

# Thermochemistry of Aluminum Species for Combustion Modeling from *Ab Initio* Molecular Orbital Calculations

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High accuracy *ab initio* methods for computational thermochemistry have been applied to aluminum compounds expected to be present during combustion of aluminum particles. The computed enthalpies of formation at 298.15 K agree well with experimental values from the literature for AlCl, AlCl<sub>3</sub>, AlO, AlOAl, linear OAlO, planar Al<sub>2</sub>O<sub>2</sub>, AlOH, AlH, and AlN. The agreement is fair for AlCl<sub>2</sub>. Major revisions to the recommended thermochemistry must be considered for OAlCl, OAlH, OAlOH, and AlC. This is not surprising since the thermodynamic data for OAlCl, OAlH, OAlOH, and AlC are given in the literature as rough estimates. Calculated thermochemical data are also presented for several species never studied experimentally, including AlH<sub>2</sub>, AlH<sub>3</sub>, AlOO, cyclic-AlO<sub>2</sub>, linear AlOAlO, AlHCl, AlHCl<sub>2</sub>, and others. Based on the performance of the CBS-Q and G2 methods observed in other systems, the calculated enthalpies of formation would be expected to be accurate to within  $\pm 1$  to 2 kcal mol<sup>-1</sup>. However, relatively large differences between the results from the CBS-Q and G2 methods for the aluminum oxides indicate that the uncertainties are slightly larger for these compounds. The thermochemistry proposed here is shown to predict substantially different equilibrium composition from the thermochemistry previously available in the literature. © 2000 by The Combustion Institute

## INTRODUCTION

The addition of aluminum particles to solid propellant is principally used to increase motor-specific impulse. This is due to the high heat of combustion of Al with various oxidizers that are encountered in practical applications, including CO<sub>2</sub>, H<sub>2</sub>O, and HCl. Numerous studies of aluminum combustion have been published. Most of these studies have considered the ignition and global combustion of single particles, powders, or wires in various controlled environments [1–7]. Much of this work was reviewed by Price [8].

The first numerical models of the combustion of aluminum particles neglected the finite rate chemistry of this combustion. The chemical reaction rates were assumed to be infinitely large [9–13]. However, more recent analyses of the process have provided models that include finite chemical reaction rates [14, 15]. The combustion process involved is complicated and

therefore not easily modeled. Since aluminum burns as a vapor, the first step of this process involves gas-phase reactions between Al and the oxidizers, or between aluminum and reaction intermediates or reaction products. Reactions are also expected to occur on the surface of the aluminum particles, and this heterogeneous chemistry must also be included in a complete model. Under typical conditions, most of the reactions in the gas phase are in chemical equilibrium. For these conditions, the accuracy of the predictions of the gas-phase composition and temperature is determined by the accuracy of the thermochemical data for the gas-phase species. Existing thermochemical data for several species are highly uncertain, as underlined by Fontijn [16]. For some other species expected to be present, no thermochemical data are available in the literature. As a consequence, some of these species have never been introduced into kinetic models. Such species must be considered in detailed kinetic models from which reduced models are to be derived for engineering purposes.

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In the present study, we report the results of high level *ab initio* molecular orbital calculations for the Al species observed, or expected to be present, in the gas phase during Al particle combustion with the species encountered in practical applications, namely CO<sub>2</sub>, HCl, CO, N<sub>2</sub>, H<sub>2</sub>, and H<sub>2</sub>O. The computed standard enthalpies of formation are systematically compared with the available literature.

## COMPUTATIONAL METHODS

Three high accuracy *ab initio* models for computational thermochemistry were applied to all of the aluminum compounds studied here. The first method was based on density functional theory calculations using the B3LYP functional. This functional employs Becke's gradient corrected exchange functional [17], the Lee-Yang-Parr correlational functional [18], and three parameters fit to the original G2 test set [19]. The geometry optimization and frequency calculations for this method used the 6-31G\* basis set. The energy at that geometry was then calculated using the 6-311+G(3df, 2p) basis set. The second method used here was the CBS-Q complete basis set method of Petersson et al. [20]. This method employs the asymptotic convergence of pair natural orbital expansions to extrapolate to the second order Moller-Plesset (MP2) limit. The higher-order contributions are then evaluated using smaller basis sets. The third method used was the Gaussian-2 (G2) model. This method approximates a quadratic configuration interaction calculation with a large basis set [QCISD(T)/6-311 + G(3df, 2p)] by combining a series of smaller calculations and assuming additivity of several components of the energy [21–23]. All of the calculations were carried out using the GAUSSIAN 94 computer program [24]. Many of the species considered here have open shell ground state electronic configurations (one or more unpaired electrons). All of the above methods use spin-unrestricted wavefunctions for open shell species. These are not necessarily eigenfunctions of the spin-squared operator ( $S^2$ ), and therefore do not yield pure doublet, triplet, etc. states. They may be contaminated by states of higher spin multiplicity. This spin contamination leads

to expectation values of  $S^2$  that are greater than those of the pure spin states (i.e.  $\langle S^2 \rangle$  greater than 0.75 for doublets, greater than 2.0 for triplets, etc.). For species with mild spin contamination ( $\langle S^2 \rangle$  within 0.05 or so of the value for the pure spin state) the above methods were found by Mayer et al. [25] to perform well. However, for more severely spin-contaminated wavefunctions, they do not give reliable predictions of thermochemistry. The effect of spin contamination on thermochemical calculations is discussed in more detail by Mayer et al. [25]. For several of the open shell species, the CBS-RAD method, recommended by Mayer et al. [25] for computing high accuracy energies of free radicals, was also applied. This is a variant of the CBS-Q method, in which the geometry optimization and frequency calculation are done at the QCISD/6-31G(d) level of theory, and the larger QCISD energy calculation is replaced by a coupled-cluster [CCSD(T)] calculation. This procedure was shown by Mayer et al. [25] to give more accurate energies than the G2 or CBS-Q methods for highly spin-contaminated radical species.

The enthalpies of formation presented here were calculated based on the enthalpies of atomization computed from the *ab initio* calculations and the experimental heats of formation of the gas-phase atoms at 298.15 K and 1 atm. (78.63 kcal/mol for Al, 52.10 kcal/mol for H, 28.97 kcal/mol for Cl, 59.43 kcal/mol for O, 171.21 kcal/mol for C, and 112.94 kcal/mol for N). The *ab initio* energies of the atoms were corrected to account for spin-orbit coupling using the experimental spin-orbit interaction energies (0.2136 kcal/mol for Al, 0.8396 kcal/mol for Cl, 0.2230 kcal/mol for O, and 0.0847 kcal/mol for C [26]). Petersson et al. [27] have presented an assessment of the accuracy of the three methods used here, along with several others, for the group of molecules that they called the "G2-1,2 neutral molecule test set". For this collection of around 150 molecules with well established experimental heats of formation, the B3LYP, CBS-Q, and G2 methods used here had mean absolute deviations of 3.43, 1.19, and 1.43 kcal/mol, respectively, between the calculated and experimental heats of formation. The corresponding root mean square deviations

between calculation and experiment were 5.17, 1.77, and 2.04 kcal/mol. Based on these results, we would expect the calculated enthalpies of formation obtained from the B3LYP calculations to be accurate to within  $\pm 3$  to 5 kcal/mol, and would expect those calculated using the G2 and CBS-Q methods to be accurate to within  $\pm 1$  to 2 kcal/mol. However, the set of molecules considered here contains several polychlorides, for which the CBS-Q method is known to perform poorly [27]. Our results also show larger than expected differences between the CBS-Q and G2 methods for the aluminum oxides. This indicates that at least one of those two methods has lower accuracy for the aluminum oxides than for the set of molecules considered by Petersson et al. [27]. Without more precise experimental thermochemical data for the gas-phase aluminum oxide species, it is not possible to establish which method, CBS-Q or G2, performs better for this class of compounds. While the energies obtained with the B3LYP method used here are less reliable than the other two methods, these calculations have been included because they are much less computationally expensive, and can therefore be applied to larger molecules for which the CBS-Q and G2 methods cannot be used. The frequency calculations from the B3LYP method, done at the B3LYP/6-31G(d) level, are expected to be more accurate than those from the CBS-Q and G2 methods, which compute the frequencies at the HF/6-31G(d') and HF/6-31G(d) levels, respectively. We have therefore reported the B3LYP/6-31G(d) frequencies here. These have been scaled by 0.9613 as recommended by Wong [28] for frequencies calculated at this level.

#### Al GASEOUS SPECIES OF INTEREST FOR COMBUSTION MODELING PURPOSES

The following species have been observed during Al combustion under various experimental conditions (particle combustion, laser ablation, etc.) or above solid or liquid alumina at high temperatures. Relevant atmospheres are the intermediates and final products of solid propellants: CO<sub>2</sub>, HCl, N<sub>2</sub>, etc.

To date, experimental studies have dealt principally with Al in O<sub>2</sub>, despite the fact that there is little molecular oxygen in the typical solid propellant combustion products. Some have considered Al in CO<sub>2</sub> or Al in CO as well. However, during practical applications, HCl and H<sub>2</sub>O are also produced. Therefore the aluminum hydrides, aluminum chlorides, and aluminum chlorohydrides must also be considered, even though most of them have not been observed in classical aluminum particle combustion experiments. Likewise, the Al/O/H species (such as AlOH) and Al/O/Cl species (such as OAlCl) should be considered. The likely presence of these species during aluminum combustion is deduced primarily from elementary kinetic studies.

Electronically excited species are also of interest. Although not yet demonstrated in the case of Al particle combustion, the kinetic role of excited species has been shown to be important in many other combustion systems. For example, the importance of singlet CH<sub>2</sub> in hydrocarbon combustion is well established [29]. In Al particle combustion this can also be the case since the temperatures reached are high (2300 to about 4500 K). Therefore, in addition to the ground state of each species, we have also considered the lowest-energy state of different spin multiplicity for many of the compounds. In many cases, the spin multiplicity of the ground state was not known *a priori*, and these calculations were necessary to determine which spin state corresponded to the ground state. Excited states with the same spin multiplicity as the ground state were not considered.

#### Aluminum Oxides

AlO has been observed by Yuasa et al. in Al/CO<sub>2</sub> experiments using AlO emission spectroscopy in the visible range [30], by Bucher et al. [31] using AlO laser-induced fluorescence (LIF), by Driscoll et al. [32] using AlO emission spectroscopy, and by Vanpée et al. [33] in trimethylaluminum–oxygen flames, among others. AlO has been identified mass spectrometrically to be a vapor species above solid alumina [34]. AlO has also been identified in matrix isolation studies [35] and as a product of the

reactions of pulsed-laser evaporated aluminum atoms with oxygen [36].

$\text{AlO}_2$  has been shown mass spectrometrically to be a minor vapor species above alumina [37]. It has been detected by Mann and Weaver [38] mass spectrometrically in the  $\text{Al}/\text{O}_2$  reaction system. One gas-phase study of this molecule has been performed by Desai et al. [39]. It is a product of the reactions of pulsed-laser evaporated aluminum atoms with molecular oxygen [36]. It has been proposed as a product of the reaction between  $\text{AlO}$  and  $\text{O}_2$  [40]. Fontijn [16] has pointed out that it is necessary to distinguish between the possible isomers of  $\text{AlO}_2$  ( $\text{AlOO}$  or  $\text{OAlO}$ ). There is evidence for the formation of both linear and cyclic  $\text{AlO}_2$  [36].

$\text{Al}_2\text{O}_2$  has been detected mass spectrometrically in the vapor over alumina [41, 42]. It is a product of the reactions of pulsed-laser evaporated aluminum atoms with molecular oxygen [36]. Theoretical studies have considered several possible structures for  $\text{Al}_2\text{O}_2$  [43].

$\text{Al}_2\text{O}$  has been detected mass spectrometrically in the vapor over alumina [34]. It has been detected by fluorescence spectroscopy in the gas phase [44] and also by IR spectroscopy in the gas phase [45].

### Aluminum Hydrides, Aluminum Chlorides, and Aluminum Chlorohydrides

Elementary kinetic studies have shown that  $\text{AlCl}$  (and not  $\text{AlH}$ ) is one of the products of the reaction between  $\text{Al}$  and  $\text{HCl}$  [46] as well as the reaction between  $\text{Al}$  and  $\text{Cl}_2$  [46]. The same authors considered the reaction between  $\text{AlCl}$  and  $\text{Cl}_2$  with  $\text{AlCl}_2$  and  $\text{Cl}$  as products. Slavejkov and Fontijn proposed  $\text{AlCl}_2$  as a product of  $\text{AlCl} + \text{HCl}$  [47]. According to recent theoretical calculations on the  $\text{Al} + \text{HCl}$  system, the products should be  $\text{AlCl}$  or  $\text{HAlCl}$ , depending on the angle of attack of the  $\text{Al}$  atom on the  $\text{HCl}$  molecule [48, 49]. The species  $\text{AlHCl}_2$  has been observed in matrix isolation studies [50].  $\text{AlCl}_3$  is a stable compound and has been observed in the gas phase by Klemperer [51], among others.

Although aluminum chlorides are formed more readily, formation of  $\text{AlH}$  and other aluminum hydrides ( $\text{AlH}_2$  and  $\text{AlH}_3$ ) cannot be excluded.  $\text{AlH}$  is the predicted product of the reaction  $\text{H} + \text{AlCl}$ , according to the calculations

of Garrett and Truhlar [52]. Pasternack and Rice [53] studied the reactions of  $\text{AlH}$  with several species, including  $\text{O}_2$ ,  $\text{H}_2\text{O}$ , and  $\text{H}_2$  at 300 K by following the LIF of  $\text{AlH}$ . They propose several reaction paths for the reactions  $\text{AlH} + \text{O}_2$  and  $\text{Al} + \text{H}_2\text{O}$ .  $\text{AlH}_2$  has been observed as a gas-phase species by Herzberg [54] and in matrix isolation studies by Parnis and Ozin [55].  $\text{AlH}$ ,  $\text{AlH}_2$ , and  $\text{AlH}_3$  have been observed as products of the reactions of pulsed-laser ablated  $\text{Al}$  atoms with  $\text{H}_2$  [56].

### $\text{OAlCl}$ , $\text{AlOH}$ , $\text{HAlOH}$

Slavejkov et al. [57] found that  $\text{OAlCl}$  is one of the products of the reaction  $\text{AlO} + \text{HCl}$  and  $\text{AlO} + \text{Cl}_2$ . The same authors reported that  $\text{AlOH}$  was produced competitively with  $\text{OAlCl}$  during the reaction between  $\text{AlO}$  and  $\text{HCl}$ .  $\text{OAlCl}$  is also a product of the reactions  $\text{AlCl} + \text{O}_2$  [58] and  $\text{AlCl} + \text{CO}_2$  [59]. McClean et al. [60] performed kinetic experiments on the reaction of  $\text{Al}$  in its ground state with  $\text{H}_2\text{O}$  by following the LIF of  $\text{Al}$  atoms. Their experiments are compatible with the presence of two reaction channels, one producing  $\text{AlOH}$  via excited  $\text{HAlOH}$ , the other yielding  $\text{AlO}$ .

### Aluminum Nitrides and Aluminum Carbides

The existence of aluminum nitrides during the combustion of  $\text{Al}$  particles in an  $\text{O}_2/\text{N}_2$  environment has been studied by Bucher et al. [7]. Gas-phase  $\text{AlN}$  may exist above 2550 K during aluminum combustion. The same authors have observed the presence of condensed carbon-containing species [presumably formed from  $\text{AlC}(g)$ ] throughout the gas phase during the combustion of  $\text{Al}$  particles in  $\text{CO}$  atmosphere. Linear  $\text{AlCN}$  and  $\text{AlNC}$  have been observed during the reaction of  $\text{Al}$  atoms with  $\text{HCN}$  by combining the laser-ablation, matrix isolation, and Fourier transform infrared (FTIR) spectroscopy techniques [61].

## RESULTS AND DISCUSSION

The final results of the calculations are summarized in Tables 1 and 2. The optimized geometries and the total energies calculated using

TABLE 1  
Moments of Inertia and Scaled<sup>a</sup> B3LYP/6-31G(d) Vibrational Frequencies

Species	Spin State	Vibrational Frequencies (cm <sup>-1</sup> ) <sup>c</sup>	Moments of Inertia (amu Å <sup>2</sup> )
AlH	Singlet	1586	2.7
AlH	Triplet	1668	2.5
AlH <sub>2</sub>	Doublet	736 1743 1788	1.3 3.8 5.1
AlH <sub>2</sub>	Quartet	666 1117 1367	1.5 4.1 5.6
AlH <sub>3</sub>	Singlet	679 757(2) 1855 1869(2)	3.8 3.8 7.6
AlCl	Singlet	442	72
AlCl	Triplet	469	70
AlCl <sub>2</sub>	Doublet	139 425 536	23 235 258
AlCl <sub>2</sub>	Quartet	183 270 381	66 135 201
AlCl <sub>3</sub>	Singlet	142(2) 190 363 592(2)	229 229 457
AlO	Doublet	913	27
AlO	Quartet	646	33
OAlO <sup>b</sup>	Doublet	178 195 745 799	85
OAlO <sup>b</sup>	Quartet	285 804 857	27 39 66
Cyclic AlO <sub>2</sub>	Doublet	344 511 1142	15 50 64
Cyclic AlO <sub>2</sub>	Quartet	445 588 1115	15 46 61
AlOAl	Singlet	88(2) 486 935	161
AlOAl	Triplet	249 640 715	17 91 108
Al <sub>2</sub> O <sub>2</sub>	Singlet	292 491 527 588 731 759	53 80 133
Al <sub>2</sub> O <sub>2</sub>	Triplet	262 496 584 697 705 745	53 80 133
AlOAlO	Singlet	48(2) 217(2) 444 908 1146	301
AlOH	Singlet	122 804 3751	0.13 33 33
OAlCl	Singlet	155(2) 460 1077	164
OAlCl	Triplet	154 474 727	18 129 148
AlHCl	Doublet	469 542 1733	2.0 73 75
AlHCl <sub>2</sub>	Singlet	156 428 455 555 641 1946	28 228 256
AlH <sub>2</sub> Cl	Singlet	495 496 580 753 1893 1913	4.0 75 79
Al <sub>2</sub> O <sub>3</sub>	Singlet	34(2) 166(2) 240(2) 410 899 1107 1198	500
Al <sub>2</sub> O <sub>3</sub> C2v	Singlet	53 56 224 237 393 614 738 871 1100	22 382 404
OAlH	Singlet	388(2) 1038 1929	31
OAlOH	Singlet	186 194 550 735 1130 3704	0.58 92 93
OAlOH	Triplet	159 206 579 685 827 3686	13 74 87
AlC <sup>b</sup>	Doublet	732	28
AlC	Quartet	610	32
AlN	Singlet	904	26
AlN	Triplet	714	30
AlCN	Singlet	169(2) 448 2174	103
AlCN	Triplet	241 305 536 2116	94
AlNC	Singlet	110(2) 531 2037	87
AlNC	Triplet	169 232 588 1993	83

<sup>a</sup> Calculated frequencies were scaled by a factor of 0.9613.

<sup>b</sup> Calculation showed some spin contamination at this level; see text for details.

<sup>c</sup> (2) indicates doubly degenerate frequencies.

each method are not presented, but are available from the authors upon request. The normal mode vibrational frequencies, which were used in the calculation of the thermochemical properties, are given in Table 1. The standard enthalpies of formation obtained based on the B3LYP, CBS-Q, and G2 calculations are given in Table 2. Enthalpies of formation from the

CBS-RAD method are also given for several of the molecules that have ground states with spin multiplicity greater than one. For a few of the species, the molecular orbital calculations exhibited significant spin contamination. These species are noted in Tables 1 and 2, and discussed individually below. The effect of spin contamination on heats of formation calculated



TABLE 2  
Calculated Enthalpies of Formation<sup>a</sup>

Species	Spin State	$\Delta_f H^\circ_{298\text{ K}}$ B3LYP	$\Delta_f H^\circ_{298\text{ K}}$ CBS-Q	$\Delta_f H^\circ_{298\text{ K}}$ G2	$\Delta_f H^\circ_{298\text{ K}}$ CBS-RAD	$\Delta_f H^\circ_{298\text{ K}}$ Experimental
AlH	Singlet	59.5	58.2	57.7		62 ± 5
AlH	Triplet	103.1	103.2	104.2		c
AlH <sub>2</sub>	Doublet	61.8	62.4	63.1	63.5	c
AlH <sub>2</sub>	Quartet	143.9	143.7	145.1	145.1	c
AlH <sub>3</sub>	Singlet	30.6	29.5	29.1		c
AlCl	Singlet	-9.1	-15.4	-12.9		In the range -10 to -17.78
AlCl	Triplet	60.7	54.7	58.3		c
AlCl <sub>2</sub>	Doublet	-46.8	-57.4	-51.8	-57.3	-67 ± 5
AlCl <sub>2</sub>	Quartet	69.0	62.2	70.0		c
AlCl <sub>3</sub>	Singlet	-126.8	-146.3	-139.8		-139.72 ± 0.69
AlO	Doublet	18.9	17.0 <sup>d</sup>	18.8 <sup>d</sup>	18.8 <sup>d</sup>	16 ± 2
AlO	Quartet	100.1	99.0	101.2	104.6	c
OAlO	Doublet	-13.4 <sup>d</sup>	-15.9	-10.2	-14.8	-20.6 ± 8.0
OAlO	Quartet	34.8 <sup>d</sup>	32.4 <sup>d</sup>	38.4 <sup>d</sup>	33.1 <sup>d</sup>	c
Cyclic-AlO <sub>2</sub>	Doublet	11.1	8.8 <sup>d</sup>	11.7 <sup>d</sup>	10.6 <sup>d</sup>	c
Cyclic-AlO <sub>2</sub>	Quartet	81.1	78.0 <sup>d</sup>	81.7 <sup>d</sup>	77.8 <sup>d</sup>	c
AlOAl	Singlet	-28.2	-38.7	-35.6		-34.7 ± 4.0
AlOAl	Triplet	37.4	31.2 <sup>d</sup>	37.9 <sup>d</sup>		c
Al <sub>2</sub> O <sub>2</sub>	Singlet	-74.0	-94.5	-87.9		-94.3 ± 8.0
Al <sub>2</sub> O <sub>2</sub>	Triplet	-68.4	-82.6	-74.9		c
AlOAlO	Singlet	-71.3	-87.7	-80.3		c
AlOH	Singlet	-40.0	-45.5	-43.8		-43 ± 3 <sup>b</sup>
AlOH	Triplet	32.5	27.5	30.1		c
OAlCl	Singlet	-48.2	-60.3	-54.7		-82.2 ± 5.0 <sup>b</sup>
OAlCl	Triplet	-9.9	17.1	22.3		c
AlHCl	Doublet	6.1	1.5	4.7	1.6	c
AlHCl <sub>2</sub>	Singlet	-77.1	-89.8	-85.6		c
AlH <sub>2</sub> Cl	Singlet	-24.1	-30.7	-28.8		c
Linear Al <sub>2</sub> O <sub>3</sub>	Singlet	-106.9	-130.0	-118.4		c
Al <sub>2</sub> O <sub>3</sub> C2v	Singlet	-81.1	-101.7	-94.0		c
OAlH	Singlet	189.9	189.9	189.9		8 ± 20 <sup>b</sup>
OAlOH	Singlet	-198.4	-210.8	-202.9		-110 ± 15 <sup>b</sup>
OAlOH	Triplet	-159.3	-162.4	-159.1		c
AlC	Doublet	201.7 <sup>d</sup>	195.1 <sup>d</sup>	201.1 <sup>d</sup>	195.5 <sup>d</sup>	164.8 ± 2.4 <sup>b</sup>
AlC	Quartet	172.5	171.5	172.8	171.7	c
AlN	Singlet	154.5	136.4	135.3	140.9	c
AlN	Triplet	128.0	132.6 <sup>d</sup>	134.6 <sup>d</sup>	131.3 <sup>d</sup>	125 ± 9
AlCN	Singlet	71.4	69.9	70.8		c
AlCN	Triplet	126.0	125.3	126.2		c
AlNC	Singlet	65.0	63.4	63.9		c
AlNC	Triplet	130.4	129.7	131.5		c

<sup>a</sup> Units are kcal mol<sup>-1</sup>.

<sup>b</sup> Rough estimate according JANAF tables.

<sup>c</sup> No data given in JANAF tables.

<sup>d</sup> Calculation showed some spin contamination at this level; see text for details.

using the G2 and CBS-Q methods was investigated by Mayer et al. [25]. They found that for severely spin-contaminated species, the CBS-Q method performed better than the G2 method, and that the G2 method could be in error by as

much as 5 kcal/mol for the most highly spin-contaminated species. For most of the species with open-shell ground states, we have also applied the CBS-RAD method recommended by Mayer et al. [25]. For most of the species

considered here, the B3LYP calculations of the frequencies did not exhibit significant spin contamination, and therefore the frequencies reported in Table 1 are expected to be reliable. Both the G2 and CBS-Q methods have been shown to reproduce the known heats of formation of 166 species with an average error of about  $1 \text{ kcal mol}^{-1}$  [62]. The thermodynamic data presented here may be obtained in the NASA (CHEMKIN) format from the authors upon request.

For these compounds, we find that there is a somewhat larger difference between the heats of formation from the CBS-Q method and the other methods than would be expected based on previous work. An analysis of the results presented by Petersson et al. [27] shows that for the approximately 150 compounds considered there, the average difference between heats of formation from the G2 method and the CBS-Q method was  $0.3 \text{ kcal/mol}$ , and the average absolute difference was  $1.3 \text{ kcal/mol}$ . For the 41 aluminum compounds considered here, the average difference was  $3.6 \text{ kcal/mol}$  and the average absolute difference was  $3.7 \text{ kcal/mol}$ . Thus, for these compounds the CBS-Q method is systematically predicting lower heats of formation than the G2 method, and this is contrary to our expectations based on the work of Petersson et al. [27]. The difference between the heats of formation predicted by the B3LYP method and by the G2 method is more consistent with expectations. For the compounds considered by Petersson et al. [27] the average difference was  $-1.5 \text{ kcal/mol}$  and the average absolute difference was  $3.4 \text{ kcal/mol}$ . For the molecules considered here, the average difference was  $-2.1 \text{ kcal/mol}$  and the average absolute difference was  $4.8 \text{ kcal/mol}$ . Thus, the differences seen here are slightly, but not dramatically, larger than those observed in the calculations of Petersson et al. As implied by the above observations, the differences between the CBS-Q and B3LYP predictions for the thermochemistry are larger than expected. For the molecules studied by Petersson et al. the average difference was  $-1.8 \text{ kcal/mol}$  and the average absolute difference was  $3.6 \text{ kcal/mol}$ . For the compounds considered here, the average difference was  $-5.8 \text{ kcal/mol}$  and the average absolute difference was  $7.3 \text{ kcal/mol}$ . This confirms that the

CBS-Q method is systematically predicting lower heats of formation than the other two methods. Based on this, we can tentatively recommend the values from the G2 method. We applied the CBS-RAD method recommended by Mayer et al. [25] to 14 of the species with open-shell ground states. The average difference between the G2 and CBS-RAD methods was  $1.8 \text{ kcal/mol}$ , while the average difference between the CBS-Q and CBS-RAD methods was  $-1.2 \text{ kcal/mol}$ . The corresponding average absolute differences were  $3.1$  and  $1.4 \text{ kcal/mol}$ , respectively. So, on average, the CBS-RAD values fell in between those from the CBS-Q and G2 methods.

### $\text{AlCl}_n$ ( $n = 1,2,3$ )

Petrie [63] has previously presented results of *ab initio* calculations on the thermochemistry of aluminum halides.

### $\text{AlCl}_3$

Of the species considered here, the enthalpy of formation ( $\Delta_f H^\circ$ ) at  $298.15 \text{ K}$  of the molecule  $\text{AlCl}_3$  is the most well known. A value of  $-139.72 \pm 0.69 \text{ kcal mol}^{-1}$  is given in the JANAF tables [64]. The G2 calculations provide a value of  $-139.81 \text{ kcal mol}^{-1}$ , which agrees very well with the experimental value. This result has previously been presented several times, since  $\text{AlCl}_3$  is included in the G2 test set which has been widely used for evaluation of *ab initio* methods of predicting molecular energies [27]. It is known that the CBS-Q method performs poorly for polychlorides [27], and this is illustrated again here.

### $\text{AlCl}_2$

The JANAF tables provide a  $\Delta_f H^\circ_{298.15}$  value of  $-67.0 \pm 4.8 \text{ kcal mol}^{-1}$ . Our results from all three *ab initio* methods disagree with the recommended JANAF value. This is the same conclusion reached by Petrie [63]. Since the JANAF recommendation is not considered reliable by the authors themselves [64], we recommend the heat of formation predicted by the G2 calculations.

**AlCl**

The calculations with the G2 model provide a  $\Delta_f H^\circ_{298.15}$  value of  $-12.9 \text{ kcal mol}^{-1}$  which agrees very well with the JANAF recommendation of  $-12.3 \pm 1.5 \text{ kcal mol}^{-1}$ .

**AlH<sub>n</sub> (n = 1,2,3)**

No values for  $\Delta_f H^\circ_{298.15}$  are recommended in the JANAF tables for AlH<sub>2</sub> or AlH<sub>3</sub>. Curtiss et al. [21] performed *ab initio* calculations on AlH, AlH<sub>2</sub>, and AlH<sub>3</sub> but they did not extract enthalpies of formation from their calculations.

**AlH**

A heat of formation of  $61.97 \text{ kcal mol}^{-1}$  is given in the CHEMKIN thermodynamic data base [65]. This value is about  $4 \text{ kcal mol}^{-1}$  higher than the results presented here. The JANAF tables give a value of  $62 \pm 5 \text{ kcal mol}^{-1}$ , a result consistent with the one given here.

**AlH<sub>2</sub>**

A heat of formation of  $41.93 \text{ kcal mol}^{-1}$  can be found in the CHEMKIN thermodynamic data base [65]. Our results disagree with this value. Without knowing the source of the value in the CHEMKIN database, it is not possible to explain the discrepancy.

**AlH<sub>3</sub>**

A value of  $18.82 \text{ kcal mol}^{-1}$  for the heat of formation of AlH<sub>3</sub> is given in the CHEMKIN thermodynamic data base [65]. Our results disagree with this value. Without knowing the source of the value in the CHEMKIN database, it is not possible to explain the discrepancy. In another computational study, a value of  $29.4 \text{ kcal mol}^{-1}$  was reported by Ochterski et al. [62] who used methods very similar to those used here.

**AlH<sub>x</sub>Cl<sub>y</sub> (x,y = 1,1; 1,2; 2,1)**

The values computed for the species AlHCl, AlHCl<sub>2</sub>, and AlH<sub>2</sub>Cl are given without comment since we are aware of no previously pub-

lished experimental or theoretical studies of the thermochemistry of these species.

**Al<sub>x</sub>O<sub>y</sub> (x,y = 1,1; 1,2; 2,1; 2,2; 2,3)****AlO**

Several values can be found in the literature for the  $\Delta_f H^\circ_{298.15}$  of AlO. Although widely observed in Al particle combustion experiments, AlO has not previously been the subject of published theoretical studies. The calculations performed here are consistent with the value of  $16 \pm 2 \text{ kcal mol}^{-1}$  recommended by the JANAF tables and with the value of  $16.5 \pm 2.0 \text{ kcal mol}^{-1}$  given by Srivastava and Farber [66]. Our results are also consistent with the value of  $21.8 \text{ kcal mol}^{-1}$  given by Wagman et al. [67] to within the combined uncertainties. In fact, the value obtained here appears to be intermediate between the ones given in the literature. The remaining discrepancies, though small, may be of importance for combustion modeling purposes since AlO is one of the major species present during the combustion process. The doublet state of AlO exhibited slight spin contamination. The B3LYP calculations had  $\langle S^2 \rangle \approx 0.76$ , compared to the ideal  $\langle S^2 \rangle$  for a doublet of 0.75. The component calculations in the CBS-Q and G2 methods had  $\langle S^2 \rangle$  of 0.79 to 0.80. This slight spin contamination is not expected to impact the accuracy of the CBS-Q and G2 methods [25]. This is verified by the CBS-RAD results which are in good agreement with the other methods.

**AlO<sub>2</sub>**

*Linear OAlO.* There is a considerable disagreement in the literature on  $\Delta_f H^\circ_{298.15}$  of AlO<sub>2</sub>. The JANAF tables recommend a value of  $-20.6 \pm 7.6 \text{ kcal mol}^{-1}$  whereas Lias et al. [68] give a value of  $-31 \text{ kcal mol}^{-1}$  and Srivastava and Farber [66] a value of  $-44.9 \pm 3 \text{ kcal mol}^{-1}$ . Our calculations predict a heat of formation slightly higher than that recommended in the JANAF tables. The ground state of this molecule was predicted to be linear by all of the calculations. The B3LYP calculations predicted equal lengths for the Al-O bonds, while the others predicted an asymmetric structure with one bond about  $0.15 \text{ \AA}$  longer than the other one. The B3LYP calculations exhibited slight



spin contamination, with  $\langle S^2 \rangle \approx 0.79$  compared to  $\langle S^2 \rangle = 0.75$  for a pure doublet state. The other calculations showed very little spin contamination, with  $\langle S^2 \rangle$  less than 0.76 in all cases. For the quartet state, a bent structure is predicted. Again, the B3LYP calculations exhibited slight spin contamination, with  $\langle S^2 \rangle \approx 3.79$  compared to  $\langle S^2 \rangle = 3.75$  for a pure quartet state. The component calculations in the CBSQ and G2 methods showed greater spin contamination, with  $\langle S^2 \rangle \approx 4.00$ . For this molecule, we also applied the CBS-RAD methodology. This gave a heat of formation in good agreement with the other methods, but closer to the CBSQ results than to the G2 results.

*Cyclic AlO<sub>2</sub>.* We also considered the cyclic AlO<sub>2</sub> structure, and found that its heat of formation was 22 to 24 kcal mol<sup>-1</sup> higher than the linear OAlO structure. The minimum energy cyclic AlO<sub>2</sub> structure had Al-O bond lengths of about 1.95 Å and an O-O bond length of about 1.35 Å, but these varied significantly between calculation levels. The geometry optimizations at the HF/6-31G(d) and B3LYP/6-31G(d) levels gave a structure with equal Al-O bond lengths. However, the optimization at the QCISD/6-31G(d) level gave a distorted structure with one Al-O bond about 0.07 Å longer than the other one. Frequency calculations confirmed that these structures were true minima, and that the structure with equal Al-O bond lengths was a saddle point at the QCISD/6-31G(d) level. The calculations used in the CBS-Q and G2 methods exhibited slight spin contamination for both the doublet and quartet states of this molecule. The doublet state calculations had  $\langle S^2 \rangle$  of 0.78 to 0.79, and the quartet state had  $\langle S^2 \rangle$  of 3.77 to 3.78. The minor spin contamination and the slight geometry difference between the structures optimized at different levels did not significantly impact the accuracy of the CBS-Q and G2 methods. This is confirmed by the results obtained using the CBS-RAD methodology.

*Linear AlOO.* Fontijn [16] noted that the AlO<sub>2</sub> formed in different experiments could be different species, namely OAlO, AlOO, and cyclic AlO<sub>2</sub>. Andrews et al. [36] found no evidence for the formation of any AlO<sub>2</sub> species other than linear OAlO and cyclic AlO<sub>2</sub>. There

are apparently no studies reported in the literature dealing with the thermochemistry of AlOO. Geometry optimizations and frequency calculations at the HF/6-31G(d), B3LYP/6-31G(d), and QCISD/6-31G(d) levels agreed that the linear structure is a second-order saddle point on the ground state (doublet) potential energy surface. Following the minimum energy path (intrinsic reaction coordinate) away from this saddle point at the HF/6-31G(d) level led to the cyclic AlO<sub>2</sub> structure discussed above, without crossing any potential barriers. The saddle point corresponding to the minimum energy linear structure was about 15 kcal/mol higher in energy than the cyclic structure.

### Al<sub>2</sub>O

Our calculations provide a result consistent with the recommended value of  $-34.7 \pm 4.1$  kcal mol<sup>-1</sup> given in the JANAF tables for AlOAl. Nemukhin and Weinhold [43] studied the structure of AlOAl and AlAlO by *ab initio* methods. They concluded that AlAlO is a stable linear molecule but that it is 80 kcal/mol higher in energy than AlOAl and cannot be formed in combustion experiments. The component calculations of the CBS-Q and G2 calculations for the triplet state of AlOAl exhibited slight spin contamination, with  $\langle S^2 \rangle$  of 2.04 to 2.05 compared to the ideal value of  $\langle S^2 \rangle = 2.0$  for a triplet state.

### Al<sub>2</sub>O<sub>2</sub>

Nemukhin and Weinhold [43] studied the possible structures for Al<sub>2</sub>O<sub>2</sub>. They located seven possible geometries, but only three of them were low enough in energy that they can be considered as possible candidates for the ground state of the Al<sub>2</sub>O<sub>2</sub> species. These are the singlet and triplet, cyclic, almost-square, planar Al<sub>2</sub>O<sub>2</sub>, and the singlet linear AlOAlO. Nemukhin and Weinhold did not derive enthalpies of formation for these species from their calculations. The JANAF tables give a  $\Delta_f H^\circ_{298.15}$  value of  $-94.3 \pm 7.6$  kcal mol<sup>-1</sup> for the singlet state of the square-planar structure. Our calculations provide values that are consistent with this recommendation. No published value has been found for the linear AlOAlO structure. The CBS-Q and G2 models give

values of  $-87.69 \text{ kcal mol}^{-1}$  and  $-80.27 \text{ kcal mol}^{-1}$ , respectively, for the enthalpy of formation (see Table 2) of this species, only about 7  $\text{kcal mol}^{-1}$  higher than our calculations for the square-planar structure.

### $\text{Al}_2\text{O}_3$

An important process in aluminum combustion is the formation of liquid alumina ( $\text{Al}_2\text{O}_3$ ), accompanied by considerable heat release. However, there are some controversies about the nature of the gaseous species that condense to give liquid alumina. It has been concluded for years that liquid alumina boils with decomposition and that  $\text{Al}_2\text{O}_3$  does not exist in the gas phase under experimental conditions (temperature and pressure) encountered in Al particle combustion studies. This does not mean that gaseous alumina does not exist in other experimental conditions since gaseous  $\text{Al}_2\text{O}_3$  has been observed by Desai et al. [39] in a plasma containing  $\text{O}_2$  and aluminum atoms in both neutral and charged states. This situation is not encountered in Al particle combustion studies where only neutral Al atoms exist. According to Desai et al. [39], *ab initio* calculations have predicted three gaseous alumina structures: linear OAlOAlO and two structures with  $C_{2v}$  symmetry. Nemukhin and Weinhold [43] found that the linear OAlOAlO structure was the lowest energy conformation, and that a planar structure with  $C_{2v}$  symmetry had an energy about 11  $\text{kcal/mol}$  above that of the linear isomer. They also found other isomers, but those were all 30  $\text{kcal/mol}$  or more above the linear isomer. A recent aluminum particle combustion model [14] included the condensation of gaseous alumina to form liquid alumina. Calculations have been performed here for the linear OAlOAlO structure and the planar  $C_{2v}$  structure briefly described above.

### $\text{Al}_x\text{O}_y\text{H}_z$ ( $x,y,z = 1,1,1; 1,1,1; 1,2,1$ )

#### AlOH

The computed value of the enthalpy of formation at 298.15 K of this compound is in good agreement with the value of  $-43.0 \pm 3.1 \text{ kcal mol}^{-1}$  recommended by Chase [69].

#### OAlH, OAlOH

Our calculations disagree with those recommended in the JANAF tables for these two species. However, the JANAF table recommendations are presented as rough estimates, rather than experimental measurements. Therefore, based on the accuracy of the methods used here and our agreement with experiment for the other compounds, we conclude that the JANAF table recommendations are not reliable for these two compounds.

#### AlN

According to JANAF tables, the triplet state is the ground state. Our calculations also give the triplet state as the ground state but predict that the triplet and singlet states are separated by only a few  $\text{kcal/mol}$ . Our predicted enthalpy of formation is consistent with that given in the JANAF tables. The calculations for the triplet state of AlN showed slight spin contamination. The B3LYP calculations had  $\langle S^2 \rangle \approx 2.02$ , compared to the ideal value for a triplet of  $\langle S^2 \rangle = 2.0$ . The component calculations of the CBS-Q and G2 methods had  $\langle S^2 \rangle$  of 2.06 to 2.08. This slight spin contamination is not expected to significantly impact the accuracy of the CBS-Q and G2 methods [25]. Since the ground state of this molecule is the triplet, we also applied the CBS-RAD method to it. The results are in rough agreement with the other methods, but predict a somewhat larger energy difference between the singlet and triplet states than the CBS-Q and G2 methods.

#### AlC

The predicted ground state from our calculations is a quartet rather than a doublet. The ground state according to the JANAF tables is a doublet. The calculations and the value given in the JANAF tables for the enthalpy of formation do not agree but there is only weak experimental support for the JANAF recommendation. Our calculations for the doublet state of this species exhibited severe spin contamination, but this is not surprising since the ground state is the quartet state. The B3LYP calculations for the doublet state had  $\langle S^2 \rangle \approx 1.0$ , compared to the

ideal  $\langle S^2 \rangle$  for a doublet of 0.75. The component calculations in the CBS-Q and G2 methods had  $\langle S^2 \rangle \approx 2.35$ . The quartet state did not exhibit significant spin contamination. All of the methods had  $\langle S^2 \rangle$  less than 3.76 for the quartet state, compared to the ideal value of 3.75. Application of the CBS-RAD method to AlC gave results consistent with the other methods.

### AINC, AICN

The values computed for the species AINC and AICN are given without comment since we are aware of no previously published experimental or theoretical studies of the thermochemistry of these species.

Standard entropies and heat capacities were also calculated for all of the species considered here. These may be obtained from the authors upon request. In general, where reliable experimental results were available, the computed entropies and heat capacities agreed with the experimental values to within the error limits of the experimental results.

### EQUILIBRIUM CALCULATIONS

As pointed out by Steinberg et al. [70], thermochemical data like those presented here are useful for understanding combustion of metals. Constant-pressure adiabatic flame temperature and the corresponding equilibrium composition have been computed using the aluminum oxide species and thermochemical data proposed here. The heats of formation based on the G2 calculations were used in these equilibrium calculations. These results were compared to the predictions obtained using the species and thermodynamic data previously available (Al, AlO, AlO<sub>2</sub>, Al<sub>2</sub>O, Al<sub>2</sub>O<sub>2</sub>, and liquid Al<sub>2</sub>O<sub>3</sub> with data from the tables of Burcat and McBride [71], which they obtained from the JANAF tables [64]). Table 3 gives the results obtained in both cases for a mixture of 20 mol% Al(g), 15 mol% O<sub>2</sub>, and 65 mol% Ar at an initial pressure and temperature of 0.1 MPa and 2300 K, respectively. At this temperature of 2300 K, liquid Al vaporizes vigorously and reacts with O<sub>2</sub> in the gas phase. The EQUIL equilibrium code from the CHEMKIN III collection was used for the computations [72]. The mixture taken here is

**TABLE 3**  
Equilibrium Composition<sup>a</sup>

Species	Mole Fraction	
	Previous Thermochemistry <sup>b</sup>	Recommended Thermochemistry <sup>c</sup>
Al(g)	4.9 10 <sup>-2</sup>	3.4 10 <sup>-2</sup>
O	0.125	0.137
O <sub>2</sub>	2.0 10 <sup>-2</sup>	2.7 10 <sup>-2</sup>
AlO <sup>d</sup>	8.1 10 <sup>-2</sup>	4.5 10 <sup>-2</sup>
AlO <sup>e</sup>	<i>h</i>	1.6 10 <sup>-6</sup>
OAlO <sup>d</sup>	8.8 10 <sup>-4i</sup>	1.2 10 <sup>-4</sup>
OAlO <sup>e</sup>	<i>h</i>	9.3 10 <sup>-7</sup>
Cyclic AlO <sub>2</sub> <sup>d</sup>	<i>h</i>	1.4 10 <sup>-5</sup>
Cyclic AlO <sub>2</sub> <sup>e</sup>	<i>h</i>	1.4 10 <sup>-9</sup>
AlOAl <sup>f</sup>	2.5 10 <sup>-2</sup>	5.6 10 <sup>-2</sup>
AlOAl <sup>g</sup>	<i>h</i>	5.5 10 <sup>-6</sup>
Al <sub>2</sub> O <sub>2</sub> <sup>f</sup>	2.5 10 <sup>-3i</sup>	1.8 10 <sup>-4</sup>
Al <sub>2</sub> O <sub>2</sub> <sup>g</sup>	<i>h</i>	8.3 10 <sup>-5</sup>
AlOAlO	<i>h</i>	6.2 10 <sup>-3</sup>
Al <sub>2</sub> O <sub>3</sub>	<i>h</i>	4.3 10 <sup>-5</sup>
Al <sub>2</sub> O <sub>3</sub> C <sub>2v</sub>	<i>h</i>	1.6 10 <sup>-6</sup>
Al <sub>2</sub> O <sub>3</sub> ( <i>ℓ</i> )	1.2 10 <sup>-2</sup>	4.5 10 <sup>-3</sup>
Ar	0.684	0.690

<sup>a</sup> For initial conditions of 20% Al(g), 15% O<sub>2</sub>, and 65% Ar at 0.1 MPa and 2300 K.

<sup>b</sup> Using data from reference [71], predicted flame temperature is 3750 K.

<sup>c</sup> Using data from this work, predicted flame temperature is 3729 K.

<sup>d</sup> Doublet state.

<sup>e</sup> Quartet state.

<sup>f</sup> Singlet state.

<sup>g</sup> Triplet state.

<sup>h</sup> Not included in these calculations.

<sup>i</sup> Previous thermochemistry did not specify the isomer or electronic state of this species.

not one that would be encountered during real applications. However, the aim here was to test a simple mixture where the diluent does not play a role chemically. This would not be the case if N<sub>2</sub> were the diluent. The recommended thermochemistry predicts an adiabatic flame temperature of 3729 K for these conditions. This is almost the same temperature as the prediction using the thermochemistry previously available. There are, however, substantial differences in the composition between the two cases. Concerning the new species introduced in the calculations, it appears that Al<sub>2</sub>O<sub>3</sub> is formed at the liquid state. The formation of gaseous alumina is found to be negligible. The other forms of AlO<sub>2</sub> than linear OAlO are produced in negligibly small quantities. The linear form of

$\text{Al}_2\text{O}_2$  (AlOAlO) is produced in greater quantities than the square-planar form.

These computations can readily be extended to the much higher pressures of several MPa that are encountered in real applications.

## CONCLUSIONS

This study provides thermochemical data needed for the construction of detailed kinetic models of the combustion of Al particles under practical conditions and experimental conditions (especially for the systems other than Al/O). Some of the species considered here have previously been neglected or assumed to be unimportant for aluminum combustion. Thermochemical data presented here will allow their importance, or lack thereof, to be demonstrated. Experimental measurements of the heats of formation are required to resolve discrepancies between the predictions of the different *ab initio* methods employed here and to provide reliable thermochemical data for some of the aluminum oxide species that have not previously been studied. However, the methods used here provide thermochemical parameters of sufficient accuracy to provide a self-consistent, preliminary database for construction of models of aluminum combustion that include detailed chemistry. These models can be used to identify the most crucial species, for which additional experimental and theoretical studies can be carried out. Future computational chemistry work will extend these thermochemical predictions to reaction paths and transition states. This will allow identification of the most important reactions, as well as the most important species, for aluminum combustion. Preliminary comparisons of equilibrium predictions from the thermochemical parameters recommended here and from those previously available, show that there are significant differences in the predicted equilibrium composition.

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