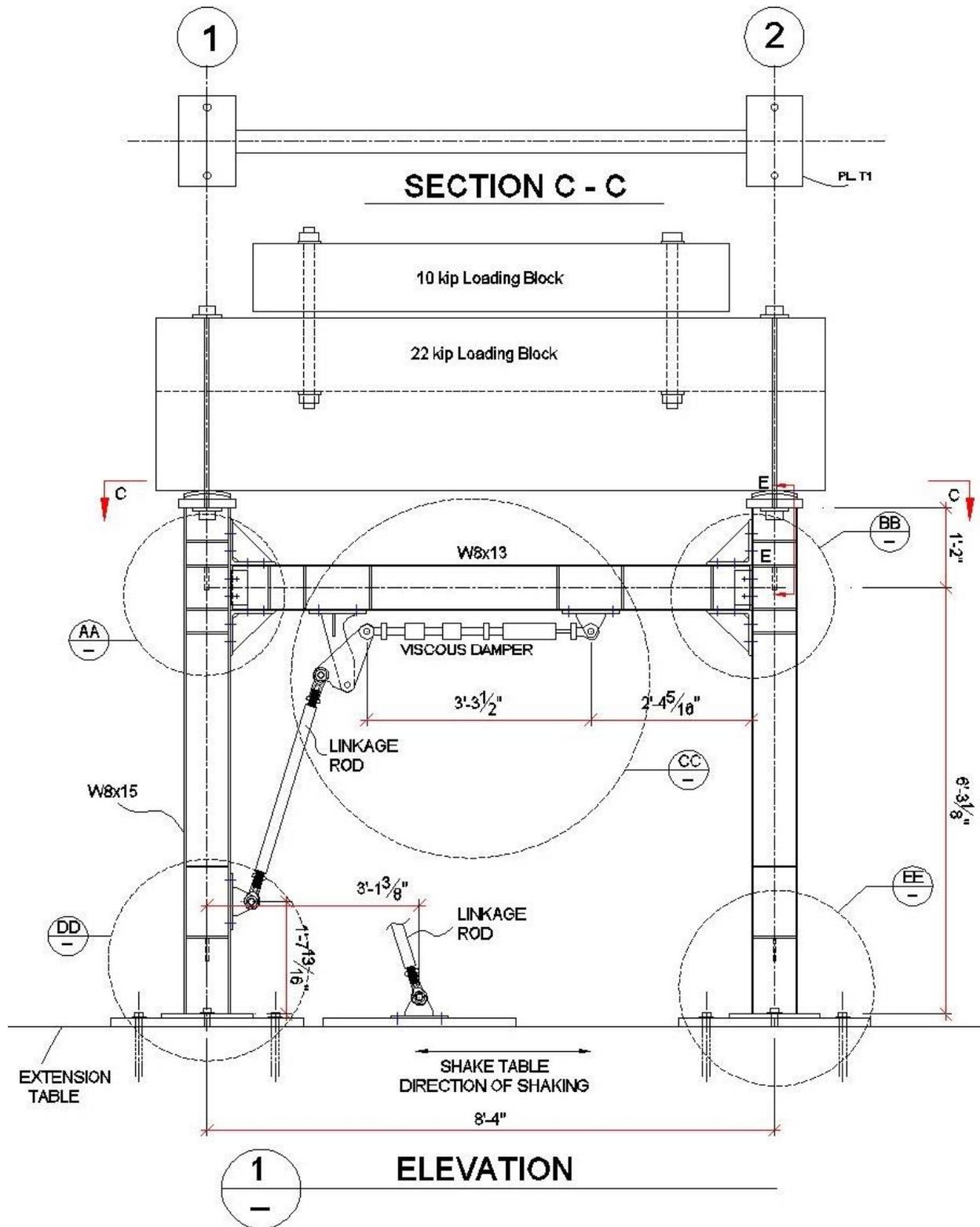


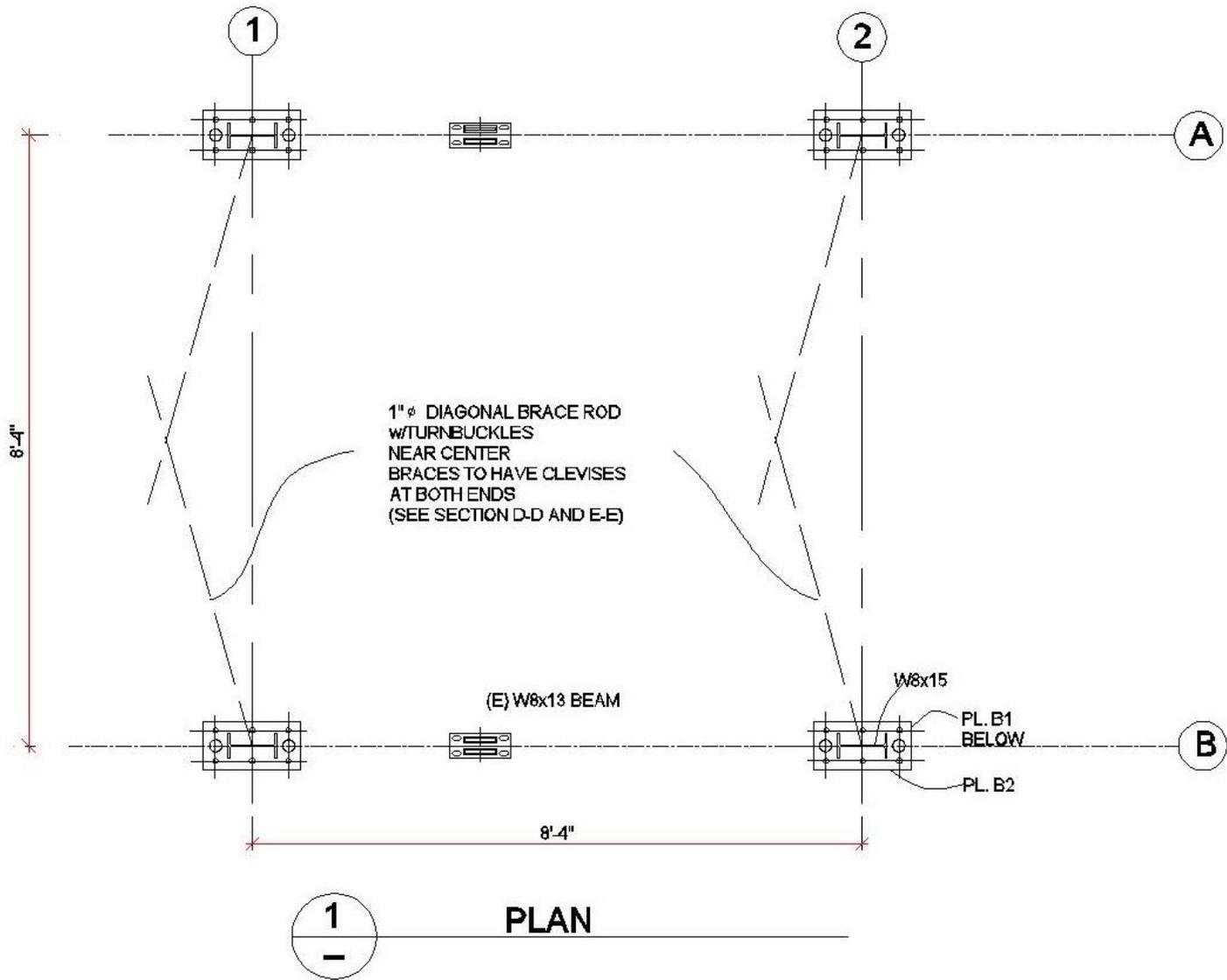


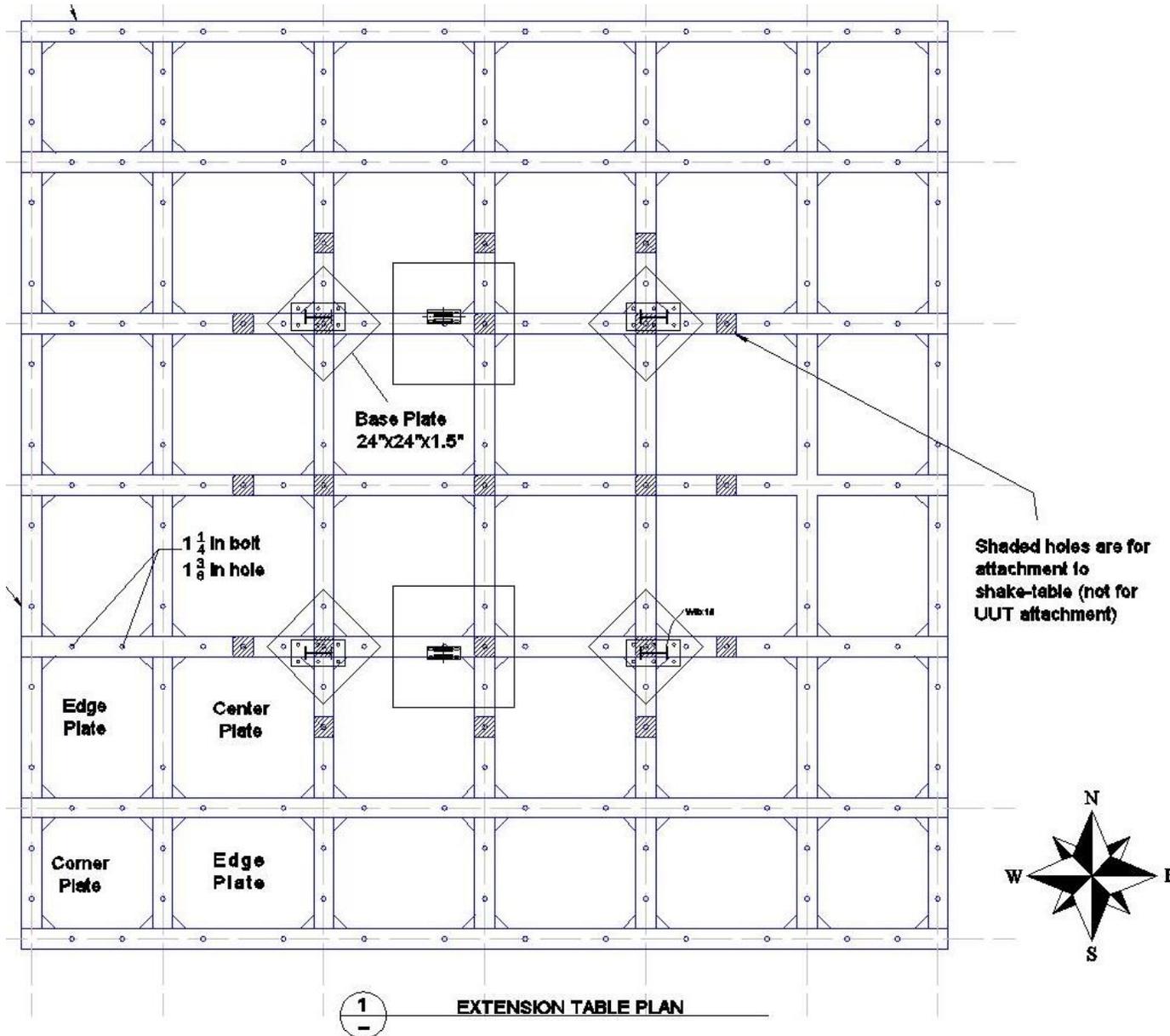


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**APPENDIX D**  
**EARTHQUAKE SIMULATOR TEST DRAWINGS**

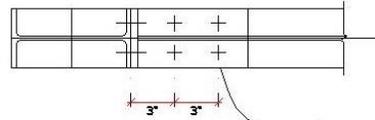






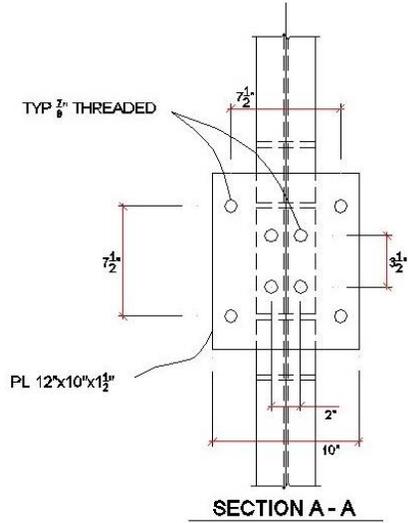
EXTENSION TABLE PLAN

NOTE: ACTUATOR WILL BE USED ONLY IN FLOOR TESTING INSTALLATION

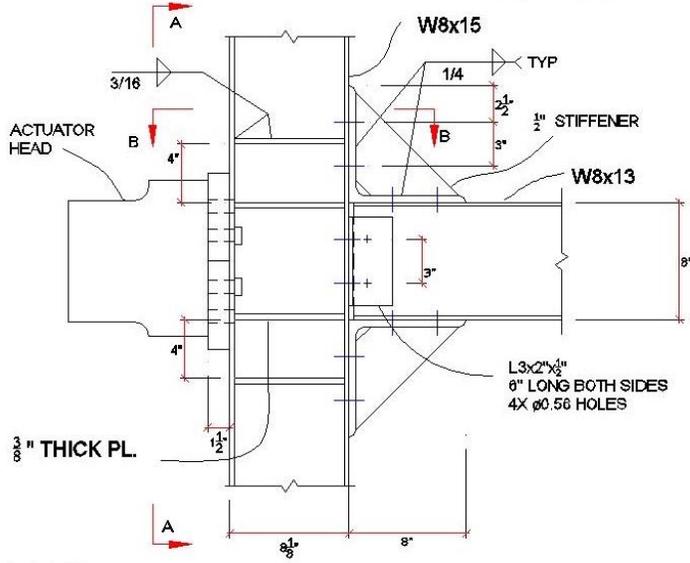


SECTION B - B

L8x8x $\frac{1}{2}$ " 4" LONG  
w/  $\frac{1}{2}$ " STIFFENER AND  
8X  $\phi 0.50$  HOLES

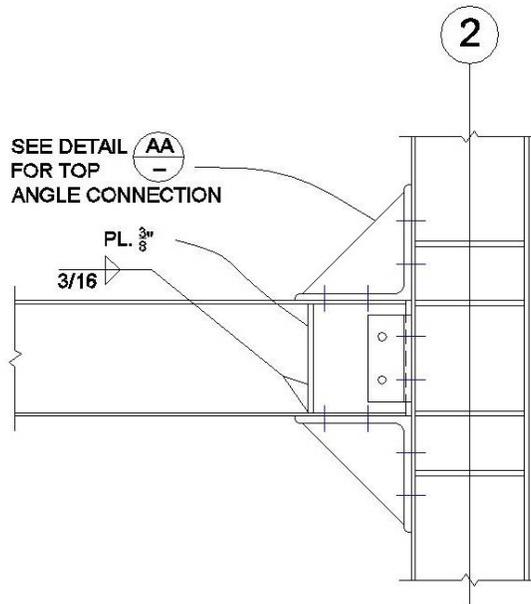


SECTION A - A



AA  
—

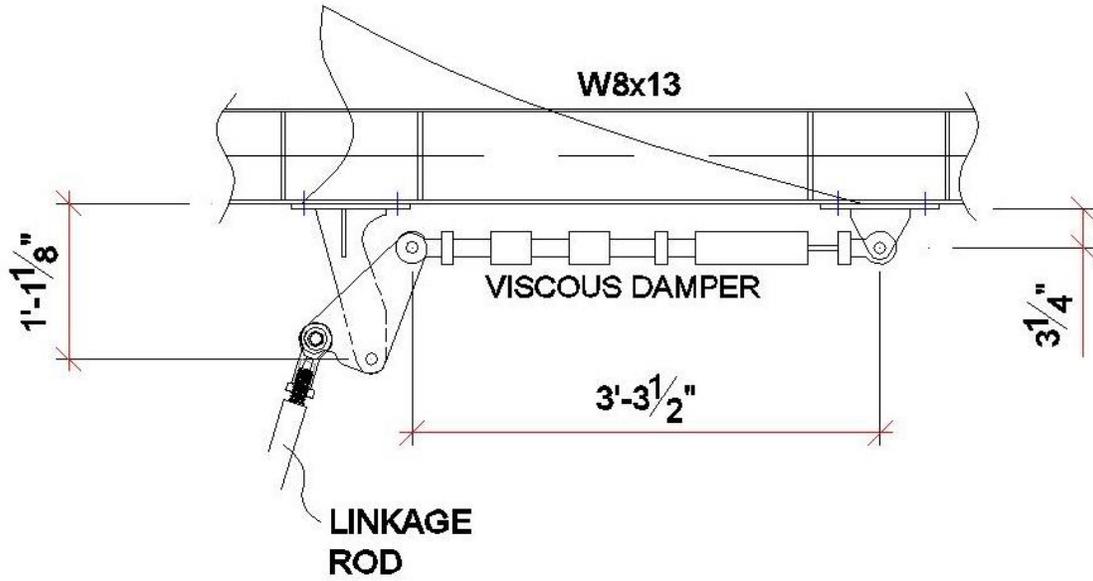
DETAIL



BB  
—

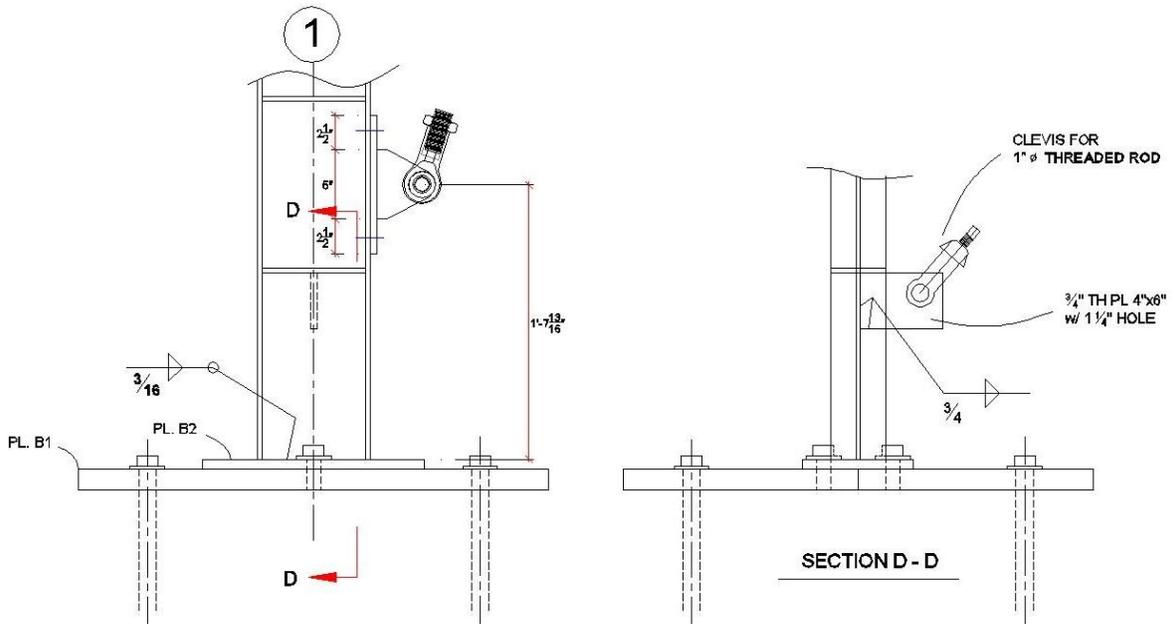
DETAIL

PROVIDE SLOTTED HOLES IN PLATES FOR ADJUSTMENT



CC  
—

DETAIL



DD  
—

DETAIL



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